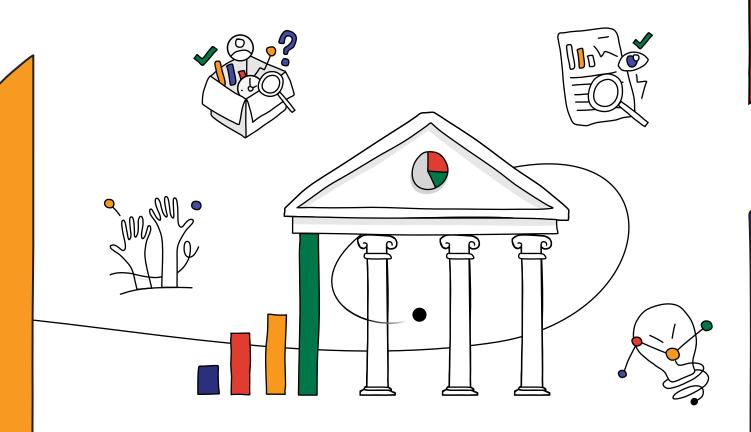


# **EU Parliamentary Report**

Summary of the Indicators for Democratic Parliament







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# 1. Acronyms

CEDAW Convention On The Elimination Of Discrimination Against Women

CPA Commonwealth Parliamentary Association

CSO Civil Society Organisation

EMB Electoral Management Body

FOI Freedom Of Information

HR Human Resource

ICT Information Communication Technology

IDEA Institute For Democracy And Electoral Assistance

IDP Indicators For Democratic Parliaments

IEC Electoral Commission of South Africa

IPU Inter-Parliamentary Union

LGBTQI+ Lesbian, Gay, Bisexual, Transgender, Queer/Questioning And Intersex Persons

M&E Monitoring And Evaluation

MPS Members Of Parliament

NA National Assembly

NCOP National Council of Provinces

NDI National Democratic Institute

NHRI National Human Rights Institution

PAC Public Accounts Committee

PAIA Promotion of Access to Information Act

PBO Parliamentary Budget Office

PCI Parliamentary Committee of Inquiry

PFMA Public Finance Management Act

PLS Post-Legislative Scrutiny

SAI Supreme Audit Institution

SCOPA Standing Committee on Public Accounts

SDGS Sustainable Development Goals

SG Secretary General

UN United Nation

UNDP United Nations Development Programme

UPR Universal Periodic Review

WFD Westminster Foundation For Democracy

# 2. Executive summary

This report provides an overview of the Indicators for Democratic Parliaments (IDPs), a comprehensive self-assessment tool developed by the Inter-Parliamentary Union (IPU) and other international organisations. The IDPs aim to evaluate parliamentary capacity and performance against established democratic standards. They are designed to foster accountability, transparency, effectiveness, and inclusivity in parliamentary operations.

The report evaluates seven main targets, consisting of 25 indicators, 108 dimensions, and 500 assessment criteria. Each dimension is assessed using a six-grade descriptive scale, ranging from "Non-existent" to "Excellent." This method offers a detailed reflection on the current state of parliamentary practices and highlights areas for improvement.

## **Key Insights**

- 1. **Effective Parliament**: The report highlights strengths in parliamentary autonomy, procedures, and overall legislative processes. However, it identifies the need for improvements in time management for debates and in ensuring more thorough scrutiny of laws.
- Accountable Parliament: The findings emphasise the importance of accountability mechanisms, such as transparency in financial reporting and public access to parliamentary activities. The report suggests the need for a more rigorous approach to managing public resources and enhancing ethical standards within parliament.
- 3. **Transparent Parliament**: Transparency is crucial for building public trust. While the report acknowledges the current mechanisms for public and media access, it also calls for further improvements in the timely dissemination of information and digital accessibility.
- 4. **Responsive Parliament**: The report underscores the importance of engaging with the public and responding to emerging policy issues. Parliament must create multiple avenues for public engagement and ensure that marginalised groups, including women, youth, and persons with disabilities, are represented.
- 5. **Inclusive Parliament**: Inclusivity remains a critical area of focus. The report stresses that parliaments must proactively engage with diverse communities and ensure that civil society organisations (CSOs) have a voice in legislative processes. Outreach efforts should target historically marginalised populations to guarantee broader representation.

- 6. Participatory Parliament: Participation from citizens is essential for democratic governance. Parliaments need to facilitate better mechanisms for citizen involvement, including open consultations, petitions, and regular feedback on how public input is integrated into parliamentary work.
- 7. **Representative Parliament**: A parliament should mirror the society it represents, ensuring that all demographic groups, including minorities, have equal access and representation in decision-making processes.

#### **Collaboration and Innovation**

In 2024, the Organisation Undoing Tax Abuse (OUTA) partnered with OpenUp and the Parliamentary Monitoring Group (PMG) to develop the ParliMeter Dashboard, co-funded by the EU Delegation in South Africa, under their Enhancing Accountability Programme towards promoting accountability and transparency in South Africa. This open-source platform aims to enhance transparency and real-time monitoring of parliamentary activities. The dashboard will serve as a tool for assessing parliamentary performance, with a focus on inclusivity, data accessibility, and public engagement. The collaboration will provide stakeholders and citizens with greater insights into the legislative process and the performance of their representatives.

## **Recommendations and Way Forward**

The report concludes with actionable recommendations for improving parliamentary practices. These include enhancing public engagement tools, ensuring diversity in representation, and strengthening accountability mechanisms. The next phase of this initiative will focus on the application of the IDPs within the South African context, providing further insights into the progress and challenges faced by the parliament. Future assessments will continue to monitor each individual IDP target, emphasising continuous improvement in alignment with global democratic standards. This comprehensive approach provides an essential framework for parliaments worldwide, ensuring they uphold democratic values while addressing the evolving needs of society.

## 3. Introduction

This report sets out the Indicators for Democratic Parliaments (IDPs). It looks at each target, its indicators, dimensions as well as assessment criteria. A description of each indicator, dimension and assessment will be given. According to the developers of the IDPs, they are suitable for all parliaments; this will be determined during the analysis for the reports to follow.

# 4. Background to Indicators for Democratic Parliament

The Indicators for Democratic Parliaments (IPDs) was an initiative that was developed by the Inter-Parliamentary Union (IPU) in partnership with leading organisations from the parliamentary community, such as: Commonwealth Parliamentary Association (CPA), Directorio Legislativo Foundation, Inter Pares/International IDEA, National Democratic Institute (NDI), United Nations Development Programme (UNDP), UN Women and Westminster Foundation for Democracy (WFD)<sup>2</sup>. Input on the indicators were given from more than 100 people in 50 parliaments.

The IDPs were designed as a comprehensive self-assessment tool that aids parliaments in evaluating their capacity, performance, and practice against already established democratic standards. The IDPs are also developed to support parliaments' learning and development that are brought about through key questions of effectiveness, accountability, transparency as well as various characteristics.

There are 7 targets that incorporate 25 indicators that are aligned to the UN Sustainable Development Goals (SDGs) 16.6 and 16.7. SDG 16 covers the promotion of peaceful and inclusive societies for sustainable development, providing access to justice for all and building an effective, accountable, and inclusive institutions. With regards to the specifics: Target 16.6 refers to the developing an effective, accountable, and transparent institution on all levels. It covers:

"1. Primary government expenditures as a proportion of original approved budget, by sector and

2. Proportion of population satisfied with their last experience of public services".

<sup>2</sup> Inter-Parliamentary Union (IPU). (2024). *Indicators for democratic parliaments*. [online] Available at:

https://www.ipu.org/impact/democracy-and-strong-parliaments/ipu-standards/indicators-democratic-parliaments> [Accessed 10 September 2024].

Target 16.7 refers to the responsiveness, inclusivity, participatory and representative division making on all levels. It covers:

"1. Proportions of positions in national and local institutions, including (a) the legislatures; (b) the public service; and (c) the judiciary, compared to national distributions, by sex, age, persons with disabilities and population groups. Proportion of population who believe decision-making is inclusive and responsive, by sex, age, disability, and population group".

The IDPs are broken down into 108 dimensions that are assigned assessment criteria. There is a total of 500 assessment criteria. The seven targets include: Effective parliament, Accountable parliament, Transparent parliament, Responsive parliament, Inclusive parliament, Participatory parliament, and Representative parliament Indicators<sup>3</sup>.

# 5. Targets and Indicators

For this section the Target, indicators and sub-indicators will be discussed.

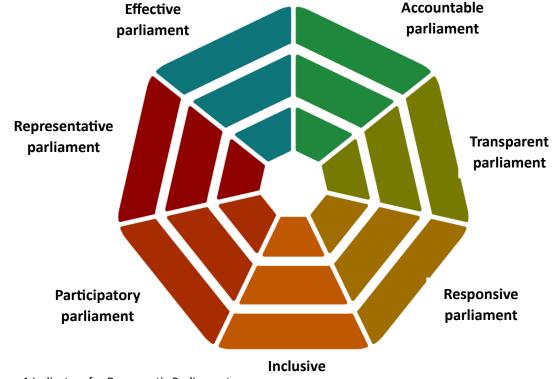


Figure 1 Indicators for Democratic Parliaments

parliament

<sup>&</sup>lt;sup>3</sup> Parliamentary Indicators. 2023. *About indicators: Indicators for democratic parliaments*. [online] Available at: <a href="https://www.parliamentaryindicators.org/about/about-indicators">https://www.parliamentaryindicators.org/about/about-indicators</a>> [Accessed 15 July 2024].

# 5.1 Target 1: Effective parliament<sup>4</sup>

The effective parliament is based on the effective organisation of business with regards to the democratic norms and values. This target includes the 11 indicators: Parliamentary autonomy, members of parliament (MPs), parliamentary procedures, parliamentary organisation, administrative capacity and independence, law-making, oversight, budget, representative role of MPs, relations with other branches of government, and key parliamentary powers.

An effective parliament has mechanisms and resources that ensures their own independence and have access to non-partisan professional staff that are separate from those in main civil services. Parliaments need to effectively draw up laws, hold governments accountable and be a national forum for concerning issues. They cooperate and consult effectively with regional authorities, in order to draw up policies that are driven by local needs as well as be involved in international affairs.

#### 5.1.1 Indicator 1.1 Parliamentary autonomy

This indicator deals with the autonomy of parliament in all aspects of its operations. Parliaments are a supreme representative body which exercise legislative power, set policy priorities, and scrutinise activities from the executive. It has the constitutional and legal power to adapt and adopt its own rules of procedure and set its own structures as well as determine the terms of reference and membership of committees. Furthermore, it may set its own agendas and timetables, set, and control its own budgets. It may set its own administrative and staffing arrangements and ensure it has the appropriate resources to carry out its own mandates. There are 4 dimensions under this indicator: Institutional autonomy, Procedural autonomy, Budgetary autonomy, and Administrative autonomy.

#### 5.1.1.1 Institutional autonomy

For this dimension it focuses on the legal provisions that are established by parliament's institutional autonomy. It is the foundation that aids parliament to represent the interest of the people. This includes convening regular or special sessions, electing its own bodies, establishing committees, and managing its operations. It further extends to drafting, proposing, debating, and passing legislation, overseeing the executive branch's activities, and determining its own rules. This dimension is

<sup>&</sup>lt;sup>4</sup> Parliamentary Indicators. 2023. *Parliamentary Indicators*. [online] Available at:

<sup>&</sup>lt;a href="https://www.parliamentaryindicators.org/">https://www.parliamentaryindicators.org/</a>> [Accessed 15 July 2024].

established within the constitution, and it depends on the context of each country that may further develop laws and parliamentary rules of procedures.

## The assessment:

Assessment	Constitutional	An independent and autonomous parliament is established in the
criterion 1:	authority	legal framework and/or by legal precedent.
Assessment	Legal	The legal framework establishes parliament's autonomy in all
criterion 2:	framework	areas of its functioning, including in determining its own rules,
		organisation, and procedures, in electing its own bodies, in
		establishing its committees, in calling plenary or debate sessions,
		and in setting its agenda.
Assessment	Practice	In practice, the provisions of the legal framework for institutional
criterion 3:		autonomy are recognised and followed by all parties, including the
		executive.

# 5.1.1.2 Procedural autonomy

This dimension is concerned with the provisions that give parliament autonomy over all its procedures. This allows parliament to fulfil its core functions. These procedures can cover matters including: the conduct and behaviour of MPs, including their right to resign; the rights, mandates, and immunities of MPs; the processes of proposing, debating, amending, and passing legislation; the autonomy of parliament in setting agendas, and managing plenary and committee affairs; the election and actions of the Speaker(s) of parliament.

Assessment	Legal	The legal framework provides parliament with the authority to
criterion 1:	framework	exercise complete control over determining its own
		procedures, including the power to establish and amend
		procedures enabling it to conduct its core functions.
Assessment	Rules of	Rules of procedure support the authority granted to
criterion 2:	procedure	parliament under the legal framework to determine its own
		procedures. Parliament has a robust framework for creating,
		reviewing, modifying, and endorsing its own procedures.
		Parliament's rules of procedure do not require approval by the
		executive.

Assessment	Practice	In practice, parliament has autonomy over determining and
criterion 3:		implementing its procedures. Rules of procedure are
		developed and modified in accordance with the established
		framework.
Assessment	Non-partisan	Parliamentary procedures are implemented in a non-partisan
criterion 4:	implementation	manner. The Speaker upholds compliance with those
		procedures. MPs from different parliamentary parties, factions
		and other affiliations are treated equally and impartially.

# 5.1.1.3 Budgetary autonomy

This dimension includes the parliament's autonomy in regard to its own budget, finances and resources that includes offices, supplies, assets, and staff. The budget may include funding various services such as a non-partisan office responsible for providing expert budget analysis for policy implementation and/ or security services for parliament and MPs.

Assessment	Legal	The legal framework establishes parliament's autonomy over its own
criterion 1:	framework	budget, including the authority to approve and manage this budget
		independently from the executive.
Assessment	Resources	The parliamentary budget provides parliament with the financial
criterion 2:		resources needed to effectively conduct its mandate. This budget is
		sufficient to allow parliament to pay MPs' compensation, hire staff,
		develop, and finance on-partisan analysis and oversight offices,
		ensure the security of parliament, invest in technology and
		infrastructure, procure supplies and equipment, and acquire other
		assets and resources as necessary for its operations.
Assessment	Budget	Parliament has the expertise and resources to use its funds
criterion 3:	management	effectively. Management of the parliamentary budget is subject to
	and scrutiny	regular scrutiny through internal audits and an external,
		independent supreme audit institution.

## 5.1.1.4 Administrative autonomy

The dimension covers the extent to which parliament as an independent parliamentary administration allows MPs,' staff, and parliamentary offices to function effectively. It refers to the institutional independence of parliamentary services, including staff recruitment and management. It grants a designated parliamentary authority the power to define the organisational structure, establish necessary units, and manage staff independently.

#### The assessment:

Assessment	Legal framework	The legal framework provides for parliament's administrative
criterion 1:		autonomy, enabling parliament to organise and staff its
		administration independently and to exercise effective control
		over the precincts in which its premises are located.
Assessment	Management	The parliamentary administration is overseen by a non-partisan,
criterion 2:		objective individual or office, such as a Secretary General, who is
		exclusively appointed or elected by, and accountable to,
		parliament.
Assessment	Non-partisan	A clear distinction exists between non-partisan staff serving
criterion 3:	administration	parliament itself, and part is a staff who support individual
		members and parties.

# **5.1.2** Indicator **1.2** Members of parliament

The effectiveness of parliament depends on MPs' ability to perform core functions effectively. Key factors that influence this includes provisions for taking up and leaving office, parliamentary immunity, rules on office incompatibility, MPs' remuneration, available support, and resources, as well as opportunities for ongoing professional development. There are 5 dimensions under this indicator: Status of Members of Parliament, Non-accountability and Inviolability, Incompatibility of Office, Access to Resources and Professional Development.

## 5.1.2.1 Status of members of parliament

This dimension focuses on the formal processes when MPs take up and leave office, as outlined in the legal framework and parliamentary procedures. These provisions should be clear and ensure that elected or appointed MPs can assume and retain their office until the end of their term without unreasonable impediments. MPs are generally expected to serve a full term once in office. In some

cases, MPs may resign before their term ends or be removed from office through a recognised legal process. Forfeiture, often called "disqualification," follows a judicial decision.

#### The assessment:

Assessment	Term of office	Provisions of the legal framework and/or rules of procedure clearly
criterion 1:		defined when duly elected or appointed MPs take up and leave
		office.
Assessment	Swearing-in of	The legal framework provides for the swearing-in of MPs so that
criterion 2:	MPs	they can participate fully in the proceedings of parliament. The
		relevant provisions are non-discriminatory; for example, they do
		not require MPs to take a [religious] oath against their conscience.
Assessment	Ending the	Clear, formal provisions establish the process by which an MP's
criterion 3:	mandate	mandate may end before the end of their term. These provisions
	before the end	include a clearly defined process for MPs to voluntarily resign.
	of the term	Where there are provisions for involuntary leaving of office –such
		as expulsion by parliament, incompatibility of office, or
		disqualification—such provisions are limited, specific and
		implemented in accordance with due-process standards.
Assessment	Leaving a	Clear, formal provisions are in place for cases where MPs leave, or
criterion 4:	political party	are expelled from, their political party before their term of office
		expires. Individual MPs cannot lose their seat as a result of
		expressing views that differ from those of their political party.

# 5.1.2.2 Non-accountability and inviolability

This dimension addresses the legal protections that ensure MPs can perform their duties freely without fear of legal consequences. This is also known as "parliamentary non-accountability," that safeguards MPs' freedom of speech in parliamentary proceedings, and usually cannot be revoked. They also often extend to former MPs for actions taken during their term in office. Some jurisdictions also grant MPs protections against arrest or detention, even in cases unrelated to parliamentary duties, through "parliamentary inviolability". In such cases, parliament must lift the immunity before an MP can be arrested, detained, or their office searched. However, strict application of inviolability in cases unrelated to parliamentary duties may unfairly deny victims effective legal recourse.

#### The assessment:

Assessment	Legal	The legal framework contains strongly entrenched provisions on
criterion 1:	provisions on	parliamentary non-accountability for MPs, covering votes cast and
	parliamentary	expressions made both within and outside parliament. This
	non-	protection is also extended to former MPs in respect of their
	accountability	previous participation in parliamentary proceedings. It can never
		be lifted.
Assessment	Restrictions on	Any restrictions on the free expression of views by MPs both within
criterion 2:	MPs' freedom	and outside parliament are clearly defined in the legal framework
	of speech	and are limited to matters such as maintaining order and decorum
		in the chamber and eliminating hate speech.
Assessment	Legal	The legal framework contains strongly entrenched provisions
criterion 3:	provisions on	restricting the arrest or detention of MPs, and/or searches of their
	parliamentary	person and their personal/working space, without parliamentary
	inviolability	consent. Such consent is always required when an MP faces legal
		action in connection the exercise of their parliamentary duties.
Assessment	Parliamentary	Parliament follows due process when it receives a request to lift
criterion 4:	inviolability in	the inviolability of an MP, including by allowing them to present a
	practice	defence and by carefully reviewing the legal and factual soundness
		of the request. The legal framework governing the inviolability of
		MPs is implemented in a clear and unambiguous manner. MPs,
		regardless of political affiliation, are not faced with politically
		motivated legal action.

# 5.1.2.3 Incompatibility of office

This dimension addresses restrictions on MPs holding additional offices or roles, known as "incompatibilities of office". These limits aim to preserve the separation of powers, ensuring parliamentary independence, and to allow MPs to focus primarily on their duties while minimising conflicts of interest.

Assessment	Legal	The legal framework places specific limits on MPs holding
criterion 1:	framework	incompatible offices, such as being a member of both houses in a

		bicameral parliament, holding judicial office or holding office in the
		civil service.
Assessment	Resolution of	The legal framework enables MPs to quickly resolve
criterion 2:	incompatibility	incompatibility-of-office issues when they arise, and supporting
	of office	processes are in place for this purpose.
Assessment	Practice	In practice, rules, and provisions on the incompatibility of office of
criterion 3:		MPs are implemented fully and impartially. Parliament publishes
		data on other offices and roles held by MPs.

#### 5.1.2.4 Access to resources

This dimension focuses on the remuneration and resources provided to MPs, ensuring they are adequately supported to fulfil their parliamentary duties. This includes salaries, allowances, access to staff, and the necessary facilities and resources for effective work. MPs are also provided with access to facilities such as computing equipment, communication tools, official transport, and constituency offices. Many parliaments allow MPs to hire personal staff, and MPs can also utilise expert services like research and budget analysis provided by parliamentary administrations. These resources should be made available to MPs in a fair, non-partisan manner.

Assessment	Rules and	Clear rules and procedures, possibly established in law, govern
criterion 1:	procedures	access to resources for MPs, including how these resources are
		determined and how MPs have to account for their use of these
		resources.
Assessment	Adequacy of	MPs' remuneration and allowances are set at a level that allows
criterion 2:	remuneration	any citizen, regardless of their means, to stand as an MP and to
	and allowances	perform their duties effectively.
Assessment	Process for	A proper process is in place to ensure that MPs 'remuneration
criterion 3:	determining	and allowances are determined fairly and in a non-partisan
	remuneration	manner, possibly via an independent body or process.
	and allowances	
Assessment	Staff, facilities,	All MPs, irrespective of their political party, have access to staff,
criterion 4:	and other	facilities, and other resources, as proportionate to parliament's
	resources	circumstances and to their particular role, to enable them to
		perform their duties effectively.

## 5.1.2.5 Professional development

This dimension focuses on the professional development opportunities provided to MPs to help them effectively perform their core functions. It includes both programmes and resources offered by parliament, as well as those delivered in partnership with other organisations. It excludes political party programs or informal mentoring, though these can also contribute to MPs' development. MPs typically bring diverse experiences and political skills to their roles, but parliamentary work requires specialised knowledge and skills, such as understanding parliamentary procedures, committee operations, and managing constituency offices. Parliament has a responsibility to provide training and support to help MPs develop these capabilities. This task is usually managed by the parliamentary administration. Induction programs for new MPs are especially important, providing essential knowledge early on. Parliament should also offer ongoing professional development opportunities tailored to MPs' evolving needs throughout their term.

#### The assessment:

Assessment	Induction	Parliament has an induction programme that is tailored to, and
criterion 1:	programme	attended by, all new MPs, and covers key aspects of their work,
		rights, and responsibilities. This programme is delivered or
		overseen by the parliamentary administration.
Assessment	Comprehensive	All MPs receive a comprehensive information package, covering
criterion 2:	information	parliamentary procedures and their rights
	package	
Assessment	Ongoing	All MPs have access to an ongoing professional development
criterion 3:	professional	programme, which is developed in consultation with MPs and
	development	tailored to their needs.

## **5.1.3** Indicator **1.3** Parliamentary procedures

This indicator focuses on the specific responsibilities assigned to MPs for conducting legislative business, as outlined in the frameworks governing plenary activities. These frameworks are found in a chamber's rules of procedure, regulate MPs' ability to set the agenda, convene meetings, conduct debates, make decisions, and maintain institutional records. It also includes provisions for addressing plenary matters and sustaining parliamentary functions during emergencies or crises. This indicator is essential for ensuring that MPs fulfil their legislative duties in a responsible and accountable manner. There are 9 dimensions under this indicator: Rules of Procedure, Emergency or Crisis Procedures,

Parliamentary Calendar, Convening Sessions and Setting the Agenda, Quorum, Debate, Voting, Record-keeping, and Dissolution.

## 5.1.3.1 Rules of procedure

This dimension analyses the formal powers and provisions outlined in a parliament's rules of procedure, which codify all procedural aspects of parliamentary business. In unicameral parliaments, a single set of rules governs the entire institution, while bicameral parliaments may have separate rules for each chamber, reflecting their independence. In some countries, a legal framework exists that guides how parliament carries out its mandate, and the rules of procedure should align with this framework.

#### The assessment:

Assessment	Autonomy to	Parliament has the authority to independently adopt and amend
criterion 1:	set own rules of	its rules of procedure.
	procedure	
Assessment	Scope	Parliament's rules of procedure are consistent with the legal
criterion 2:		framework and codify all procedural aspects of parliamentary
		business.
Assessment	Implementation	The rules of procedure are implemented and interpreted
criterion 3:	and	consistently. Past practices and interpretations are documented
	interpretation	and made available to MPs and the public.
Assessment	Revision of the	The rules of procedure are subject to periodic review and
criterion 4:	rules	proposals for amendments by MPs, typically through a procedure
		committee.

# 5.1.3.2 Emergency or crisis procedures

This dimension addresses the procedures that allow parliament to operate during emergencies or crises, potentially altering its regular functioning. These procedures are only activated in legally defined, exceptional circumstances and may be specified in a country's legal framework, parliamentary rules of procedure, or state-of-emergency legislation. These special procedures enable parliament to modify its operations to continue legislative functions during emergencies.

#### The assessment:

Assessment	Legal framework	The legal framework and legal precedent clarify the role of
criterion 1:		parliament in emergencies and crises and define the circumstances
		in which emergency or crisis procedures can be used.
Assessment	Emergency or	Parliamentary rules of procedure outline how debate, agenda-
criterion 2:	crisis	setting, oversight, and law-making activities are to be conducted in
	procedures	an emergency or crisis situation, including clarification of the
		respective roles of executive and legislative bodies.
Assessment	Flexibility	The emergency or crisis procedure sallow for flexibility in the
criterion 3:		representation and number of MPs present for a debate and
		prescribe the specific powers available to MPs with regard to
		speaking time, directives, and voting. They also establish whether
		parliamentary business may be conducted in person, virtually or
		using a hybrid model.
Assessment	Business	The parliamentary administration has business continuity plans
criterion 4:	continuity plans	that support the operation of parliament during emergency and
		crisis situations. Business continuity plans are reviewed and
		updated periodically.

# 5.1.3.3 Parliamentary calendar

This dimension pertains to the availability of a parliamentary calendar that outlines debate schedules, in-session periods, sittings, recesses, and holidays, as well as committee-related information. It also addresses the procedures for developing and maintaining the calendar, which should be incorporated into parliamentary rules of procedure. Typically, parliamentary leadership is responsible for this process, and in some systems, the calendar requires parliamentary approval and amendment.

Assessment	Development	The rules of procedure outline the times throughout the year when
criterion 1:	and	parliament is in session and conducts legislative sittings and other
	maintenance	business. They define the process for the development and
		maintenance of the parliamentary calendar.
Assessment	Scope	The parliamentary calendar includes detailed information related to
criterion 2:		plenary sessions, committee affairs and forthcoming legislative
		activity.

Assessment	Transparency	The calendar is publicly available and is regularly updated in a timely
criterion 3:		manner.

# 5.1.3.4 Convening sessions and setting the agenda

This dimension addresses the procedures by which parliament convenes sessions, including initial sessions after elections, and how it sets its agenda during these sessions. It involves the rights of parliament and MPs to hold regular, special, or extraordinary sessions, as well as regulations ensuring a maximum interval between sessions. Furthermore, it focuses on the responsibilities for agenda development and the authority of MPs to vote on agenda changes, including legislative matters for debate. Particular attention is given to MPs' rights to meet regularly to fulfil their core functions, their opportunities to participate in agenda-setting, and the mechanisms for calling special or extraordinary sessions.

#### The assessment:

Assessment	Convening	The legal framework specifies a maximum number of days within
criterion 1:	parliament	which parliament must meet for its first session following an
	after elections	election and establishes that parliament meets at regular intervals
		in order to exercise its core functions.
Assessment	Sessions	Sessions are determined by the parliamentary leadership or special
criterion 2:		committees as set out in the rules of procedure. Extraordinary
		sessions, and sittings convened using urgent procedures, are
		convened in accordance with the rules, and only when required for
		public-interest reasons.
Assessment	Agenda-	All MPs have the right to participate in agenda-setting in
criterion 3:	setting	accordance with parliament's rules of procedure, including to
		propose agenda items. Agenda-setting provisions are applied
		consistently in practice.
Assessment	Publication	The agendas for sessions are published well in advance, giving MPs
criterion 4:	and advance	sufficient time to prepare.
	notice	

#### 5.1.3.5 Quorum

This dimension pertains to the quorum requirements, which specify the minimum number of MPs necessary for parliament to conduct its business validly. A quorum serves as a practical measure that

enables parliament to function without the presence of all MPs. Different countries adopt various practices regarding quorum requirements for debates and voting on legislation. Many parliaments have reduced or eliminated quorum requirements for debates while retaining them for voting on legislation, aiming to prevent decision-making by a minimal number of MPs. Quorum rules are generally outlined in a country's legal framework and the chamber's rules of procedure.

#### The assessment:

Assessment	Legal framework	The legal framework defines the minimum number of MPs who
criterion 1:		must be present in order for parliamentary business to be
		conducted in the event of a quorum call.
Assessment	Right to call for	Parliament's rules of procedure define the powers of MPs to
criterion 2:	a quorum	request a quorum to verify if the number of MPs present is lower
		than the minimum needed to conduct parliamentary business.
Assessment	Practice	Quorum rules are consistently implemented in practice.
criterion 3:		

#### 5.1.3.6 Debate

This dimension focuses on the arrangements for parliamentary debate, which is a fundamental right of MPs in democratic systems. Debate allows MPs to publicly express their support or opposition to policies and acts, reflecting the priorities of their constituents and parties. It also serves as a mechanism for oversight of executive actions by discussing government proposals, programs, and services. For effective debate, it is essential for parliament to establish rules of procedure that structure and regulate discussions, ensuring they are clear and impartially applied to all MPs, regardless of party affiliation.

Assessment	Rules of	Parliament's rules of procedure clearly define MPs' powers in
criterion 1:	procedure	relation to debate. They give MPs the ability to table motions and
		determine the order of motions tabled, to make comments for the
		record, to propose amendments, to raise points of order and to hold
		open a debate.
Assessment	Time	Ample time is allocated for debate on issues, legislation, and other
criterion 2:	allocation	matters.

Assessment	Transparency	Debates are open to the public in-person and virtually, and official
criterion 3:		records of debates are published in a timely manner.
Assessment	Impartiality	Debate is conducted in manner consistent with parliament's rules of
criterion 4:		procedure, which are impartially applied by the Speaker to all MPs
		regardless of party or group affiliation.

# 5.1.3.7 Voting

This dimension addresses the arrangements for voting by MPs, either by voice or ballot. The ability of MPs to vote on policies or proposals for laws is crucial for fulfilling their representative role. Voting powers should be exercised solely by MPs, who must be able to cast their votes freely, without interference or influence.

#### The assessment:

Assessment	Restricted	Parliament's rules of procedure set out provisions on voting. Voting
criterion 1:	to MPs	powers are restricted to MPs. If parliament allows proxy or remote voting,
		the related mechanisms are also stipulated in its rules of procedure.
Assessment	Minority	Parliament's rules of procedure establish how a minority of MPs can call
criterion 2:	right to call	for a vote be held on a specific matter.
	for a vote	
Assessment	Recording	Parliament's rules of procedure clearly define how votes will be recorded
criterion 3:	and	and published. Any exceptions are set out in the rules.
	publication	
Assessment	Practice	Parliament's rules of procedure on voting are applied consistently in
criterion 4:		practice. MPs are able to vote freely without interference or improper
		influence.

# 5.1.3.8 Record-keeping

This dimension focuses on parliamentary record-keeping, which is important for institutional sustainability. Records should encompass formal decisions and proceedings, detailed transcripts of all MP deliberations and votes, daily proceedings, statements, questions for the record, and any other business conducted in plenary. Public access to records is important, except for those from classified or private committee meetings as specified in the rules of procedure.

#### The assessment:

Assessment	Record-	Parliament keeps records of all decisions, votes, deliberations, daily
criterion 1:	keeping	proceedings, documents presented and considered, and other plenary
	procedures	business, as well as committee business and hearings.
Assessment	Storage	Parliament's records are stored securely in a central repository that is
criterion 2:	and	easily accessible to MPs, staff, and the public in print and online. Any
	publication	exceptions to the publication of records are defined in the rules of
		procedure.
Assessment	Availability	Records are made available in the official working languages outlined
criterion 3:	in all	in the constitution.
	official	
	working	
	languages	

#### 5.1.3.9 Dissolution

This dimension is concerned with the process of parliamentary dissolution, which allows for new elections to be held. The legal framework should clearly define the powers and arrangements for dissolving parliament, as this authority depends on the government's structure and the powers assigned to both the executive and parliament. Clear guidelines should be established concerning the roles of individuals involved in the dissolution, including rules on the length of the parliamentary term, the procedure at its conclusion, timelines for MPs assuming or vacating office, resources available to outgoing and incoming officials, and requirements for record storage or official record-keeping. Furthermore, parliament's rules of procedure or relevant committee regulations may outline protocols for vacating or transitioning from parliamentary premises.

Assessment	Constitutional	The constitution defines the end of the parliamentary term, as well
criterion 1:	provisions	as the authority and procedure for dissolution of parliament before
		the end of the term. These provisions and any associated rules clearly
		specify the roles of those involved in this process.
Assessment	Dissolution	The impact of dissolution on the work of parliament is detailed in
criterion 2:	procedures	parliament's rules of procedure and practice, including the
		procedures for ending the parliamentary session and for the end of
		the term of outgoing MPs.

Assessment	Archiving and	Parliament's rules of procedure set out the record-keeping, archiving
criterion 3:	ethics	and ethics requirements that outgoing MPs must comply with when
	requirements	parliament is dissolved.

## 5.1.4 Indicator 1.4 Parliamentary organisation

Parliament is a unique institution that unites MPs of equal status, representing a spectrum of political opinions. This necessitates a collective decision-making system and a complex organisational structure. The plenary session is the most visible aspect of parliamentary work, serving as the culmination of activities conducted in committees. The presidium, functioning as a collective governing body, ensures that political issues are brought before parliament, while the Speaker manages parliamentary operations in a fair and impartial manner. Parliamentary committees, composed of MPs appointed or elected by parliament, delve into specific issues in greater detail. There are 6 dimensions under this indicator: Plenary, Speaker, Presidium, Parliamentary Committees, Political Groups, and Cross-party Groups.

## 5.1.4.1 Plenary

This dimension pertains to the arrangements for plenary sessions, where parliament conducts its most critical law-making and oversight activities. These sessions involve hearings with high-ranking state officials, such as presidents and prime ministers. The plenary serves as a platform for political debates and for voting on legislation that has been previously discussed in committees.

Assessment	Legal	The legal framework provides for the holding of plenary sessions. All
criterion 1:	framework	major decisions made on behalf of parliament are debated and voted
		on by the plenary.
Assessment	Venue	The legal framework establish that plenary sessions are only to be
criterion 2:		held in the respective chamber(s) on the parliamentary premises,
		except where alternative venues or virtual sittings are permitted, or in
		other circumstances where imperative reasons dictate such changes.
Assessment	Transparency	The legal framework establishes that plenary sessions are public.
criterion 3:		Agendas for plenary sessions are available in advance. Duly accredited
		media representatives are authorised to attend plenary sessions, as

are members of the public. Closed plenary sessions are only held in
exceptional circumstances as prescribed by law.

## 5.1.4.2 Speaker

This dimension focuses on the mandate, role, functions, and duties of the Speaker, who primarily facilitates orderly and meaningful discussion in parliament. The Speaker is a MP elected by peers at the beginning of each legislature to preside over the parliamentary chamber or, in unicameral systems, over parliament as a whole. As "first among equal", the Speaker possesses the necessary authority and resources to fulfil this role.

#### The assessment:

Assessment	Legal	The legal framework defines the mandate, role, functions, and duties
criterion 1:	framework	of the Speaker, establishes the rules for electing the Speaker and
		defines the Speaker's term of office.
Assessment	Role	The legal framework mandates the Speaker to coordinate and
criterion 2:		manage the work of the parliamentary bodies, to manage the overall
		functioning of parliament or a chamber, to preside over sessions,
		and to exercise full administrative powers within the parliament or
		chamber.
Assessment	Impartiality	The Speaker is required to discharge their duties impartially and
criterion 3:		neutrally, to manage the floor fairly, to provide equal opportunities
		for all political groups and members to engage in debates, and to
		distribute parliamentary resources equitably.
Assessment	Resources	The Speaker has access to the necessary resources to carry out their
criterion 4:		mandate, including a personal apparatus with sufficient members of
		staff.

## 5.1.4.3 Presidium

This dimension addresses the provisions defining the composition and mandate of the collective governing body of parliament, known as the presidium. The presidium is primarily responsible for organising parliamentary work, coordinating committee activities, drafting the agenda for plenary sessions, and addressing other critical operational issues. The presidium reflects the political makeup of parliament, representing both majority and minority parties. It is usually chaired by the Speaker and includes Deputy Speaker(s), committee chairs, and leaders of political groups.

#### The assessment:

Assessment	Legal	The legal framework defines the composition of the presidium and
criterion 1:	framework	establishes its mandate as the collective governing body of
		parliament.
Assessment	Composition	The presidium is representative of the political configuration of
criterion 2:		parliament. Itis chaired by the Speaker, and includes the Deputy
		Speaker(s), the chairs of parliamentary committees and the leaders
		of all political groups.
Assessment	Role	The presidium organises the work of parliament, coordinates the
criterion 3:		work of committees, drafts the agenda for plenary sessions, and
		decide son other operational issues of importance.
Assessment	Transparency	The presidium meets on a regular basis as foreseen in the rules of
criterion 4:		procedure. Information about its meetings and conclusions are made
		publicly available.

# 5.1.4.4 Parliamentary committees

This dimension covers the provisions governing the powers, functions, composition, governance, and procedures of parliamentary committees. A strong committee system enhances accountability and efficiency while facilitating public engagement. The types and roles of committees vary across parliaments, classified into "standing" (or permanent) and "ad hoc" (or temporary) categories. Committee meetings and documents should be publicly accessible unless there are valid justifications for closed sessions.

Assessment	Legal	Parliament has a clear legal mandate to establish committees, and to
criterion 1:	framework	define their powers, functions, composition, governance, and
		procedures.
Assessment	Role	Parliamentary committees are mandated to review proposals for
criterion 2:		laws, to obtain information from the executive, to summon
		government officials, to report to parliament on their findings, and
		to make recommendations.
Assessment	Procedures	Parliament's rules of procedure provide clear guidance with regard
criterion 3:		to committee meetings, such as the time of a meeting, notice of a

		meeting, the preparation, approval and distribution of the agenda,
		quorum, chairing, record-keeping, voting and reporting.
Assessment	Transparency	Committee meetings are open and accessible to the public unless
criterion 4:		there is sufficient justification for a closed meeting to be held.
		Meetings are broadcast, live-streamed and/or recorded for future
		public access. Committee documents are publicly available.
Assessment	Resources	Parliamentary committees are supported by sufficient human,
criterion 5:		financial and administrative resources, including qualified staff
Assessment	Practice	In practice, committee meetings are held regularly on the basis of a
criterion 6:		meeting agenda that is duly approved and published. Committees
		interact with the public in multiple ways. Committee decisions,
		findings, recommendations, and other conclusions are produced and
		reported to parliament.

# 5.1.4.5 Political groups

This dimension addresses the provisions that allow MPs to form political groups to coordinate activities and pursue shared political objectives. Members of a political group belong to the same party. Rules governing political groups, including their formation, privileges, and the rights of independent MPs, differ across parliaments. These rules often establish a minimum number of MPs required to form a political group; this threshold should be reasonable and not hinder MPs' rights to organise.

Assessment	Legal	The legal framework clearly establishes the right for MPs to form
criterion 1:	framework	political groups, and stipulates the rules governing their formation,
		rights, and responsibilities.
Assessment	Equitable	Parliament's rules of procedure guarantee the equitable allocation
criterion 2:	representation	of speaking time to political groups in plenary sessions and debates
		and ensure that such groups are represented in parliament's
		management structures, including the presidium and permanent
		committees.
Assessment	Resources	The legal framework grants political groups financial and
criterion 3:		administrative resources to support their functioning. Political
		groups account publicly for their use of these resources.

Assessment	Practice	In practice, political groups exercise their powers in parliament
criterion 4:		systematically and rigorously, and all rights prescribed bylaw or in
		parliament's rules of procedure, including those on equitable
		speaking time and access to resources, are duly implemented.

## 5.1.4.6 Cross-party groups

This dimension covers the provisions governing the establishment and functioning of cross-party groups, which serve as crucial forums for MPs from different parties to exchange information, discuss policy issues, and engage with relevant organisations. Cross-party groups also referred to as "caucuses", "all-party parliamentary groups", or "inter-parliamentary friendship groups" unite MPs from various political parties and, in bicameral systems, from one or both chambers of parliament to advocate for specific causes or issues.

#### The assessment:

Assessment	Legal	The legal framework authorises MPs to establish cross-party groups or
criterion 1:	framework	presents no impediment to the establishment of such groups.
Assessment	Resources	Parliament provides cross-party groups with meeting venues and, in
criterion 2:		some cases, other resources such as administrative support.
Assessment	Practice	In practice, cross-party groups have been established in parliament.
criterion 3:		Cross-party groups meet regularly and interact with relevant
		organisation s, and the public is informed about their work.

## 5.1.5 Indicator 1.5 Administrative capacity and independence

This dimension addresses the necessity for a capable and independent parliamentary administration to support parliamentary business. The administration should operate independently of the executive, free from political influence, and provide impartial support to all MPs. Support from the parliamentary administration varies across parliaments and includes adequately trained staff, appropriate facilities, digital technologies, and the management of documents, policies, systems, and practices. There are 6 dimensions under this indicator: Mandates of the Parliamentary Administration, Human Resource Management, Expert Support, Facilities, Digital Technologies, and Document Management.

## 5.1.5.1 Mandates of the parliamentary administration

This dimension focuses on the existence and mandates of the parliamentary administration, encompassing its powers, governance, and responsibilities. For effective functioning, the administration must be distinct from the executive and manage its staffing and daily operations without political interference. Furthermore, the administration should publicly report on its activities, monitor, and evaluate its performance, and strive for continuous improvement.

#### The assessment:

Assessment	Legal	The parliamentary administration is established through a clear legal
criterion 1:	framework	framework, which codifies its mandates, powers, governance, and
		responsibilities.
Assessment	Governance	A parliamentary body oversees the running of the parliamentary
criterion 2:		administration. The day-to-day management of the parliamentary
		administration is ensured by the Secretary General
Assessment	Responsibilities	The parliamentary administration supports the day-to-day
criterion 3:		organisational, administrative, and technical functions of
		parliament. It provides high-quality support and services in line with
		the principles of impartiality, equity, neutrality, and non-
		partisanship.
Assessment	Reporting	The parliamentary administration reports regularly to parliament on
criterion 4:		its work and performance, either in a stand-alone report or as part
		of regular parliamentary performance reporting.

# 5.1.5.2 Human Resource management

This dimension focuses on the human resources essential for an effective parliament, addressing non-partisan staff managed by the parliamentary administration, excluding political staff supporting individual MPs and political groups. Effective human resource management is vital for building institutional capacity and ensuring sustained performance. Comprehensive development and training of staff are crucial for the parliamentary administration to meet the long-term needs of parliament.

Assessment	Policies	Clear human resource policies are established, implemented, and
criterion 1:	and leave	regularly reviewed to support the effective management of
	allocations	parliamentary staff. These policies govern the recruitment and

		retention of parliamentary staff, as well as disciplinary procedures, ethical conduct, working hours
Assessment	Processes	Processes are in place for planning, performance management and
criterion 2:		reporting. Clear job descriptions are also in place, along with details
		of salaries, benefits, and other performance incentives. Human
		resources are sufficient to support all aspects of parliamentary
		business.
Assessment	Recruitment	Rules and procedures determined by parliament are in place for the
criterion 3:	and	recruitment and advancement of parliamentary staff. Parliamentary
	advancement	staff are recruited and promoted through fair and open competition,
		based on merit, without political involvement.
Assessment	Training and	The parliamentary administration has a professional development
criterion 4:	specialisation	framework for parliamentary staff, which includes training and
		specialisation in specific areas, and which recognises the unique
		skills and capabilities required.

# 5.1.5.3 Expert support

This dimension focuses on the expert support provided to parliamentary bodies and MPs to facilitate evidence-informed decision-making. Access to relevant, impartial, and timely information from the parliamentary administration enhances MPs' ability to verify, clarify, or contest executive sources, in order to maintain the separation of powers and improving parliamentary effectiveness. Expert support may be delivered through various means, including procedural and committee staff, research units, parliamentary libraries, and institutes. The parliamentary administration should be equipped to offer expert support across multiple areas, such as budgeting, gender mainstreaming, parliamentary diplomacy, and public participation.

Assessment	Scope and	Rules, service charters or guides outline the scope and organisation of
criterion 1:	organisation	the expert support available to MPs. Standards of service delivery are
		specified and monitored through agreed quality-control procedures.
Assessment	Staff	The parliamentary administration has an adequate number of
criterion 2:		professional staff providing high-quality expert support to parliament.

Assessment	Non-partisan	The parliamentary administration provides expert support in a non-
criterion 3:	service	partisan manner to all MPs, regardless of political affiliation.
	delivery	
Assessment	Expected	Standards of service delivery are specified and monitored through
criterion 4:	levels of	agreed quality-control procedures. Feedback from MPs is regularly
	service	sought and used to improve levels of service.

#### 5.1.5.4 Facilities

This dimension concerns the facilities available to MPs and staff. This dimension addresses the facilities available to MPs and staff, which may include office space, furniture, ICT infrastructure, and other equipment located within parliamentary premises or constituencies. Additional provisions may encompass housing, transportation, parking, catering, cleaning services, and security services. Increasingly, parliaments are also offering childcare facilities for MPs and staff with family responsibilities, as well as access for the media and the public, including individuals with disabilities.

#### The assessment:

Assessment	Fitness for	Parliamentary facilities are fit for purpose in terms of the needs of
criterion 1:	purpose	parliament and are appropriately maintained and improved as
		required.
Assessment	Equitable access	Office space and other facilities are allocated to MPs, political
criterion 2:		groups, and staff in accordance with transparent rules and in an
		equitable manner, regardless of political affiliation.
Assessment	Access for all	Parliamentary facilities are accessible to all MPs and staff, including
criterion 3:	members of the	people living with disabilities.
	community	

# 5.1.5.5 Digital technologies

This dimension addresses the digital technologies accessible to MPs and staff to facilitate their business operations, public participation, and information accessibility. "Digital technologies" encompass hardware, software, infrastructure, and applications hosted on parliamentary premises or in the cloud. For effective digital transformation, parliaments must establish clear strategic directions, including relevant policies and plans, as well as robust ICT governance, leadership, and oversight structures.

#### The assessment:

Assessment	Strategic	Parliament has a clear strategic direction, including policies and
criterion 1:	direction	plans, on the use of digital technologies.
Assessment	Governance,	Parliament has strong governance, leadership, and oversight
criterion 2:	leadership,	processes in place to support its digital transformation, ideally
	and oversight	involving MPs. Digital technologies are introduced in line with
		parliament's needs and strategies and are constantly developed
		and consolidated.
Assessment	Resources	The deployment of digital technologies is supported by dedicated
criterion 3:		and adequate financial and human resources. Parliament has a
		dedicated ICT budget, and the required hardware and software are
		accessible to all MPs and staff.
Assessment	Cybersecurity	Cybersecurity is prioritised in order to protect the integrity of
criterion 4:		parliament's digital assets, and to ensure that MPs and staff are
		able to conduct their work safely and without undue interference.
		Cybersecurity systems and processes are robust and use recognised
		standards and guidelines to proactively monitor and prevent
		attempts at unauthorised access to any part of the parliamentary
		digital estate.

# 5.1.5.6 Document management

This dimension focuses on document management systems that facilitate the collection, categorisation, analysis, storage, and dissemination of data and information. Effective document management is crucial for maintaining a record of parliamentary activities, providing MPs and staff with necessary information for their duties, keeping the public informed about parliamentary proceedings, and preserving institutional memory.

Assessment	Rules and	Parliament has document management rules, procedures and
criterion 1:	procedures	processes in place covering the creation, processing, categorisation,
		storage, archiving, retrieval, deletion, and dissemination of
		information.
Assessment	Central	All parliamentary documents are stored securely in one or more
criterion 2:	repository	central repositories.

Assessment	Access to	Parliamentary documents can be accessed by MPs and staff as
criterion 3:	parliamentary	required and by members of the public in accordance with
	documents	document management rules and procedures.

#### 5.1.6 Indicator 1.6 Law-making

In most jurisdictions, law-making is primarily the function of parliament, with guiding principles typically outlined in the constitution and legal framework. This indicator encompasses the entire law-making process, including legislative drafting, the powers of participants to initiate, debate, amend, and adopt legislation, and the procedural steps legislation undergoes in both houses of bicameral systems. It also addresses fast-tracking mechanisms and the safeguards ensuring adequate consideration during expedited processes. Constitutional amendments are treated separately from ordinary laws. Furthermore, the indicator includes the promulgation of legislation its assent, enactment, and publication in the official journal after parliamentary passage. Many parliaments have established post-legislative scrutiny (PLS) processes, including those for delegated legislation, which are also included in this indicator. There are 7 dimensions under this indicator: Powers in Law-making, Constitution-making and Amendment, Legislative Procedure, Legislative Drafting, Enactment, Official Publication, and Post-legislative Scrutiny.

## 5.1.6.1 Powers in law-making

This dimension focuses on the powers of participants in the legislative process to initiate, debate, amend, and adopt legislation, primarily concerning MPs. All MPs should possess the authority to initiate legislation and propose amendments. While reasonable restrictions can be imposed such as those related to public expenditure or taxation, these should not unduly limit MPs' engagement in the legislative process.

Assessment	Right to	The constitution establishes the right of all MPs to initiate legislation
criterion 1:	initiate	in parliament. Any restrictions on this right, such as a requirement for
	legislation	a minimum number of MPs to initiate legislation, or restrictions
		concerning financial proposals, are limited, and clearly defined. The
		constitution may also permit other participants to initiate legislation,
		such as the executive and its agencies, or groups of citizens.

Assessment	Right to	The constitution establishes the right of all MPs to propose
criterion 2:	propose	amendments to legislation as it proceeds through parliament. Any
	amendments	restrictions on this right, such as restrictions concerning financial
		proposals, are limited, and clearly defined.
Assessment	Approval of	The constitution establishes that all legislation, including budgetary
criterion 3:	legislation	legislation, must be approved by parliament before enactment. This
		includes approval by both houses in bicameral systems, except where
		particular restrictions on the upper house are in place.
Assessment	Practice	In practice, MPs and, where applicable, other participants –are
criterion 4:		empowered to participate in all stages of the legislative process.
		Particular attention is given to opportunities for participation for
		opposition and independent MPs.

# 5.1.6.2 Constitution-making and amendments

This dimension addresses the processes for making and amending the constitution, which are distinct forms of law-making typically subject to special requirements. These may include the need for a supermajority in parliament, approval from a majority of states or provinces in federal systems, and/or public endorsement. The right to propose constitutional amendments is important.

Assessment	Initiation of	The constitution and/or other aspects of the legal framework
criterion 1:	constitutional	establish a range of mechanisms for initiating constitutional
	amendments	amendments, including initiation by MPs and citizen-initiated
		proposals.
Assessment	Public	Broad public consultations, with reasonably extensive times, are
criterion 2:	consultations	undertaken after the initiation of constitutional amendments.
Assessment	Adoption	The constitution and/or other aspects of the legal framework
criterion 3:		establish that parliament must approve a new constitution or a
		constitutional amendment, ideally by a supermajority.
Assessment	Ease of	In practice, the constitution is not so difficult to amend that
criterion 4:	constitution-	constitutional change is impossible to achieve, but not so easy to
	making or	amend as to threaten its protection of the democratic system as
	amendment	well as minority and other rights.

# 5.1.6.3 Legislative procedure

This dimension addresses the processes for the passage of legislation as defined by the constitution, the legal framework, and the rules of procedure within parliament. These processes should be clear, transparent, and understandable, ensuring proper consideration and debate at all legislative stages. MPs should be afforded adequate time and opportunities to reflect on and debate proposed laws prior to voting. All legislative proposals should be referred to relevant committees for in-depth consideration, with committees empowered to recommend or directly amend legislation. This stage enables public participation, which should be facilitated by parliamentary rules and practices that allow sufficient time for consultation.

Assessment	Clear	The legal framework sets out clear provisions for the passage of
criterion 1:	provisions for	legislation through parliament, including through both houses in
	the passage of	bicameral systems. The procedures provide mechanisms for their
	legislation	solution of differences between the houses in bicameral systems.
Assessment	Ordinary	The legal framework provides for the use of ordinary legislative
criterion 2:	procedure	procedure as a rule. This procedure includes, as a minimum,
		general debate on legislation with reasonable time allocated to
		MPs to prepare and participate in the debate, and opportunities to
		consider the details of legislation and to propose and vote on
		amendments.
Assessment	Committee	As part of the ordinary procedure, all proposals for laws are
criterion 3:	stage	referred to one or more relevant committees for detailed
		consideration and amendment. This committee stage also includes
		expert and public consultations.
Assessment	Fast-track	Where there is a fast-track procedure for the urgent consideration
criterion 4:	procedure	of legislation, such procedure provides MPs with the opportunity
		to debate, amend and vote on the urgent legislation, and for
		reasonable scrutiny mechanisms, such as inserting obligatory post-
		legislative scrutiny after a period of time, or using sunset clauses.
Assessment	Use of	In practice, most legislation is subject to ordinary procedure and
criterion 5:	ordinary	parliament does not unduly rely on the use of fast-track procedure.
	versus fast-	

	track	
	procedures	
Assessment	Scrutiny of	The constitution, other aspects of the legal framework and/or
criterion 6:	delegated	parliament's rules of procedure establish that parliament has the
	legislation	opportunity to scrutinise, debate, and approve or reject delegated
		legislation.

# 5.1.6.4 Legislative drafting

This dimension focuses on the principles of legislative drafting as they pertain to all proposals for laws introduced in parliament, including amendments to existing laws, delegated legislation, and other legislative instruments. Effective legislative drafting is essential to ensure that legislation is clear, viable, and consistent with the existing legal framework.

Assessment	Guidance	Guidance for clear and effective legislative drafting is set out in a
criterion 1:		manual or similar document.
Assessment	Analysis of	An analysis of the proposal for a law is documented, for instance
criterion 2:	legislative	in the form of explanatory notes accompanying the proposal,
	proposals	including the proposal's practical implications, the scope and
		content of existing legislation on the same subject, and its respect
		for fundamental rights and public liberties.
Assessment	Clear and plain	Legislation is drafted in clear and plain language. Ambiguity,
criterion 3:	language	vagueness, contradictions, and over-generality within the text
		and regarding other laws are avoided. Gender-neutral language is
		used wherever possible.
Assessment	Amendment of	Proposals for laws that amend existing legislation follow the
criterion 4:	existing	structure and terminology of the existing legislation.
	legislation	Amendments are made in a logical order in the form of text
		inserted into the amended legislation.
Assessment	Drafting	Specialist legislative drafting resources are available to all MPs
criterion 5:	resources	and political groups, including to opposition, minority-party and
		independent MPs.

#### 5.1.6.5 Enactment

This dimension focuses on the provisions governing how a proposed law, after successfully navigating all stages of the legislative process and receiving parliamentary approval, is enacted into law. Typically, proposals approved by parliament require the consent or signature of the Head of State to enter into force, a process known as "promulgation". The nature of the Head of State's veto power also differs; in some systems, granting assent is merely a formality, while in others, the Head of State has substantial authority to prevent legislation from being enacted or to propose specific amendments to legislative proposals. Overriding a veto requires a supermajority vote in parliament, with the relevant procedures delineated in the constitution. In cases where the Head of State can propose amendments, parliament is generally permitted to approve the legislation by a simple majority if the amendments are fully adopted.

#### The assessment:

Assessment	Procedure for the	The constitution establishes a clear procedure for the
criterion 1:	promulgation of	promulgation of laws that have been approved by parliament.
	laws	
Assessment	Veto powers	Where the Head of State has the power to veto legislation or
criterion 2:		propose amendments, the grounds on which such veto power
		might be exercised, and the scope of such veto power, are
		clearly established.
Assessment	Overriding a veto	Where the Head of State has the power to veto legislation or
criterion 3:		propose amendments, parliament has the power to override
		the veto with a larger-than-usual majority.

## 5.1.6.6 Official publication

This dimension focuses on the provisions governing the official publication of laws, ensuring they are accessible to any interested party. Open and effective access to legislation is crucial for understanding and upholding the rule of law. For laws to be implemented effectively, they must be accessible, predictable, and clear. Citizens are more likely to comply with laws they understand. Recent advancements have led to the expectation of online access to updated, searchable, and accessible legal information.

#### The assessment:

Assessment	Legal	The legal framework outlines the rules on the official publication of
criterion 1:	framework	legislation, including the procedure and timeline between its
		passage and publication. Laws only become effective once they have
		been officially published.
Assessment	Central	There is an official collection of laws, which is comprehensive and up
criterion 2:	registry	to date, and can be accessed online by the public free of charge.
Assessment	Consolidated	Amendments to existing laws are published in a consolidated version
criterion 3:	versions of	of the law, allowing users to access the complete text and to easily
	laws	identify the amendments.

# 5.1.6.7 Post-legislative scrutiny

This dimension focuses on the provisions that grant parliament the authority to conduct PLS, an essential mechanism for ensuring that laws are implemented effectively, and their impacts are assessed. PLS enables parliament to review how legislation is interpreted and applied by courts and how legal practitioners and citizens utilise its provisions. By identifying legislative gaps and shortcomings, PLS promotes targeted, evidence-based law-making. Furthermore, it allows MPs to scrutinise secondary or delegated legislation, ensuring comprehensive oversight of law implementation. PLS can be an inclusive process, encouraging contributions from political parties, academia, experts, and civil society. Such engagement enriches parliamentary knowledge, enhances the credibility of parliamentary work, and fosters public trust in the institution due to increased transparency.

Assessment	Legal	There is a legal framework for PLS.
criterion 1:	framework	
Assessment	Practice	PLS is an established part of the legislative and/or oversight process.
criterion 2:		
Assessment	Resources	Parliament has the necessary human, financial and administrative
criterion 3:		resources to conduct PLS, including trained and skilled staff.
Assessment	Inclusiveness	PLS is an inclusive process that invites input from political parties,
criterion 4:		academia, experts, and civil society.

Assessment	Follow-up	Committees and/or other parliamentary bodies conducting PLS
criterion 5:		regularly interact with the executive and other stakeholders in order
		monitor the implementation of PLS recommendations.

#### 5.1.7 Indicator 1.7 Oversight

Parliamentary oversight is a fundamental function of parliament aimed at promoting citizens' freedoms and well-being while enhancing accountability and transparency within the government. The oversight processes evaluate the effects of government actions on society, ensuring that adequate resources are allocated for government programs, identifying any unintended or adverse outcomes of policies, and monitoring compliance with national and international commitments. Effective parliamentary oversight should be rigorous, systematic, constructive, transparent, and evidence based. It should actively involve relevant bodies, organisations, and the wider public to ensure comprehensive scrutiny and foster greater public trust in governmental processes. There are 7 dimensions under this indicator: Election and Dismissal of the Executive, Access to Information from the Executive, Summoning the Executive in Committee, Summoning the Executive in Plenary, Questions, Hearings, and Parliamentary Committees of Inquiry.

#### 5.1.7.1 Election and dismissal of the executive

This dimension addresses three essential parliamentary powers concerning the election and dismissal of the executive: election of the Head of State/Government, vote of Confidence in the Executive, and censure or Impeachment of the Head of State/Government and/or Ministers. The scope of these powers varies across political systems, with the parliament's mandate typically defined in the constitution and further elaborated in relevant laws and parliamentary rules of procedure. In parliamentary systems, the executive's tenure is contingent upon continued parliamentary support, allowing parliament to bring down the executive through a vote of no confidence if necessary.

Assessment	Election of the	The constitution lays down clear criteria and rules for the
criterion 1:	Head of	election of the Head of State/Government and, where
	State/Government	relevant, the role that parliament plays in this election.
Assessment	Votes of	In systems where the executive requires parliamentary
criterion 2:	confidence in the	confidence to govern, the legal framework lays down clear
	executive	rules and criteria for the establishment of such confidence. In

		systems not based on parliamentary confidence, parliament
		approves ministers and cabinet members individually.
Assessment	Censure or	Parliament has the power to remove the Head of
criterion 3:	impeachment of	State/Government and/or ministers for breaches of their
	the executive	constitutional duty or for unlawful conduct through processes
		of censure or impeachment. The rules and criteria for such
		processes are clearly set out in the legal framework and in
		parliament's rules of procedure.
Assessment	Practice	In practice, the procedures for electing the Head of
criterion 4:		State/Government, for holding a vote of confidence in the
		executive, and for censuring or impeaching the Head of
		State/Government and/or ministers are applied consistently
		and in accordance with the relevant provisions of the
		constitution and other aspects of the legal framework.

## 5.1.7.2 Access to information from the executive

This dimension addresses the legal authority of parliament, its committees, and individual MPs to access information from the executive for oversight purposes. The legal framework or parliamentary rules should establish clear procedures and timelines for obtaining information, through a variety of mechanisms. Access to classified information, such as state secrets, may be restricted to special committees or MPs with security clearance. Any limitations should be clearly defined by law. In some systems, a minister's failure to provide requested information may lead to censure, impeachment, or breach of privilege.

Assessment	Legal	The constitution and/or other aspects of the legal framework			
criterion 1:	framework	parliament, its committees, and individual MPs to obtain			
		information from the executive and establish the obligation for			
		ministers to provide such information in full and in a timely			
		manner.			
Assessment	Procedures	Parliament's rules of procedure establish clear and effective			
criterion 2:		procedures and specific timelines for obtaining information from			
		the executive. These procedures are applied rigorously and			
		systematically in practice.			

Assessment	Classified	Special procedures are in place regarding access to classified
criterion 3:	information	information. Any limitations on access to classified information,
		such as State secrets from the military, security, and intelligence
		services, are precisely defined by law.
Assessment	Executive	Parliament has a designated committee or other body tasked with
criterion 4:	compliance	monitoring the executive's compliance with these legal
	with	requirements and procedures and for keeping track of matters
	requests for	such as delays, failures to submit information and justifications for
	information	delays.
Assessment	Failure to	The constitution and/or other aspects of the legal framework
criterion 5:	provide	establish that ministers or other government representatives are
	information	to be held to account for systematically failing to provide
		information to parliament or to MPs. Such a failure may constitute
		grounds for censure or impeachment, or lead to other forms of
		parliamentary action.

# 5.1.7.3 Summoning the executive in committee

This dimension addresses the legal authority of parliament, its committees, and individual MPs to obtain information from the executive as part of oversight responsibilities. The legal framework and/or parliamentary rules should delineate clear procedures and specific timelines for accessing information from the executive, utilising mechanisms such as: question time during plenary sessions, information provision to parliamentary committees or written responses to individual MPs, submission of questions or letters to the executive, and fact-finding visits to government institutions and other relevant sites for oversight purposes.

Assessment	Legal	The legal framework clearly establishes the authority of parliament
criterion 1:	framework	to summon representatives of the executive to appear before
		committees. This includes members of cabinet, as well as senior
		officials of the administration, and of the military, law enforcement
		and intelligence services
Assessment	Procedures	Parliament's rules of procedure lay down the procedures for
criterion 2:		summoning representatives of the executive and provide specific
		rights for the opposition.

Assessment	Resources	Committees have sufficient resources and expert staff to assist with
criterion 3:		the process of summoning representatives of the executive.
		Committees gather evidence and information from a wide range of
		sources to enhance the effectiveness of oversight and questioning.
Assessment	Practice	In practice, parliament consistently summons representatives of the
criterion 4:		executive, who appear before committees when invited and provide
		full and timely information to the committee.

# 5.1.7.4 Summoning the executive in plenary

This dimension pertains to the authority of parliament to summon executive representatives before its committees, a critical function for scrutinising the executive's effectiveness and adherence to relevant policies and laws. Parliament should possess the power to summon ministers, as well as senior officials from the administration, military, law enforcement, and intelligence services. When summoned, ministers should be required to appear personally rather than delegating to subordinates.

## The assessment:

Assessment	Legal	The legal framework authorises parliament to summon representatives
criterion 1:	framework	of the executive to appear in the plenary. Representatives of the
		executive are legally required to respond to an interpellation in person
		in the plenary.
Assessment	Procedures	Parliament's rules of procedure establish the procedure for
criterion 2:		interpellations, including the number of MPs required to launch an
		interpellation and the possible results of the process.
Assessment	Practice	In practice, parliament makes use of the interpellation procedure and
criterion 3:		representatives of the executive appear in the plenary when requested
		to do so.

## 5.1.7.5 Questions

This dimension pertains to the authority of MPs to submit both oral and written questions to the Prime Minister, ministers, and other executive representatives, ensuring they receive answers. Oral questions enable MPs to address critical political issues publicly. Many parliaments hold dedicated sessions for oral questions, often referred to as "question time", "Prime Minister's hour", or "ministers' hour". During these sessions, MPs should also be permitted to ask supplementary questions for clarification or to address incomplete responses. The Speaker plays a crucial role in managing these sessions by

maintaining a balance among political parties, overseeing discussions, and fostering a constructive debate atmosphere. Parliamentary rules should empower the Speaker to fulfil these responsibilities effectively. Written questions serve as a valuable mechanism for gathering detailed information that may not be readily accessible. Rules of procedure should outline the process for submitting written questions, establish response deadlines, and specify potential sanctions for failing to respond.

#### The assessment:

Assessment	Legal	The legal framework authorises MPs to submit both oral and written
criterion 1:	framework	questions to the executive and its representatives, which are required
		to respond to these questions in a full and timely manner or face
		sanctions.
Assessment	Procedures	Parliament's rules of procedure set out detailed procedures for the
criterion 2:		submission of both oral and written questions to representatives of
		the executive, authorise MPs to ask supplementary questions, and
		provide the Speaker with the necessary powers to manage the floor
		effectively during oral questions.
Assessment	Practice	In practice, the submission of both oral and written questions to
criterion 3:		representatives of the executive is a permanent part of parliamentary
		life. The procedures are applied consistently and effectively.
		Representatives of the executive respond in full and in a timely
		manner to both written and oral questions.

# 5.1.7.6 Hearings

This dimension focuses on the provisions and practices governing committee hearings, which are essential for parliamentary committees to gather information, obtain data and opinions, and seek evidence from diverse sources. These hearings play a critical role in informing the legislative process and overseeing executive policies and actions. Committee hearings are a prevalent form of public engagement within parliament, allowing individuals and citizen groups to present both written and oral evidence.

Assess	ment	Legal	The	legal	framework	establishes	the	mandate	of	parliamentary
criteri	on 1:	framework	com	mittee	s to conduct	hearings, bo	oth o	n the parlia	ame	ntary premises
			and	outsid	e parliament					

Assessment	Procedures	Parliament has clear rules and procedures on the planning and
criterion 2:		organisation of committee hearings, and on the production of
		committee reports.
Assessment	Openness	Committee hearings are open to the public in principle, and any
criterion 3:		exceptions to this rule are clearly defined. Where possible, committee
		hearings are broadcast through the parliamentary website.
Assessment	Practice	In practice, parliament rigorously and systematically conducts
criterion 4:		committee hearings and takes evidence from a wide range of sources.
		These hearings are open to the public unless there is a legitimate reason
		to close the meeting. The conclusions and results of committee
		hearings are documented and published.

# 5.1.7.7 Parliamentary committees of inquiry

This dimension concerns parliament's authority to conduct independent inquiries into specific issues by establishing a Parliamentary Committee of Inquiry (PCI). This fact-finding process is primarily designed to investigate potential maladministration, misconduct, or policy failures by the executive.

Assessment	Legal	The legal framework and/or parliament's rules of procedure contain				
criterion 1:	framework	clear provisions on the establishment of PCIs.				
Assessment	Powers	PCIs have the power to summon officials and/or private individuals,				
criterion 2:		to obtain written and oral evidence, as well as information and				
		documentation, from governmental, judicial, administrative, and				
		private institutions, to conduct hearings, and to issue findings and				
		recommendations.				
Assessment	Participation	Political participation in PCIs is proportional to political				
criterion 3:		representation in parliament, and the role of the opposition is				
		guaranteed by law. PCIs are open to the public, except in clearly				
		defined exceptional circumstances.				
Assessment	Resources	PCIs have the trained personnel and administrative and financial				
criterion 4:		resources necessary to support the process.				
Assessment	Practice	In practice, parliament sets up PCIs to investigate possible				
criterion 5:		maladministration, misconduct, or policy failure by the executive.				
		PCIs are able to carry out their inquiry with the full cooperation of				

the relevant authorities. The findings of PCIs result in
representatives of the executive being held to account.

#### 5.1.8 Indicator 1.8 Budget

This indicator addresses parliament's role throughout all stages of the annual budget cycle. The initial phase, termed "ex-ante review", involves the formulation and examination of the draft budget, proposed amendments from MPs, and the budget's subsequent approval. The second phase, "ex-post review", focuses on overseeing budget execution after approval. Specialised parliamentary bodies, such as the Public Accounts Committee (PAC), along with other committees and the supreme audit institution, play significant roles in this scrutiny process. There are 5 dimensions under this indicator: Formulation, Examination, Amendment, and Approval, In-year and Ex-post Oversight, Public Accounts Committee, Expert Support, and Supreme Audit Institution.

## 5.1.8.1 Formulation, examination, amendment, and approval

This dimension encompasses all aspects of the process through which parliament considers and approves budget legislation, with particular emphasis on the annual budget. The draft budget serves as the executive's declaration of priorities and commitments and may include both revenue and expenditure proposals, although this varies across parliaments. The parliamentary budget consideration process begins with the formulation of the budget and its subsequent presentation to parliament. Thereafter, parliament examines and deliberates on the budget, during which amendments may be proposed. The final step is the parliamentary approval of the budget.

Assessment	Legal	The legal framework establishes clear arrangements covering all
criterion 1:	framework	aspects of parliament's consideration of budget legislation. Only
		parliament can give final approval to budget legislation.
Assessment	Information	The executive presents the draft budget to parliament along with
criterion 2:	about the	detailed supporting information about its proposals and its effect on
	draft budget	different groups in society.
Assessment	Time	There is sufficient time and opportunity for scrutiny of budget
criterion 3:	available for	legislation, including by the opposition and/or minority parties.
	budget	
	consideration	

Assessment	Ability to	Parliament is substantially involved in the process of formulating the
criterion 4:	influence the	budget. Parliament is able to influence its content and to amend the
	budget	draft budget. Any limits on the scope of amendments that can be
		proposed by MPs are reasonable and clearly defined.
Assessment	Practice	In practice, the budget is presented to parliament within the time
criterion 5:		defined by law. Budget consideration in committee and in the
		plenary is substantive and in line with parliament's rules of
		procedures. Parliament approves the budget in a way and within a
		time defined by law or its rules of procedure.

# 5.1.8.2 In-year and ex-post oversight

This dimension addresses the provisions and processes through which parliament exercises in-year and ex-post oversight of the budget. Parliament's responsibilities do not conclude with the approval of the budget; ongoing monitoring of budget execution is crucial to ensure that funds are spent for the intended purposes. Parliament can conduct this oversight through several mechanisms.

Assessment	Role of	Parliament's budget committee, PAC or equivalent bodies conduct
criterion 1:	parliament	periodic in-year review of the execution of the budget as a whole, or of
		certain parts of the budget, either at their own initiative, or based on
		the government's monthly and/or quarterly reports on budget
		execution.
Assessment	Reporting	Agencies funded by the budget are required to account fully to
criterion 2:	to	parliament for their budgetary expenditure and outcomes through
	parliament	regular and comprehensive reporting.
Assessment	Scrutiny by	Parliamentary committees systematically inquire into the budgetary
criterion 3:	parliament	expenditure and outcomes of executive agencies for which they have
	ary	responsibility and have access to the information that is needed for
	committees	effective ex-post oversight, subject to legally defined limitations.
Assessment	Debate of	Budgetary outcomes are subject to discussion and debate in parliament,
criterion 4:	budgetary	including opportunities for the opposition and minority parties.
	outcomes	

Assessment	Practice	In practice, budget scrutiny and the debate of budgetary outcomes are
criterion 5:		regular and meaningful, with wide participation by MPs. Information on
		budget scrutiny is made publicly available

## 5.1.8.3 Public Accounts Committee

This dimension focuses on the role and activities of the PAC, budget committee, or equivalent body responsible for scrutinising the budget both before and after its passage through parliament. The PAC's roles may include providing information to assist in formulating and debating the budget, conducting ex-post oversight of government expenditures, examining the financial affairs or performance of any government entity, receiving and scrutinising reports from the supreme audit institution, and promoting the efficient, effective, and corruption-free expenditure of public funds.

#### The assessment:

Assessment	Legal	The legal framework establishes the PAC as parliament's primary
criterion 1:	framework	oversight body in relation to budgetary matters. The PAC has a broad
		mandate to fulfil its budgetary oversight duties and to assess the
		performance of entities funded by the budget.
Assessment	Composition	Parliament's rules of procedure require that opposition and/or
criterion 2:		minority parties be represented proportionally in the membership of
		the PAC and, ideally, that the PAC be chaired by a member of these
		parties.
Assessment	Access to	Entities funded by the budget are required to provide the PAC with
criterion 3:	information	records and information about their budgets and performance. Such
		information is readily accessible to parliament.
Assessment	Resources	The PAC is adequately resourced to enable it to exercise its mandate
criterion 4:		on behalf of parliament.
Assessment	Practice	In practice, the PAC plays an active role in parliament's scrutiny of the
criterion 5:		budget. It regularly informs parliament and the public about the
		outcomes of its budget scrutiny.

# 5.1.8.4 Expert support

This dimension pertains to the expert support available to MPs to effectively scrutinise the budget and assess government financial management and performance. Parliament requires comprehensive information and expertise to hold the executive accountable for the use of public resources. While the

executive is expected to provide detailed and transparent budgetary information to parliament, it is crucial for parliament to have independent sources of expertise to evaluate this official data. Such outside expertise can provide valuable insights into the budget's impact on various societal groups, including women, youth, people with disabilities, and other disadvantaged or minority communities.

#### The assessment:

Assessment	Internal	Parliament has a parliamentary budget office or other specialised
criterion 1:	resources	support service that has sufficient funding and expertise to provide
		expert support and advice on budgetary matters.
Assessment	External	Parliament routinely engages with external sources of expertise
criterion 2:	sources of	throughout the budget cycle and seeks to gain a perspective on how
	expertise	the budget impacts different groups in society.
Assessment	Capacity	MPs have access to specialised training to build capacity to scrutinise
criterion 3:	building	the budget.
Assessment	Practice	In practice, MPs are well-equipped to scrutinise the budget and have
criterion 4:		access to and engage with a wide range of internal and external
		sources of expertise and advice on budgetary matters.

## 5.1.8.5 Supreme audit institution

This dimension pertains to the role of the Supreme Audit Institution (SAI) as the entity responsible for auditing public financial administration and the management of public funds. The SAI is important for ensuring the efficient, effective, transparent, and accountable use of public resources that parliament approves through the annual budget process. As an independent source of information, the SAI provides parliament and the public with insights into budget outcomes and performance. An independent SAI is thus an essential component of a democratic system.

Assessment	Legal	The constitution and/or other aspects of the legal framework
criterion 1:	framework	provide the basis for the existence, functions, and powers of the SAI,
		and define the entities that it audits.
Assessment	Independenc	SAI members are independent of the executive and the entities that
criterion 2:	e of	they audit. Members enjoy independence in terms of their
	members	appointment to, and cessation of, office, and are persons of integrity
		and competence.

Assessment	Independenc	The SAI has access to the entities it audits and their records and
criterion 3:	e of mandate	documents and has the power to require a response to its findings.
	and	The SAI has the funding and expert staff necessary to perform its
	resources	audit functions.
Assessment	Relationship	Parliament and the SAI have a special relationship, which is legally
criterion 4:	between	defined and well-functioning in practice. The SAI is legally required to
	parliament	report regularly and independently to parliament and the public.
	and the SAI	
Assessment	Practice	In practice, the SAI demonstrates its independence, conducts its
criterion 5:		auditing work thoroughly, and reports regularly and independently
		to parliament and the public. Parliament systematically scrutinises
		SAI reports and takes action as necessary on their findings and
		recommendations.

## 5.1.9 Indicator 1.9 Representative role of members of parliament

The representative role is fundamental to the functions of MPs. This indicator emphasises two critical dimensions of this role: the interaction between MPs and their constituents, and the function of the opposition. Central to this is the notion that parliament serves as a forum for the diverse opinions of society to be articulated. Democracy is an inclusive process, allowing all citizens regardless of gender or political affiliation to engage in representation. There are 2 dimensions under this indicator: Interaction with the Electorate and Opposition.

#### 5.1.9.1 Interaction with the electorate

This dimension addresses the interaction between MPs and their constituents. MPs have a primary responsibility to represent all constituents, including those who did not vote for them or who did not participate in the electoral process. This representative role is applicable to all MPs, regardless of the political or electoral systems in which they operate. It is relevant to MPs in single-member and multi-member constituencies, as well as those in upper chambers of bicameral or federal systems representing subnational units. The number of constituents an MP represents inevitably influences how they fulfil their representative duties. The parliamentary calendar should facilitate reasonable opportunities for MPs to engage with their constituents.

#### The assessment:

Assessment	Legal	The legal framework clearly sets out the duties and expectations
criterion 1:	framework	concerning the representative role of MPs.
Assessment	Opportunities	Parliament's rules of procedure provide all MPs with reasonable
criterion 2:	to raise issues	opportunities to raise issues of concern to their constituents in
	in parliament	parliament, including in debates and in committee and plenary
		work.
Assessment	Parliamentary	The organisation of the parliamentary calendar facilitates the
criterion 3:	calendar	representative role of MPs. MPs have sufficient time available to
		interact with their electorate.
Assessment	Resources	Parliament provides MPs with adequate resources to support
criterion 4:		engagement with their constituents. These resources are allocated
		in an equitable, non-partisan manner.

# 5.1.9.2 Opposition

This dimension examines the various ways in which the opposition can fulfil its functions within a democratic parliament. As an essential component of democracy, the opposition embodies the diversity of society and its opinions. Its primary role is to provide a credible alternative to the ruling majority while overseeing and scrutinising the actions of the executive to promote transparency, integrity, and efficiency in public affairs, thus safeguarding the public interest. The opposition's significance is evident in both parliamentary and presidential systems.

Assessment	Legal	The legal framework recognises the special role of the opposition in
criterion 1:	framework	parliament.
Assessment	Opposition	The legal framework and/or parliament's rules of procedure provide
criterion 2:	rights	the opposition with specific rights in parliament, such as to scrutinise
		and question the government, to initiate legislation or motions for
		debate, to participate equally in debate and votes, to propose
		amendments to laws, and to be represented equitably on committees
		and in other parliamentary bodies.
Assessment	Opposition	The opposition, including its leader(s), has access to adequate
criterion 3:	resources	resources and facilities to effectively perform its role. In systems where

		the opposition comprises several parties, resources are allocated in a
		manner proportional to their representation.
Assessment	Practice	In practice, the above provisions are implemented consistently and
criterion 4:		without discrimination.

#### 5.1.10 Indicator 1.10 Relations with other branches of government

This indicator focuses on the constitutional separation of powers among the legislative branch, the executive branch, the judiciary, and subnational levels of government. It examines the mechanisms for communication and coordination between parliament and these other branches concerning parliament's jurisdictional powers. Key areas include legislative oversight of the executive, the process of judicial appointments, and the coordination and information-sharing with subnational governments. There are 3 dimensions under this indicator: Relations with the Executive, Relations with the Judiciary, and Relations with Subnational Levels of Government.

#### 5.1.10.1 Relations with the executive

This dimension examines the relationship between parliament and the executive within democratic systems, where both entities have distinct and autonomous roles supported by established mechanisms for effective collaboration. A crucial function of parliament is to hold the executive accountable, necessitating mechanisms and channels that enable access to information, data, and officials. This access is vital for evaluating public accounts, services, and performance, as well as ensuring accountability for government expenditures and programs. Mechanisms may include roles such as an ombudsperson, auditors-general, inspectors-general, oversight committees, commissions, audit offices, anti-corruption bodies, and information commissions.

Assessment	Constitution	The constitution establishes a separation of powers between the
criterion 1:		legislative and executive branches and establishes a framework for
		the relationship between the executive and parliament. In countries
		where the Head of State is a part of the executive branch, there are
		constitutional and legal provisions regarding the election, mandate,
		and roles of the Head of State, as well as the conditions and
		procedures for impeachment.

Assessment	Legal	The legal framework defines the powers and duties of the executive
criterion 2:	framework	and parliament, as well as mechanisms for a well-functioning
		relationship between them. The legal framework requires the
		executive to report periodically to parliament on its activities and
		establishes a systematic approach to the review and scrutiny of such
		activities.
Assessment	Information-	There are established mechanisms for periodic information-sharing,
criterion 3:	sharing	as well as for access to, and oversight of, executive expenditure,
		programmes, services, and performance. Any offices or commissions
		established for this purpose are non-partisan in nature and enable
		fluid communication and access to information between the
		branches.
Assessment	Coordination	Both parliament and the executive have dedicated offices or staff to
criterion 4:		manage and coordinate issues including parliamentary agenda-
		setting, prime minister's questions, the participation of ministers in
		parliamentary meetings, the summoning of executive officials to
		parliament, answers to MPs' questions, and similar matters.
Assessment	Practice	In practice, there is a well-functioning working relationship between
criterion 5:		the executive and parliament, which is based on a mutual
		understanding of, and respect for, their respective roles. Information
		is shared effectively between the executive and legislative branches.

# 5.1.10.2 Relations with the judiciary

This dimension focuses on the relationship between parliament and the judiciary, which should be strictly separated under the constitution due to the political nature of parliament and the political impartiality of the judiciary. This relationship encompasses parliament's law-making responsibilities and the judiciary's role in interpreting and applying the law. Parliament must establish a legal framework that clearly defines criteria for judicial appointments, outlines fair procedures for the suspension or removal of judges, and ensures judges have appropriate security of tenure and independence. It is also essential for parliament to allocate adequate budgetary resources to the judiciary.

#### The assessment:

Assessment	Constitution	The constitution clearly establishes a separation of powers between
criterion 1:		the legislative and judicial branches. The relationship between
		parliament and the judiciary is based on mutual respect and restraint.
Assessment	Legal	The legal framework, as established by parliament, sets clearly
criterion 2:	framework	defined criteria for judicial appointments, and establishes appropriate
		security of tenure and guarantees of independence for judges. In
		countries where parliament confirms and/or impeaches senior judges,
		it does so in accordance with this legal framework, using clear and
		transparent procedures, and by way of a majority or supermajority
		vote. Rules on the suspension or removal of judges are unambiguous
		and fair, and judges may only be suspended or removed for reasons of
		incapacity or misconduct that renders them unfit to carry out their
		duties.
Assessment	Resources	Parliament allocates adequate budgetary resources for the judicial
criterion 3:		system to operate effectively without any constraints.

# 5.1.10.3 Relations with subnational levels of government

This dimension examines the relationship between parliament and subnational levels of government, including states, provinces, and local or municipal authorities. The nature of this relationship can vary widely depending on a country's legal framework and the representational status of parliament. This dimension addresses key issues that apply to the relationship between parliament and subnational governments across various systems, including federations, unitary states, and hybrid models. The constitution and legal framework should clearly define the roles, responsibilities, and authorities of both parliament and subnational levels of government.

Assessment	Constitution	The constitution and/or other aspects of the legal framework clearly
criterion 1:	and legal	delineate the respective roles, responsibilities and authorities of
	framework	parliament and subnational levels of government.
Assessment	Mechanisms	Parliament has established mechanisms and practices in place for
criterion 2:	and	communication, coordination, cooperation, and information-sharing
	practices	with subnational levels of government.

## **5.1.11** Indicator **1.11** Key parliamentary powers

This indicator focuses on the essential parliamentary powers related to security, defence, and foreign affairs. These policy areas have been largely managed by the executive however, many parliaments possess significant decision-making authority and play a crucial oversight role. As global issues such as climate change, trade, and internet policy increasingly require international collaboration, parliaments are assuming a more prominent role in international affairs. Therefore, regular interaction between parliament and the executive regarding the international aspects of policymaking is vital. There are 4 dimensions under this indicator: Security, Defence, Foreign Affairs and International Agreements, and Parliamentary Diplomacy.

## 5.1.11.1 Security

This dimension focuses on the role of parliament in security policy and governance. State security providers are institutions authorised by the state to use force on its behalf, which includes both the threat of force and the restriction of certain fundamental rights under legally defined circumstances.

Assessment	Legal	The legal framework invests parliament with powers to scrutinise,
criterion 1:	framework	amend, adopt, or reject legislation related to the security sector, to
		ensure that it is compliant with human rights standards and
		international obligations, and to hold the executive to account. It
		also sets out the arrangements for parliamentary access to specific
		categories of classified information.
Assessment	Parliamentary	Parliament has well-established practices for security sector
criterion 2:	oversight	oversight, including oversight of policies, budgets, and
		appointments. MPs have the opportunity to debate security-sector
		policy and practice in the plenary and in committees. A specialised
		committee has wide-ranging powers to investigate security-sector
		issues, gather evidence and carry out inquiries.
Assessment	Addressing	The legal framework establishes an ombudsman or similar public
criterion 3:	public	body which addresses public concerns or complaints about
	concerns	security issues, and which reports to parliament.
Assessment	Resources	Parliament has in-house expertise on security-sector issues, and
criterion 4:		training on such issues is available for MPs and staff.

Assessment	Practice	In practice, the provisions of the legal framework on the security
criterion 5:		sector and data classification are implemented consistently. The
		executive cooperates with parliamentary committees and provides
		the information requested by them in a timely manner.
		Parliamentary committees conduct regular oversight of the
		security sector, and undertake investigation and inquiries as
		needed.

# 5.1.11.2 Defence

This dimension focuses on the role of parliament in defence policy, encompassing declarations of war, states of emergency, troop deployments, arms sales and exports, and the protection of civilians during conflicts. Civilian control over defence policy is vital for a well-functioning democratic society. Parliament is tasked with representing the interests of all societal groups and upholding human rights, serving as a safeguard against the unjustified and disproportionate use of force. It is essential that decisions regarding defence policy align with public needs.

Assessment	Use of	The constitution establishes parliament's powers with regard to the
criterion 1:	military force	use of military force, including the declaration of war and the
		deployment of troops. Parliament has the authority to investigate
		and debate the use of military force, including powers to summon
		representatives of the executive to testify before parliament.
		Parliament can effectively block the use of military force if there is a
		majority in favour.
Assessment	Defence	Parliament has the legal authority to scrutinise, amend and approve
criterion 2:	budget	the defence budget, including the authorisation of funding for each
		deployment of troops, and for arms sales and weapons
		procurement.
Assessment	Parliamentary	Parliamentary committees have broad mandates to oversee defence
criterion 3:	oversight	issues, including the oversight of arms sales to ensure compliance
		with international humanitarian law and the oversight of gender
		mainstreaming in defence policy.

Assessment	Resources	Parliament has in-house expertise on defence policy and has access
criterion 4:		to information and regular updates from the executive on such
		issues.

## 5.1.11.3 Foreign affairs and international agreements

This dimension focuses on parliament's role in determining and overseeing foreign policy priorities, as well as its mandate to ratify international agreements. Parliamentary powers regarding foreign policy can vary significantly. Most parliaments have a foreign affairs committee that holds broad oversight responsibilities. In some nations, the executive is obliged to consult with this committee before making significant foreign policy decisions.

#### The assessment:

Assessment	Mandate	The legal framework clearly establishes parliament's role with regard
criterion 1:		to foreign policy. Parliament has a committee with a broad mandate
		to oversee government policy and action on foreign affairs.
Assessment	International	Parliament is legally responsible for the ratification of international
criterion 2:	agreements	agreements that include significant national obligations. The
		executive is required to report to parliament on the implementation
		of international agreements. Where the State is required to report to
		an international body, the report is debated in parliament first.
		Parliament also plays an active role in scrutinising agreements with
		international financial institutions.
Assessment	Resources	Parliament has in-house expertise on foreign policy issues and has
criterion 3:		access to information and regular updates from the executive on
		such issues, including on the preparation of international
		agreements.

# 5.1.11.4 Parliamentary diplomacy

This dimension focuses on the significance of parliamentary diplomacy in fostering international cooperation. By facilitating political dialogue and mediation, it aids in conflict prevention, crisis management, and addressing political challenges at various levels. Parliamentary diplomacy enhances dialogue between nations and fosters mutual understanding. It can occur through bilateral relations between parliaments or within multilateral organisations. Engagement among MPs from different countries creates flexible channels for dialogue and is often integrated into official delegations during

state visits and international events. Parliaments frequently organise visits and maintain parliamentary friendship groups for ongoing exchanges.

Assessment	Transparency	Clear and transparent procedures are in place for deciding on
criterion 1:		parliamentary diplomacy activities, including forming friendship
		groups, and organising bilateral visits and international
		engagements.
Assessment	Inclusiveness	There are opportunities for all MPs, including women and young
criterion 2:		MPs, to participate in parliamentary diplomacy activities.
		Parliamentary delegations are inclusive of different political
		groups.
Assessment	Reporting	MPs are required to report back to parliament on their
criterion 3:		international engagements and other parliamentary diplomacy
		activities. Parliament monitors and regularly reports on follow-up
		action taken as a result of parliamentary diplomacy, including how
		resolutions of multilateral bodies have been addressed.
Assessment	Communication	There are well-established channels of communication with the
criterion 4:	with the	executive about parliamentary diplomacy activities.
	executive	
Assessment	Resources	MPs have access to appropriate resources to support
criterion 5:		parliamentary diplomacy and international engagements,
		including financial resources, timely information, and
		administrative support.

# 5.2 Target 2: Accountable parliament<sup>5</sup>

This target emphasises the accountability of parliament and its members regarding their conduct's integrity. Two key indicators are identified: Parliamentary Ethics and Institutional Integrity. Accountable parliaments feature members who are responsible to their electorate for both their performance and ethical conduct. There should be real possibilities for electoral sanctions, established standards, and enforceable codes of conduct for MPs. Members receive adequate compensation and must disclose their interests as well as income and have limitations on election spending. Furthermore, parliaments regularly and transparently report on their institutional performance.

#### 5.2.1 Indicator 2.1 Parliamentary ethics

This indicator addresses the ethical standards expected of parliament as a whole and its members, both within and outside the chamber. There are 5 dimensions under this indicator: Anti-corruption measures, Conflicts of interest, Codes of conduct, Parliamentary Income and Use of Parliamentary Resources, and Lobbying.

## 5.2.1.1 Anti-corruption

This dimension focuses on parliament's responsibility to combat corruption within public institutions and across society. Corruption is defined as actions intended to misuse public power for private gain, leading to significant negative impacts on the credibility of democratic institutions. It undermines their ability to serve citizens, infringes on human rights, threatens democracy, and hampers economic development. Parliament plays a crucial role in addressing corruption by creating environments that reduce opportunities for corrupt activities. MPs are tasked with ensuring that the legal framework aligns with the UN Convention against corruption, which also extends to all elected officials.

#### The assessment:

Assessment Legal A comprehensive anti-corruption legal framework is in place and criterion 1: framework complies fully with the mandatory and recommended legislative measures set out in the United Nations Convention against Corruption.

<sup>&</sup>lt;sup>5</sup> Parliamentary Indicators. 2023. *Parliamentary Indicators*. [online] Available at: <a href="https://www.parliamentaryindicators.org/">https://www.parliamentaryindicators.org/</a>> [Accessed 15 July 2024].

Assessment	Oversight by	Parliamentary committees conduct regular, in-depth oversight of the
criterion 2:	committees	implementation of national anti-corruption commitments, laws, and
		policies.
Assessment	National	Parliament ensures that national anti-corruption bodies are
criterion 3:	anti-	adequately funded and able to operate independently, and regularly
	corruption	interacts with them.
	bodies	
Assessment	Elected	The anti-corruption legal framework contains provisions relating to all
criterion 4:	officials	elected officials, including MPs. Parliament's rules of procedure
		include measures to prevent, detect and address corrupt practices
		within parliament and, where necessary, to hold MPs and staff to
		account.
Assessment	Raising	Parliament engages constructively with efforts by the public and CSOs
criterion 5:	awareness	to raise awareness, and to prevent and address corruption at all levels.

## 5.2.1.2 Conflicts of interest

This dimension addresses conflicts of interest, where the private interests of an MP or staff member take precedence over public interests, undermining their mandate as public officials. Rules regarding conflicts of interest should be established within parliament's procedural guidelines or national legal frameworks. To effectively combat conflicts of interest, rules should be complemented by strategies that foster an organisational culture of integrity. Non-partisan ethics bodies or commissions can provide mechanisms to prevent conflicts, and transparency in identifying and resolving these situations is crucial.

Assessment	Rules on	The legal framework codifies the rules on conflicts of interest and
criterion 1:	conflict of	measures for addressing them. Any exemptions to these rules are
	interest	limited and clearly defined.
Assessment	Parliamentary	Parliamentary mechanisms are in place to prevent, detect and
criterion 2:	mechanisms	address conflicts of interest within parliament and, where
		necessary, to hold MPs and staff to account.
Assessment	Provisions	Parliament's rules of procedure contain provisions regarding
criterion 3:	regarding	potential conflicts of interests, including the registration of MPs'
	potential	private interests in parliamentary debates, the holding of multiple

	conflicts of	offices, the declaration of assets, the accepting of gifts and
	interests	hospitality, and the advising of foreign governments.
Assessment	Compliance	A non-partisan or independent body is tasked with monitoring
criterion 4:		compliance with these rules and procedures, and with initiating
		procedures in the event of non-compliance. The process of
		identifying, resolving, and managing conflicts of interest is
		transparent.
Assessment	Guidance	Guidance is available to help MPs and staff ensure that they avoid
criterion 5:		conflicts of interest.

## 5.2.1.3 Code of conduct

This dimension focuses on the parliament's code of conduct, which may exist as a standalone document or be integrated with other ethical guidelines. While many parliaments regulate MPs' conduct through procedural rules, there is a growing trend toward explicitly codifying acceptable standards of parliamentary behaviour in a formal code of conduct. The code should be developed through an inclusive, transparent, and consultative process involving all political parties, and it must be publicly accessible. All MPs should be required to formally accept this code upon taking office. The code of conduct should clearly outline effective and fair rules of behaviour, with mechanisms for enforcement.

Assessment	Existence	Parliament has adopted a clear and enforceable code of conduct,
criterion 1:	of a code of	which sets out the expected standards of conduct for MPs. Every MP
	conduct	must formally accept the code of conduct at the beginning of their
		parliamentary mandate.
Assessment	Support of	The code of conduct has been developed via an inclusive, transparent,
criterion 2:	all political	and consultative process, has the support of all political groups, and is
	groups	publicly available.
Assessment	Compliance	A designated body is tasked with overseeing compliance with the code
criterion 3:		of conduct, including receiving complaints, enforcing standards of
		conduct in parliament, and carrying out regular reviews and updates.

Assessment	Training	Training is provided on the standards defined by the code, including
criterion 4:	and	induction training for new MPs. Guidance from the parliamentary
	guidance	administration is available to help MPs comply with the code.

# 5.2.1.4 Parliamentary income and use of parliamentary resources

This dimension addresses the obligation of individual MPs, political groups, and certain staff members to disclose their income, and the use of parliamentary resources or funds allocated from the parliamentary budget. Information regarding the recruitment and remuneration of personal staff, such as secretaries and assistants, should be publicly accessible. There is a legitimate public interest in understanding how MPs and political groups utilise these resources, necessitating accountability. Parliament should regularly publish information on MPs' salaries, allowances, benefits, and claimed expenses.

Assessment	MPs' salaries,	Parliament regularly publishes information about MPs' salaries,
criterion 1:	allowances,	allowances, and other benefits, as well as the expenses they claim.
	benefits, and	
	expenses	
Assessment	Funding and	Political groups are required by law to report regularly on their
criterion 2:	expenditure of	funding and expenditure, and these reports are published on the
	political	parliamentary website.
	groups	
Assessment	MPs' personal	Parliament's rules of procedure contain clear provisions on
criterion 3:	staff	recruitment, funding, remuneration and expenditure for MPs'
		personal staff who are not part of the parliamentary
		administration. Information about such recruitment, funding,
		remuneration and expenditure is publicly available.
Assessment	Misuse of	Parliament has rules and procedures in place to prevent the misuse
criterion 4:	parliamentary	of parliamentary resources, including parliamentary staff, for party-
	resources	political or other purposes.
Assessment	Compliance	A parliamentary body is responsible for monitoring the compliance
criterion 5:		of MPs and political groups with these requirements, and for
		holding them to account in the event of non-compliance.

# 5.2.1.5 Lobbying

This dimension focuses on the regulation of lobbying within the parliamentary context and its practical implementation, as well as the transparency of lobbying activities. Lobbying is defined as actions taken by individuals or groups with specific interests to influence political decision-making. Lobbying can be a legitimate way to represent specific group interests, it also has the potential to sway laws and policies against the public interest. Therefore, effective, and enforceable legal frameworks, along with corresponding parliamentary rules, are essential to prevent undue influence on MPs and other public decision-makers. The law should clearly define lobbying, identify what activities are considered lobbying, and specify who must register as a lobbyist. It is important to note that political activities among MPs aimed at garnering support for their proposals do not fall under the definition of lobbying for this dimension.

Assessment	Legal	The legal framework contains provisions on the lobbying of MPs
criterion 1:	framework	and other public officeholders. It sets out clearly defined categories
		of lobbyists who are required to register.
Assessment	Rules on	There are clear rules on transparency, confidentiality, and conflicts
criterion 2:	transparency,	of interest for lobbyists, as well as for MPs and other public office
	confidentiality,	holders.
	and conflicts	
	of interest	
Assessment	Register of	There is a publicly available statutory register of parliamentary
criterion 3:	lobbyists	lobbyists, with complete information about lobbyists' clients and
		finances. Information in the register is updated in a timely manner.
Assessment	Reporting of	MPs and other public office holders are obliged to report any
criterion 4:	illegal lobbying	suspicions of illegal lobbying activity to parliament and/or to other
	activity	relevant authorities.
Assessment	Compliance	A non-partisan body is tasked with periodically reviewing
criterion 5:		compliance with disclosure requirements, and with holding
		lobbyists, MPs, and other public office holders to account in the
		event of a breach of the rules.

## 5.2.2 Indicator 2.2 Institutional integrity

In democratic systems, parliaments are seen as the highest institutions and must represents institutional integrity by upholding high standards. This includes accountability for parliamentary funds, transparent procurement, and compliance with freedom of information laws, promoting openness and responsible use of resources. Parliaments must also support the professionalism of their staff, ensuring non-partisan conduct, and focus on institutional development. These efforts are crucial to maintaining public trust and reinforcing the role of parliament as a cornerstone of democracy. There are 5 dimensions under this indicator: Parliamentary expenditure, Public procurement, Freedom of information, Professionalism of the parliamentary administration, and Institutional development of parliament.

## 5.2.2.1 Parliamentary expenditure

This dimension outlines the rules, procedures, and practices that parliament must implement to ensure such accountability. Parliament should follow clear guidelines governing all expenditures, particularly concerning the salaries and allowances of MPs and staff, as well as expenses related to parliamentary officeholders and political groups issues of significant public interest. It is expected to report publicly on all budgetary expenditures, including the activities and performance of the parliamentary administration, along with a breakdown of salaries and allowances for MPs and their staff. These reports should facilitate public understanding by providing comparative data from previous budget cycles. Furthermore, parliamentary expenditures must undergo various oversight mechanisms, including regular independent audits and evaluations by designated parliamentary committees, with findings made publicly available.

Assessment	Rules and	Parliament has clear and transparent rules and procedures on the
criterion 1:	procedures	expenditure of parliamentary funds, including the remuneration and
		allowances paid to MPs and their staff, as well as spending related to
		parliamentary officeholders and political parties and groups.
Assessment	Public	Parliament is required to report regularly to the public on its
criterion 2:	reporting	expenditure.
Assessment	Independent	Parliamentary expenditure is subject to regular, independent audits.
criterion 3:	audits	Audit reports are made public.

Assessment	Oversight	A parliamentary committee provides oversight, including by
criterion 4:		scrutinising the reports of the parliamentary administration and the
		audits of expenditure. Committee findings and reports are made
		public.

# 5.2.2.2 Public procurement

This dimension pertains to public procurement processes within parliament, which should be transparent and competitive. Procurement may be governed by a legal framework applicable to all publicly funded agencies, but some parliaments implement distinct legal frameworks tailored to their specific needs. Procurement is a specialised task requiring specific knowledge and skills, parliament should employ staff with expertise in procurement management, contract oversight, and effective communication regarding complex procedures. Regular mandatory training should be provided for all staff involved in public procurement processes.

## The assessment:

Assessment	Legal	Parliament's procurement process is governed by a legal framework.
criterion 1:	framework	Parliament has clear and comprehensive procurement guidelines
		that are in line with national and international obligations and best
		practice.
Assessment	Expertise	Parliament has staff with expertise in undertaking procurement,
criterion 2:		managing contracts, achieving value for money, and communicating
		about complex procurement procedures.
Assessment	Transparency	All stages of the procurement process are completely transparent
criterion 3:		and open. All information on procurement is made publicly available
		in a timely manner.
Assessment	Audits	Internal and/or external audits or other reviews provide assurances
criterion 4:		regarding compliance with the legal framework and procurement
		guidelines. The reports and findings of such audits or reviews are
		made publicly available.

## 5.2.2.3 Freedom of information

This dimension addresses legislation concerning the right to information as it pertains to parliament, commonly referred to as freedom of information (FOI) legislation. This expectation extends to parliament, which should proactively publish information about its functions and also respond to

specific information requests. This can be achieved either by integrating parliament into a general FOI framework or by establishing distinct provisions tailored to parliamentary operations. FOI provisions applicable to parliament should adhere to several fundamental principles.

#### The assessment:

Assessment	Parliamentary	The legal framework establishes a parliamentary FOI regime, which
criterion 1:	FOI regime	is in line with national and international obligations and best
		practice. Any citizen or organisation can request information under
		the parliamentary FOI regime.
Assessment	Guidelines	Parliament follows detailed FOI guidelines, which require maximum
criterion 2:		disclosure of information, including information relating to
		parliament's procedures and processes and to the parliamentary
		administration.
Assessment	Exceptions	Any exceptions are clearly and narrowly defined by law, with a
criterion 3:		strong "public interest" test for disclosure. Parliamentary privilege
		may limit access to information in very specific and clearly defined
		circumstances.
Assessment	Proactive	The parliamentary FOI regime sets standards for the proactive
criterion 4:	publishing	publishing of predetermined categories of information, including in
		open and machine-readable formats.
Assessment	Compliance	Information is provided in response to parliamentary FOI requests in
criterion 5:		a consistent and timely manner. Refusals to disclose information, or
		failures to disclose full information, are reviewed by an independent
		external body, whose decisions are binding.

## 5.2.2.4 Professionalism of the parliamentary administration

This dimension addresses various aspects of professionalism within the parliamentary administration, encompassing ethical standards, complaint procedures, and career management for parliamentary staff. Parliaments typically adhere to either the ethical codes and standards applicable to the civil service or develop specific codes for their staff. Regardless of the approach, these codes should clearly articulate expectations regarding professionalism, ethical conduct, respect for the institution and its personnel, integrity, accountability, and impartiality. Through effective human resources policies and practices, the administration should ensure that staff possess the necessary skills and knowledge to maintain the institution's continuity and integrity.

#### The assessment:

Assessment	Code(s) of	The legal framework requires the development of code(s) of ethics,
criterion 1:	ethics,	standards and conduct for parliamentary staff.
	standards,	
	and conduct	
Assessment	Scope	Code(s) of ethics, standards and conduct for parliamentary staff exist
criterion 2:		in practice, apply to all parliamentary staff, and set out clear
		expectations on professionalism and ethical conduct, on respect for
		parliament, its staff, and the people they serve, and on integrity,
		accountability, and impartiality.
Assessment	Complaints	There is a clearly defined and robust process for the filing and
criterion 3:		independent investigation of complaints concerning alleged breaches
		of the code(s), with sanctions applied where such complaints are
		upheld.
Assessment	Professional	The parliamentary administration has a professional development
criterion 4:	development	framework that promotes an ethos of parliamentary service and
		ensures that staff have the skills and knowledge to support the
		institutional continuity and integrity of parliament.

# 5.2.2.5 Institutional development of parliament

This dimension focuses on the processes involved in the institutional development of parliament, including strategic planning and the monitoring and evaluation (M&E) of parliamentary performance. Parliament is increasingly adopting strategic approaches to development, which involve setting long-term organisational goals, identifying capacity gaps, and determining actions to achieve these goals. M&E systems are essential for tracking progress and pinpointing areas requiring adjustments.

Assessment	Strategic	Parliament has a strategic plan for its institutional development,
criterion 1:	planning	which sets out a long-term vision and goals and actions to achieve
		them. Strategic planning is an inclusive process, and the plan is
		regularly reviewed and updated.
Assessment	Responsibility	Responsibility for institutional development is clearly allocated
criterion 2:	for	within parliament, and the parliamentary administration has
		established teams to support institutional development.

	institutional	
	development	
Assessment	Common	Parliament has endorsed the Common Principles for Support to
criterion 3:	Principles for	Parliaments, which are used in practice by parliament and its
	Support to	partners.
	Parliaments	
Assessment	M&E	Parliament has an M&E system to track progress and identify
criterion 4:		where adjustments are needed.
Assessment	Innovation	Parliament encourages a culture of innovation that allows new
criterion 5:		processes to be tested and, where appropriate, incorporated into
		its work.

## 5.3 Target 3: Transparent parliament<sup>6</sup>

Target 3 focuses on fostering a transparent parliament that is open to the nation and conducts its business with transparency. This target comprises three key indicators: transparency of parliamentary processes, parliamentary communication and outreach, and access to parliament. Open parliaments prioritise transparency in their operations, ensuring that proceedings are accessible to the public and media. They publicise debates in advance and make relevant documents available online. Furthermore, open parliaments typically have dedicated public relations teams and effectively leverage technology to disseminate information to the public.

## 5.3.1 Indicator 3.1 Transparency of parliamentary processes

This indicator pertains to parliamentary transparency, founded on the principle that the public should be able to understand, engage with, and observe parliamentary processes. To achieve this, parliament must provide timely information about its decisions and procedures in a format that is accessible and comprehensible to the public. There are 3 dimensions under this indicator: Transparency of parliamentary work, Transparency of the legislative process, and Transparency of the budget cycle and the parliamentary budget.

## 5.3.1.1 Transparency of parliamentary work

This dimension addresses the principles, policies, and practices governing the transparency of parliamentary work. For citizens to be fully informed about parliamentary decisions and activities, clear policies and practices regarding transparency must be established across all aspects of parliamentary operations. Transparency also necessitates the explanation of parliamentary processes, enabling citizens to learn about and better understand how parliament functions, thereby contextualising the information available to them. To achieve desired levels of transparency, parliament requires a sufficient number of capable staff, digital systems for information storage and access, and additional resources.

<sup>&</sup>lt;sup>6</sup> Parliamentary Indicators. 2023. *Parliamentary Indicators*. [online] Available at: <<a href="https://www.parliamentaryindicators.org/">https://www.parliamentaryindicators.org/</a>> [Accessed 15 July 2024].

#### The assessment:

Assessment	Principles,	Parliament's rules of procedure establish principles and policies on
criterion 1:	policies, and	transparency in all aspects of parliamentary work. The rules of
	practices	procedure also set out how these principles and policies are
		translated into practice.
Assessment	Information	Comprehensive information about all aspects of parliamentary work
criterion 2:	about	is made available in a timely manner, and in formats that can be
	parliamentary	easily accessed and understood by all groups in society.
	work	
Assessment	Explanatory	Parliament regularly publishes explanatory and educational
criterion 3:	and	materials on the role of parliament, committees, and MPs, and on
	educational	parliamentary processes, procedures, and activities.
	materials	
Assessment	Resources	Parliament has a sufficient number of staff, digital systems, and
criterion 4:		other resources to fulfil its transparency responsibilities.
Assessment	Continual	Parliament regularly evaluates its level of transparency, solicits
criterion 5:	improvement	feedback from the public and looks for ways to further improve
		transparency.

# 5.3.1.2 Transparency of the legislative process

This dimension addresses the transparency of the legislative process, encompassing all stages from the introduction of a proposed law to its adoption by parliament. It also pertains to how information regarding legislation is disseminated to the public, including the scope, channels, formats, and timing of such information.

Assessment	Information	Parliament publishes information about all proposals for laws in a
criterion 1:	about	timely manner, including the full text and status of proposals for
	proposals for	laws or revisions to existing legislation.
	laws	
Assessment	Information	Parliament publishes all information generated during the
criterion 2:	generated	legislative process in a timely manner. This includes the
	during the	parliamentary agenda and schedules, the texts of all amendments,
		records of plenary and committee discussions and votes, and all

	legislative	other reports and background information created for or by
	process	parliament that form part of the record on a given piece of
		legislation, including public and expert opinions submitted to or
		prepared for parliament.
Assessment	Accessibility	Information generated during the legislative process is made
criterion 3:	of	available on the parliamentary website in real time or as soon as it
	information	is available internally, in formats that can be easily accessed and
		understood by all groups in society.

# 5.3.1.3. Transparency of the budget cycle and the parliamentary budget

This dimension focuses on the transparency of the budget cycle, encompassing budget development, adoption, and execution. It emphasises the need for clarity regarding parliament's role in the budget cycle, as well as transparency concerning parliament's own budget. The national budget is the most significant piece of legislation that parliament addresses annually. Therefore, transparency in the budget cycle is essential for public understanding and for enabling parliamentary scrutiny of the executive's spending priorities, planned revenues, capital investments, and public debt.

Assessment	Legal	The legal framework provides for the transparency of the budget
criterion 1:	framework	cycle, including the development, consideration, approval, and
		execution of the national budget, as well as ex post review.
Assessment	Information	Information is made available about parliamentary actions at all
criterion 2:	about	stages of the budget cycle, in a timely manner and in formats that can
	parliamentary	be easily accessed and understood by all groups in society.
	actions	
Assessment	Explanatory	Parliament makes available explanatory materials outlining the
criterion 3:	materials	parliamentary process related to budget consideration and approval,
		oversight of budget execution, and ex-post review.
Assessment	Parliamentary	The legal framework and/or parliament's rules of procedure require
criterion 4:	budget	the publication of comprehensive information about all aspects of
		the parliamentary budget, including on the parliamentary website.

## 5.3.2 Indicator 3.2 Parliamentary communication and outreach

This indicator concerns the approach that parliament takes to institutional communication and outreach. Communicating with the public about what parliament does is a basic condition for people to be able to understand and even participate in parliamentary work. Parliaments use a range of channels to inform and communicate with the public, including broadcasting, websites, social media, and educational materials. They seek to ensure that parliamentary information is accessible to all groups in society, including rural communities and people without access to the internet. Outreach to the public involves a mix of in-person and online activities. It implies creating opportunities for people to interact with parliament on the parliamentary premises, as well as where they live, in their time, on issues which they care about. There are 3 dimensions under this indicator: Institutional Communication, Parliamentary Website, and Outreach Activities.

#### 5.3.2.1 Institutional communication

This dimension examines the various communication methods employed by parliament to inform the public about its activities. Many parliaments have developed institutional communication policies or strategies and established specialised communications units to enhance these efforts. To effectively reach all segments of society, including children, young people, individuals without internet access, and disadvantaged groups parliaments typically utilise a diverse mix of communication channels. This requires adequate resources and tools to support their communication strategies.

Assessment	Communication	Parliament has adopted an institutional communication policy or
criterion 1:	policy or	strategy that sets out how parliament aims to inform all groups in
	strategy	society about its work using a range of means of communication.
Assessment	Resources	Parliament has sufficient human and financial resources to
criterion 2:		support effective communication and to enable all groups in
		society to access parliamentary information.
Assessment	Broadcasting	Parliamentary proceedings, particularly plenary sessions, are
criterion 3:		broadcast live other than in exceptional cases, which are limited
		and clearly defined. Live and archived broadcasts are widely
		accessible at no extra cost to the public.

Assessment	Social media	Parliament has accounts on the main social media channels, and
criterion 4:		actively posts content and interacts with the public on these
		channels.

## 5.3.2.2 Parliamentary website

This dimension focuses on the parliamentary website, which should provide comprehensive, timely, and accurate information about parliamentary activities. The website should facilitate citizen engagement in parliamentary processes, allowing individuals to submit comments, questions, and contact MPs, committees, and parliamentary officials. The website should be user-friendly, accessible to all societal groups, including individuals with disabilities and content should be available in all relevant languages.

#### The assessment:

Assessment	Website	Governance structures exist that set the goals for the parliamentary
criterion 1:	governance	website, allocate sufficient human and technical resources, and
		periodically evaluate progress towards these goals.
Assessment	Website	The parliamentary website provides comprehensive, timely and
criterion 2:	content	accurate information about parliament and parliamentary
		proceedings.
Assessment	Usability	Information on the parliamentary website is well-organised,
criterion 3:		including for non-expert users, and is written in plain language.
		Datasets are available in open, machine-readable formats.
Assessment	Accessibility	The parliamentary website meets recognised accessibility standards,
criterion 4:		including for persons with disabilities.
Assessment	Dialogue	The parliamentary website provides a range of means for the public
criterion 5:	with the	to participate in the work of parliament, and to contact MPs,
	public	committees, and parliamentary officials.

## 5.3.2.3 Outreach activities

This dimension focuses on parliamentary outreach, which aims to establish direct connections between parliament and citizens through various activities conducted both on and off parliamentary premises. Activities include school visits, open days, parliamentary weeks, and mobile parliament events. To ensure inclusivity, outreach efforts should target diverse societal groups, including civil society organisations, universities, schools, think tanks, and professional organisations. Furthermore,

parliaments support outreach activities by creating explanatory and educational materials, such as bulletins, guides, leaflets, videos, animations, journals, and other publications that provide insights into parliamentary work.

#### The assessment:

Assessment	Outreach	Parliament has an outreach policy or strategy, as either a stand-alone
criterion 1:	policy or	document or a part of an overall communication strategy.
	strategy	Parliamentary staff create and implement an annual plan of activities
		based on this policy or strategy.
Assessment	Outreach	Parliament organises various outreach activities on and off the
criterion 2:	activities	parliamentary premises, with the participation of the Speaker(s), MPs
		and a wide range of members of the public.
Assessment	Explanatory	Parliament produces explanatory and educational materials that
criterion 3:	and	support its outreach strategy.
	educational	
	materials	
Assessment	Resources	Parliament has sufficient human and financial resources to support
criterion 4:		effective outreach to all groups in society.

## 5.3.3 Indicator 3.3 Access to parliament

This indicator addresses public physical access, including for persons with disabilities and the media, to parliamentary proceedings at all venues, including the parliamentary building, plenary chambers, and committee meeting rooms, as well as events organised on or off-site. Ensuring physical access is a vital democratic principle; however, parliaments must also balance this principle with legitimate concerns such as security and public health. There are 3 dimensions under this indicator: Physical Access to Parliament, Access for Persons with Disabilities, and Media Access to Parliament.

# 5.3.3.1 Physical access to parliament

This dimension examines public access to parliamentary premises and the ability to observe proceedings, which holds both symbolic and practical significance. Public openness influences citizens' perceptions of and interactions with parliament. Access should be encouraged across all venues,

including the parliamentary building, plenary chambers, and committee meeting rooms, as well as events on or off-site.

#### The assessment:

Assessment	Legal	The legal framework provides for public access to all venues where
criterion 1:	framework	parliamentary proceedings take place.
Assessment	Restrictions	Any restrictions on public access to parliament are established in
criterion 2:	on access	the legal framework, and are limited, proportional and imposed on
		reasonable grounds. Information about any such restrictions is
		made widely available.
Assessment	Guidelines for	Parliament has clear guidelines for parliamentary staff outlining the
criterion 3:	parliamentary	management of all aspects of public access. These guidelines are
	staff	applied consistently.
Assessment	Resources	Parliament dedicates sufficient resources to informing the public
criterion 4:		about visiting opportunities and arrangements.

# 5.3.3.2 Access for persons with disabilities

This dimension focuses on ensuring accessibility for persons with disabilities to parliamentary premises and information. It is essential that parliament is accessible to all societal groups. Older or heritage buildings may pose physical barriers that hinder access to parliamentary chambers and committee rooms, necessitating retrofitting to meet accessibility standards. This includes eliminating barriers to entry and movement, installing accessible restrooms, and providing signage for individuals with hearing or visual impairments. Access to information is equally important, parliamentary proceedings should include sign-language interpretation and be available on the website in formats compatible with assistive technologies.

Assessment	Legal	The legal framework and/or parliament's rules of procedure require
criterion 1:	framework	parliament to ensure equal access for persons with disabilities to the
		parliamentary building, to parliament's processes and proceedings,
		and to information about the work of parliament.
Assessment	Physical	In practice, there are no obstacles to equal access for persons with
criterion 2:	access to	disabilities to the parliamentary premises and to its proceedings.
	parliament	

Assessment	Access to	Parliament makes information about parliamentary proceedings, as
criterion 3:	information	well as parliamentary publications, available in formats that facilitate
	about	access for persons with disabilities.
	parliament	
Assessment	Consultation	Parliament consults regularly with civil society organisation s to seek
criterion 4:		input and advice about ensuring that parliament is accessible to all
		people, regardless of disability.

# 5.3.3.3 Media access to parliament

This dimension addresses the provisions and arrangements for media access to parliamentary premises. Media plays a crucial role in reporting on parliamentary activities, and parliament must ensure free and unfettered access to its proceedings. Adequate space and conditions should be provided for journalists and technicians to work effectively. If a media credentialing system is implemented, it should allow for the permanent registration of media outlets and facilitate their access without limiting the diversity of reporting or imposing political control.

Assessment	Rules of	Parliament's rules of procedure guarantee access for the media to
criterion 1:	procedure	the parliamentary building and to all venues where parliamentary
		proceedings take place.
Assessment	Restrictions	Any restrictions on media access are limited, proportional and
criterion 2:	on access	imposed on reasonable grounds. There are no unwarranted
		obstacles preventing the media from reporting on plenary sessions
		or committee meetings.
Assessment	Credentialling	Parliament's credentialling system allows a wide diversity of media
criterion 3:	system	outlets to access parliament and report freely on parliamentary
		activities.
Assessment	Space,	Parliament provides media outlets with appropriate space,
criterion 4:	infrastructure,	infrastructure, and technical support to carry out their work.
	and technical	
	support	
Assessment	Practice	In practice, diverse media outlets have equal access to the
criterion 5:		parliamentary building and to all venues where parliamentary

proceedings take place and are able to report freely on
parliamentary activities.

## 5.4 Target 4: Responsive parliament<sup>7</sup>

The dimension for a responsive parliament emphasises addressing public concerns and policy issues. This indicator prioritises valuing public input as an indicator. Accessible parliaments actively involve citizens in their processes, providing multiple avenues for individuals to engage with their MPs and ensuring effective consultation before legislation is enacted. Key features of accessibility include the public's right to request action on specific issues and to file complaints regarding grievances. Furthermore, interest groups are permitted to lobby within established legal frameworks that promote transparency in these interactions. There are 3 dimensions under this indicator: Responding to Public Concerns, Responding to Emerging Policy Issues, and Leaving No One Behind and the 2030 Agenda for Sustainable Development.

#### **5.4.1** Indicator **4.1** Valuing public concerns

This indicator focuses on parliament's openness and responsiveness to public concerns, which is essential for building trust in the institution. Parliaments are expected to be attentive to public issues and address them promptly and effectively. A key challenge for parliament and its MPs is that the public is not a homogeneous entity, it consists of individuals with diverse and often conflicting interests. Therefore, parliament must employ nuanced approaches to engage various audiences, including both vocal groups and those who typically remain silent. Rapid technological and social changes necessitate that all political institutions, including parliament, constantly evolving, and adapting their strategies to meet the public's shifting needs. This requires a flexible institutional framework and frequently updated working methods and processes. Furthermore, this indicator – consisting of 3 dimensions – assesses how parliament responds to emerging policy issues that fall outside medium- or long-term planning.

### 5.4.1.1 Responding to public concerns

This dimension focuses on how parliament and MPs listen to and respond to concerns raised by the public. Citizens, either individually or collectively, should be able to bring issues of concern to parliament and trust that their input will be considered appropriately. Responsiveness to public concerns is a fundamental aspect of the representative function. Distinguishing between issues raised with MPs and those brought directly to parliament, or its committees can be challenging. It is vital for

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<sup>&</sup>lt;sup>7</sup> Parliamentary Indicators. 2023. *Parliamentary Indicators*. [online] Available at: <a href="https://www.parliamentaryindicators.org/">https://www.parliamentaryindicators.org/</a> [Accessed 15 July 2024].

parliament to offer various mechanisms for the public to raise issues, with petitions being one of the most common.

#### The assessment:

Assessment	Mechanisms	Parliament's rules of procedure establish mechanisms for the
criterion 1:	for the public	public to raise issues of concern with parliament and set out how
	to raise issues	issues raised through these mechanisms will be dealt with.
Assessment	Processing	The parliamentary administration processes issues raised by the
criterion 2:	issues raised	public in a timely manner and makes information about this public
	by the public	input available to MPs in appropriate formats.
Assessment	Feedback to	Issues brought to the attention of parliament are given
criterion 3:	the public	consideration and the person(s) who raised the issue
		receive(s)feedback on how it was handled.

# 5.4.1.2 Responding to emerging policy issues

This dimension focuses on how parliament addresses policy issues that arise outside of medium- or long-term planning. These issues are often unforeseen and can capture significant public attention, becoming matters of common concern. Emerging policy issues may include critical events such as natural disasters, threats to democracy, or outbreaks of communicable diseases, as well as less critical yet urgent matters requiring parliamentary attention. While parliamentary procedures and agendas are typically pre-defined and planned in advance, there should be flexibility in the rules to accommodate emerging issues.

Assessment	Rules of	Parliament's rules of procedure establish mechanisms that allow
criterion 1:	procedure	for emerging policy issues to be addressed in parliament, such as
		through urgent debates or questions.
Assessment	Oversight of	Parliament uses its oversight powers to oversee the executive's
criterion 2:	the executive's	response to emerging policy issues and holds the executive to
	response	account.
Assessment	Communication	Parliament communicates effectively with the public about
criterion 3:	with the public	emerging policy issues, including by providing regular updates on
		actions and decisions taken.

# 5.4.1.3 Leaving no one behind and the 2030 Agenda for Sustainable Development

This dimension focuses on parliament's role in implementing the 2030 Agenda for Sustainable Development. By engaging with the SDGs, parliaments can effectively address the needs of their citizens and contribute to global sustainability efforts. This ambitious global commitment aims to eradicate poverty and promote inclusive development, as endorsed by government leaders at the UN summit in September 2015. The agenda comprises 17 SDGs and 169 actionable targets, emphasising the principle of "leaving no one behind". United Nations General Assembly resolution 77/159 highlights the crucial role of parliaments in actualising the SDGs through their law-making, budgeting, and oversight powers.

Assessment	Oversight of	Parliament debates and scrutinises national development plans
criterion 1:	executive	and government reports to ensure alignment with, and localisation
	action	of, the SDGs.
Assessment	Mainstreaming	Mechanisms exist to support SDG mainstreaming in parliament.
criterion 2:	the SDGs in	Parliamentary committees assess policy and legislation in their
	parliament	respective area of responsibility against SDG objectives.
Assessment	Participation in	Parliament participates in national SDG coordination mechanisms
criterion 3:	national SDG	and is involved in the preparation of, and follow-up to, national
	coordination	reports on SDG progress to international bodies.
	mechanisms	

# 5.5 Target 5: Inclusive parliament8

The fifth target emphasises an inclusive parliament that addresses the needs and aspirations of all societal segments. Two key indicators are: inclusive law-making, oversight, and budgeting, as well as inclusive institutional practices.

# 5.5.1 Indicator 5.1 Inclusive law-making, oversight and budgeting

Parliament must embody the diverse interests of the populace in its law-making, oversight, and budgeting functions. Inclusive parliamentary practices not only strengthen democracy but also promote integration and mitigate conflicts. To enhance inclusivity, parliament can implement various mechanisms, such as safeguarding human rights during the law-making process, evaluating the impact of its work on different genders, and incorporating the perspectives of youth. There are 5 dimensions under this indicator: Human Rights, Impact Assessments, Gender Mainstreaming, Gender-Responsive Budgeting, and Youth Inclusion.

#### 5.5.1.1 Human rights

This dimension focuses on parliament's essential role in safeguarding and promoting human rights while advancing the full spectrum of political, civil, economic, social, and cultural rights. The establishment of a specialised parliamentary committee with a robust human rights mandate can convey a strong political message, mainstream human rights within parliamentary activities, and foster collaboration with human rights stakeholders. Furthermore, caucuses or informal groups of MPs dedicated to human rights are common mechanisms within parliaments.

Assessment	International	Parliament has a mandate to debate and ratify international human
criterion 1:	human	rights treaties, and to ensure that the national legal framework is
	rights	consistent with international human rights obligations.
	obligations	
Assessment	Specialised	Parliament has one or more specialised committees responsible for
criterion 2:	committees	human rights, with powers to assess legislation and government

<sup>&</sup>lt;sup>8</sup> Parliamentary Indicators. 2023. *Parliamentary Indicators*. [online] Available at: <<a href="https://www.parliamentaryindicators.org/">https://www.parliamentaryindicators.org/</a>> [Accessed 15 July 2024].

		policy and action, and to ensure their compatibility with human
		rights obligations.
Assessment	NHRI	The NHRI is established in law and has the necessary mandate and
criterion 3:		resources to carry out its work. Its relationship with parliament is in
		line with the Belgrade principles on the relationship between
		national human rights institutions and parliaments.
Assessment	Engagement	Parliament has a clear role in the different stages of the reporting
criterion 4:	with UN	procedures to the UN Human Rights Council under its universal
	human	periodic review and to the UN human rights treaty bodies. It
	rights	contributes to the preparation and presentation of national reports
	mechanisms	and the implementation of subsequent recommendations.
Assessment	Practice	In practice, human rights are mainstreamed throughout
criterion 5:		parliamentary work. Parliament interacts on a regular basis with
		NHRIs, CSOs and UN human rights mechanisms.

# 5.5.1.2 Impact assessments

This dimension addresses parliament's practices regarding impact assessments, which evaluate the future consequences of current or proposed actions. Impact assessments are crucial for evidence-based law-making, allowing for systematic analysis of the positive and negative effects of legislative proposals. These assessments can be broad, considering economic, social, environmental, and climate impacts, or they can be targeted, focusing on specific groups such as women, youth, persons with disabilities, and marginalised populations.

Assessment	Use of	Impact assessments of the positive and negative effects of proposals
criterion 1:	impact	for laws on different groups in society are an established part of the
	assessments	law-making process.
Assessment	Expertise	Parliament has the necessary expertise, among parliamentary staff,
criterion 2:		advisory bodies, or external experts, to analyse impact assessments
		reports or to conduct or commission its own impact assessments.
Assessment	Publication	The findings and reports of impact assessments, whether carried out
criterion 3:		by parliament or by the executive, are made available to MPs and the
		public.

Assessment	Practice	In practice, parliament routinely analyses impact assessments
criterion 4:		provided by the executive, conducts, or commissions its own impact
		assessments, and uses the related findings and reports to inform its
		work.

#### 5.5.1.3 Gender mainstreaming

This dimension focuses on the mechanisms through which gender concerns are integrated into parliamentary processes and outputs, aiming to achieve gender equality and prevent discrimination. MPs have both the duty and authority to ensure that the national legal framework aligns with international agreements on gender equality, particularly the Convention on the Elimination of Discrimination against Women (CEDAW). They are also responsible for holding the executive accountable for progress in gender mainstreaming across all policy sectors. All MPs, regardless of gender, should possess the understanding, skills, and information necessary to effectively utilise parliamentary mechanisms to address gender equality issues. To achieve these objectives, parliament needs to establish institutional connections with various groups, including CSOs, the private sector, and academia, while employing an evidence-informed approach that utilises gender statistics and sex-disaggregated data. Increasingly, gender-sensitive language is becoming standard in law-making and other parliamentary practices.

Assessment	Commitment	A commitment to gender mainstreaming is publicly manifested in
criterion 1:	to gender	parliamentary rules, strategic planning documents and practices.
	mainstreaming	Parliament regularly monitors and reports on its performance on
		gender mainstreaming.
Assessment	Law-making	Parliament has established a gender equality committee or other
criterion 2:	and oversight	dedicated body with a mandate to scrutinise legislation and
		oversee the executive from a gender perspective. Mechanisms
		exist to support the mainstreaming of a gender perspective in the
		work of all parliamentary committees.
Assessment	Consultation	National women's groups, gender rights advocates, CSOs, the
criterion 3:		private sector, academia and other outside sources of expertise are
		routinely consulted in the work of parliament and its committees.

Assessment	CEDAW	Parliament participates in the preparation and presentation of
criterion 4:	Committee	national reports to international bodies including the Committee
		on the Elimination of Discrimination against Women (CEDAW
		Committee) and holds debates on the resulting recommendations.
Assessment	Resources	Training on gender issues is provided for all MPs. Parliamentary
criterion 5:		research and committee staff have the capacity to provide expert
		analysis and briefings on gender issues, including gender statistics
		and sex-disaggregated data.

# 5.5.1.4 Gender-responsive budgeting

This dimension focuses on gender-responsive budgeting, which involves integrating a gender perspective into parliament's review and approval of the national budget. Gender-responsive budgeting is a widely adopted practice that enables an understanding of how policies impact men and women differently. This allows parliament to question budget priorities, scrutinise the extent to which policies promote gender equality, and influence decision-making during the planning phase.

#### The assessment:

Assessment	Gender	The legal framework requires the executive to produce a gender
criterion 1:	budget	budget statement to accompany the draft budget.
	statement	
Assessment	Dedicated	Parliament has a dedicated body or bodies responsible for
criterion 2:	body	supporting gender-responsive budgeting, which have established
		procedures and authority to obtain supplementary information from
		the executive.
Assessment	Resources	Parliament has sufficient research and analysis capacity to support
criterion 3:		gender-responsive budgeting. MPs have access to training on
		gender-responsive budgeting and to information needed to support
		their work.

### 5.5.1.5 Youth inclusion

This dimension addresses the inclusion of young people and youth issues in both formal and informal political processes within parliament. Parliament plays a crucial role in developing youth-sensitive policies and fostering youth inclusion by actively engaging young people, partnering with youth organisations, and creating educational and empowerment programs. To effectively engage youth in

parliamentary activities especially on topics that impact those parliaments should utilise digital tools and channels that resonate with young people.

#### The assessment:

Assessment	Consultation	Parliament regularly consults with youth organisation s with a view
criterion 1:		to developing effective mechanisms for including young people in
		formal and informal political processes.
Assessment	Youth	Parliament provides young people with meaningful opportunities to
criterion 2:	engagement	engage in core aspects of parliamentary work, including the work of
	opportunities	its committees. Such forms of engagement are substantive and
		influence parliamentary decision-making.
Assessment	Youth	Parliament has developed diverse, meaningful, and youth-friendly
criterion 3:	inclusion and	programmes for youth inclusion and education, including
	education	programmes developed in conjunction with schools, universities,
	programmes	and youth organisations.
Assessment	Continuous	Parliament gathers data and participant feedback on its youth
criterion 4:	improvement	inclusion and education programmes for the purpose of continuous
		improvement.

#### **5.5.2** Indicator **5.2** Inclusive institutional practices

This indicator assesses parliamentary inclusiveness through its institutional practices, highlighting that effective representation and accountability to citizens necessitate an inclusive parliamentary environment. It examines the diversity within the parliamentary workforce, emphasising gender balance among key personnel. Furthermore, the indicator considers the parliament's capacity to accommodate a diverse community, particularly regarding the use of multiple official languages. It highlights the significance of a positive workplace culture, requiring the parliament to prioritise the health, safety, and well-being of members, staff, and visitors. It also mandates proactive measures to prevent sexism, harassment, and gender-based violence against MPs and staff. There are 4 dimensions under this indicator: Workforce Diversity, Workplace Environment, Combating Sexism, Harassment, and Violence and, Multilingual Service Delivery.

#### 5.5.2.1 Workforce diversity

The legal framework should mandate non-discrimination in recruitment, employment, and advancement across all societal groups, establishing parliament as an equal-opportunity employer. This principle affirms that every individual, irrespective of attributes such as race, sex, age, religion, disability, sexual orientation, or gender identity, should have equal access to employment opportunities. A gender-balanced parliamentary administration is vital for incorporating diverse perspectives into parliamentary work and is a crucial component of workplace diversity and gender mainstreaming.

#### The assessment:

Assessment	Equal-	The legal framework establishes that parliament is an equal-
criterion 1:	opportunity	opportunity employer and provides for non-discrimination in the
	employer	recruitment, employment, and advancement of parliamentary staff.
Assessment	Gender	Parliament has a gender equality policy or plan that includes a clear
criterion 2:	equality	and detailed set of objectives and processes for achieving gender
	policy or	balance within the parliamentary administration, including at senior
	plan	levels.
Assessment	Positive	Parliament adopts positive approaches that provide real opportunities
criterion 3:	approaches	for all groups in society, including underrepresented groups, to be
	to workforce	included in the parliamentary workforce. Human resources
	diversity	policies place a special emphasis on the recruitment, retention, and
		promotion of underrepresented groups.
Assessment	Monitoring	Workforce diversity, including gender balance, is regularly monitored.
criterion 4:		Data on the composition of the parliamentary administration is
		publicly available. The effectiveness of diversity and gender equality
		policies is regularly reviewed.

#### 5.5.2.2 Workplace environment

This dimension focuses on parliamentary practices and arrangements designed to foster an inclusive workplace environment, which can enhance the effectiveness of MPs, parliamentary staff, and the institution as a whole. Parliament is responsible for ensuring the health and safety of MPs, staff, and visitors, including providing a safe and functional workplace that accommodates the needs of employees with disabilities. This encompasses accessible facilities and information resources. Recognising that many MPs and staff have significant family responsibilities, such as caring for children

or elderly relative's parliament must cultivate a family-friendly environment through supportive institutional procedures and practices

#### The assessment:

Assessment	Health and	The legal framework requires parliament to ensure the health and
criterion 1:	safety	safety of MPs, staff, and visitors to parliament. Health and safety
		policies and regulations are subject to regular monitoring and
		evaluation.
Assessment	MPs and staff	Parliament provides a safe and functional workplace for MPs and
criterion 2:	with	staff with disabilities
	disabilities	
Assessment	Breastfeeding	Parliament's rules of procedure enable MPs who are breastfeeding
criterion 3:	or caring for	or caring for young children to fulfil their parliamentary duties,
	young	including voting.
	children	
Assessment	Family-	Parliament provides family-friendly facilities and services for MPs
criterion 4:	friendly	and staff, such as breastfeeding spaces, spaces for family members,
	facilities and	and childcare facilities.
	services	
Assessment	Work-life	Parliament takes measures to facilitate work-life balance for MPs
criterion 5:	balance	and staff, including predictable sitting hours and session periods,
		flexible working hours, and opportunities for virtual participation
		and remote working.

#### 5.5.2.3 Combating sexism, harassment, and violence

This dimension addresses parliament's responsibility to prevent and combat sexism, harassment, and violence against MPs and parliamentary staff. Such unacceptable behaviours, especially against women, are pervasive in workplaces globally, including parliaments, which are not immune to these issues. A work environment devoid of sexist behaviour and violence benefits all individuals, regardless of gender or role, including MPs, staff, and assistants. To tackle these challenges, parliament should implement a comprehensive workplace policy that aligns with national and international obligations and best practices. The policy should explicitly state its objectives, highlighting the intolerable and illegal nature of sexism, harassment.

#### The assessment:

Assessment	Policy for	Parliament has adopted a workplace policy for combating sexism,
criterion 1:	combating	harassment and violence in parliament that is in line with national and
	sexism,	international obligations and best practice.
	harassment,	
	and violence	
	in parliament	
Assessment	Protection	Parliament takes specific measures to protect MPs and others working
criterion 2:	against	there who are subjected by third parties to threats, assaults, or sexist
	abuse by	or sexual violence, including online harassment/cyberbullying.
	third parties	
Assessment	Complaints	Parliament has a confidential complaints mechanism to receive and
criterion 3:	mechanism	process complaints by MPs and staff. This mechanism is confidential;
		responsive to complainants; fair to all parties; based on a thorough,
		impartial, and comprehensive investigation; and timely.
Assessment	Awareness-	Parliament regularly conducts awareness-raising and training
criterion 4:	raising and	programmes for MPs and staff combating sexism, harassment, and
	training	violence, both internally and from third parties.
Assessment	Monitoring	Parliament monitors the effectiveness and impact over time of policies
criterion 5:		to combat sexism, harassment, and violence in parliament, including
		by collecting baseline data and the experiences and perceptions of
		people working in parliament

# 5.5.2.4 Multilingual service delivery

This dimension examines how parliament communicates with and provides information to all constituents, irrespective of the languages they speak. To ensure effective communication, parliament must adopt inclusive practices that prevent language barriers from hindering engagement with diverse societal groups. In nations with multiple official languages, parliament should guarantee that information and services are accessible in all official languages, allowing MPs to utilise these languages in their work.

Assessment	Official	In countries with more than one official language, parliamentary
criterion 1:	languages	information and services are available in all official languages.
Assessment	Languages	MPs are able to contribute to parliamentary work in any official
criterion 2:	used by	language. Simultaneous interpretation between official languages is
	MPs	provided in plenary and committees.
Assessment	Other	In addition to official languages, parliament endeavours to make at
criterion 3:	widely used	least the most important information and services available in
	languages	languages that are widely used in the country.

# 5.6 Target 6: Participatory parliament9

The sixth target focuses on participatory parliament, emphasising public and civil society engagement in parliamentary activities. Its 3 indicators are: 1) the parliamentary environment for public participation, 2) public involvement in parliamentary processes, and 3) the inclusion of diverse groups in parliamentary work.

#### 5.6.1 Indicator 6.1 Parliamentary environment for public participation

This indicator evaluates the legal framework for public participation and parliament's institutional capacity to effectively implement these provisions. It also emphasises the importance of public education regarding parliamentary operations to enhance trust and expand opportunities for citizens to express their interests. To optimise participation, the public must be informed not only about the timing and location of parliamentary processes but also about how to engage in and influence decision-making. There are 3 dimensions under this indicator: Legal Framework for Public Participation, Institutional Capacity for Public Participation, and Public Education About the Work of Parliament.

#### 5.6.1.1 Legal framework for public participation

A legal framework is essential for fostering public participation in parliamentary activities. The constitution, legislation, and parliamentary rules of procedure should collectively create an enabling environment for civil society, academics, experts, and the general public to engage systematically in both online and in-person parliamentary work. This framework aims to promote, rather than obstruct, public involvement. Clearly defined mechanisms and instances for citizen contributions should be established, along with identification of those responsible for managing participation processes.

#### The assessment:

Assessment Legal A legal framework is in place, including laws, decrees, or regulations, which enables and guarantees the civic space enabling civic necessary for the functioning of civil society and for effective participation in all areas, including but not limited to parliamentary work. This framework includes laws and regulations relating to

<sup>&</sup>lt;sup>9</sup> Parliamentary Indicators. 2023. *Parliamentary Indicators*. [online] Available at: <<a href="https://www.parliamentaryindicators.org/">https://www.parliamentaryindicators.org/</a> [Accessed 15 July 2024].

		freedom of speech and expression, freedom of assembly and
		association, freedom of information, and ease of registration and
		funding of civil society organisations.
Assessment	Legal	Provisions in the constitution, laws or rules of procedure establish
criterion 2:	framework for	the right of people to participate in parliamentary business, such as
	public	by bringing issues to the attention of parliament, contributing
	participation	evidence to legislative and oversight processes, and commenting
	in the work of	on proposals for laws. The related rules and procedures cover all
	parliament	aspects of public participation, both online and on-site.
Assessment	Protection of	The legal framework for public participation in the work of
criterion 3:	privacy	parliament contains provisions that protect the privacy of members
		of the public and includes clear rules and procedures to ensure that
		the right to privacy is implemented in practice.

# 5.6.1.2 Institutional capacity for public participation

Parliament must develop practical mechanisms and tools to facilitate engagement. Mechanisms of public participation refer to the systems that collectively organise, coordinate, and channel public input to ensure it is considered in all aspects of parliamentary processes. Tools, on the other hand, are specific instruments both online and on-site that enable parliament to effectively operate these mechanisms. These tools must be user-friendly and tailored to meet the diverse needs of various community groups, ensuring meaningful public participation.

Assessment	Strategic	Parliament has an agreed strategy or plan for the implementation and
criterion 1:	approach	further development of public participation, as well as established
		policies and practices, with time-bound and measurable objectives.
Assessment	Mechanisms	Parliament's rules, practices and policies contain a comprehensive set
criterion 2:		of mechanisms for the organisation, coordination, and channelling of
		public participation. Public participation processes and activities are
		widely advertised. There are mechanisms by which the public can
		both receive, and provide feedback on, the outcome of their
		participation.
Assessment	Tools	Parliament has easy-to-use tools to support public participation, both
criterion 3:		online and on-site. These tools take account of different groups

		within the community, including women, youth, persons with
		disabilities, disadvantaged groups, and groups in remote areas or with
		limited digital access.
Assessment	Monitoring	Parliament monitors and evaluates the use of its public participation
criterion 4:	and	mechanisms and tools and reports on their use on its website.
	evaluation	Parliament adapts and changes its engagement mechanisms and
		tools in light of the results of this evaluation work.
Assessment	Dedicated	Parliament dedicates sufficient resources to public participation
criterion 5:	resources	activities. Parliament has a dedicated organisation al unit for this
	and staff	purpose, such as a public participation office, or has staff members
		with duties related to public participation.

# 5.6.1.3 Public education about the work of parliament

This dimension examines parliament's initiatives to enhance public understanding of its role and functions. To maximise participation, the public must be informed not only about the timing and location of parliamentary processes but also about how they can engage and influence decision-making. These efforts should promote an active citizenry in a non-partisan manner, upholding the fundamental principles of democracy. Public education can take various forms, such as direct interactions between MPs and constituents or opportunities for the public to engage with and visit parliamentary offices. Targeted outreach to different community groups is also essential.

Assessment	Education	Parliament has developed policies and materials for nationwide
criterion 1:	programmes	programmes to educate the public about its role and working
		methods, as well as about how members of the public can engage in
		its work.
Assessment	Infrastructure	Parliament has developed infrastructure and has allocated
criterion 2:	and	budgetary and human resources to support a range of public
	resources	education programmes. This infrastructure can accommodate
		members of the public with special needs, including persons with
		disabilities.
Assessment	Accessibility	Public education programmes are easily accessible for all groups
criterion 3:		within the community. Various online and in-person tools are in
		place to ensure that education programmes reach all sections of

		society, including women, children, youth, persons with disabilities,
		and rural or remote communities.
Assessment	Non-	Public education programmes are non-partisan and promote the
criterion 4:	partisanship	basic principles of democracy.

#### 5.6.2 Indicator 6.2 Public participation in parliamentary processes

This indicator focuses on the practical implementation of public participation policies within the core functions of parliament. It acknowledges that participation is an active process, offering the public a genuine opportunity to influence parliamentary work and to be consulted and informed about it. Providing feedback to the public on the outcomes of their participation is crucial for enhancing the credibility of these mechanisms and processes. There are 4 dimensions under this indicator: Participation in Law-Making, Participation in Oversight, Participation in the Budget Cycle, and Managing Public Input and Providing Feedback.

#### 5.6.2.1 Participation in law-making

This dimension addresses public participation in the law-making process. It clarifies that public involvement does not replace or undermine the role of MPs, it enhances their understanding of legislation's potential impact on citizens' lives and leads to more effective laws. Engaging the public in law-making fosters trust in parliament, MPs, and the democratic system while reinforcing the rule of law. For this dimension, public participation is defined as the formal process through which parliament consults the public individually or in groups to gather opinions on existing laws, proposed legislation, or policy decisions.

Assessment	Legal	The legal framework provides for public participation in the law-
criterion 1:	framework	making process. There are clear standards on consulting the public,
		as well as on the criteria that constitute adequate participation.
Assessment	Mechanisms	Mechanisms and processes are in place to facilitate both general and
criterion 2:	and processes	targeted participation in the law-making process. Parliament ensures
		that members of the public who are directly impacted by a proposal
		for a law have sufficient opportunity to provide input to the law-
		making process.

Assessment	Accessibility	A wide range of groups can participate in the law-making process in
criterion 3:		a timely manner. Plain, easy-to-understand language is used to
		explain proposals for laws. Members of the public are consulted at a
		time and in a place that allows for maximum participation by a wide
		range of groups, considering the complexity of the legislation in
		question.
Assessment	Practice	In practice, public participation is a regular feature of the law-making
criterion 4:		process. A wide range of members of the public regularly contribute
		to parliamentary consideration of proposals for laws.

# 5.6.2.2 Participation in oversight

This dimension addresses public participation in parliamentary oversight, a critical process through which parliament holds the executive accountable on behalf of the public. Direct or indirect public involvement in oversight can significantly enhance the quality of accountability. Activities conducted in the chamber(s), by committees, and within electoral districts can provide opportunities for informing, consulting, and interacting with the public. Much of the public participation is likely to occur through parliamentary committees, as activities such as accepting submissions, holding public hearings, and conducting on-the-ground inspections are conducive to public involvement.

Assessment	Legal	The legal framework establishes parliament's obligation to facilitate
criterion 1:	framework	public participation in oversight processes and activities.
Assessment	Mechanisms	Mechanisms and processes are in place for the public to participate in
criterion 2:	and	oversight activities, including robust procedures to encourage public
	processes	participation in all aspects of the work of parliamentary committees.
		Reference material explaining how the public can contribute to
		parliamentary oversight is made widely available by parliament.
Assessment	Accessibility	Plain, easy-to-understand language is used to inform the public about
criterion 3:		parliamentary oversight activities, and relevant documents are made
		available to a wide range of groups in a timely manner. Members of the
		public are consulted at a time and in a place that allows for maximum
		participation by a wide range of groups, considering the complexity of
		the issue in question.

Assessment	Practice	In practice, public participation is a regular feature of parliamentary
criterion 4:		oversight. A wide range of members of the public regularly contribute
		to parliament's oversight activities.

# 5.6.2.3 Participation in the budget cycle

This dimension focuses on public participation in the budget cycle. The annual state budget reflects public interests and priorities, making its preparation, approval, and oversight one of parliament's most critical responsibilities. While the mechanisms and processes for public participation in the budget cycle are similar to those for law-making and oversight, budget transparency is particularly important, as the allocation of public resources signals government priorities. Engaging the public in the budget cycle enhances transparency in government programs and provides insights that help MPs hold the executive accountable. It also fosters better alignment between government priorities and resource allocation, thereby improving service delivery and building trust in parliament and public institutions.

Assessment	Legal	The legal framework provides for members of the public to
criterion 1:	framework	participate in the budget cycle.
Assessment	Mechanisms	Mechanisms and processes are in place to facilitate public
criterion 2:	and	participation in all stages of the budget cycle (pre-budget statement,
	processes	committee and plenary debate and approval, and in-year and ex-post
		budget oversight), with a particular emphasis on participation in
		parliamentary committees or other bodies responsible for the
		budget.
Assessment	Accessibility	Opportunities for public participation in the budget cycle are
criterion 3:	of the	announced well in advance, sufficient time is allocated for effective
	process	participation, and participation takes place at a time and in a place
		convenient fora wide range of groups
Assessment	Accessibility	The annual State budget is communicated to the public in plain, easy-
criterion 4:	of the text	to-understand language, enabling active engagement and meaningful
		contribution from citizens.
Assessment	Practice	Parliament regularly consults with the public about the budget, using
criterion 5:		a wide range of instruments and methods.

#### 5.6.2.4 Managing public input and providing feedback

This dimension emphasises parliament's responsibility to effectively utilise public input in its operations. It is crucial for parliament to demonstrate that a functioning system is in place for managing public input, for collecting and analysing proposals related to legislative and oversight actions, and for communicating these to MPs and parliamentary bodies. After soliciting public input, it is equally important for parliament to provide feedback to the public regarding the outcomes of their participation.

#### The assessment:

Assessment	Processing	MPs and parliamentary bodies receive information about the main
criterion 1:	public input	themes emerging from public input in a timely manner and in
	into	formats that help them to incorporate this input
	parliamentary	
	work	
Assessment	Providing	Parliament has a functioning system for providing regular feedback
criterion 2:	feedback to	to the public on the results of their participation. The effectiveness
	the public	of this feedback system is regularly evaluated, and improvements
		are made where necessary.
Assessment	Resources	Parliament has appropriate human and technical resources to
criterion 3:		process public input, to supply MPs with relevant findings and to
		provide feedback to members of the public who participate in the
		work of parliament

#### 5.6.3 Indicator 6.3 Participation of diverse groups in the work of parliament

Making parliament accessible to all groups necessitates strategic and coordinated efforts, as well as adequate resources. This often involves proactive outreach and collaboration with entities that can connect with specific community groups and bridge existing divides. Parliaments have a responsibility to foster an environment where civil society can make its voice heard. Engaging with CSOs is essential to ensure that participatory processes are inclusive and invite contributions from diverse groups. Particular emphasis should be placed on historically marginalised populations, such as women, youth, persons with disabilities, and remote or minority groups. Developing targeted engagement tools for these communities is crucial. Without such efforts, parliaments risk engaging only with politically active groups, thus hearing predominantly from those who are already empowered. There are 2

dimensions under this indicator: Engaging Civil Society Organisations and Reaching Out to All Communities.

# 5.6.3.1 Engaging civil society organisations

This dimension focuses on the involvement of CSOs in parliamentary work. CSO participation brings additional perspectives and expertise to the discussion, contributing to more informed policymaking and amplifying the voices of the most vulnerable segments of society. Therefore, fostering this participation is vital in a thriving democracy.

#### The assessment:

Assessment	Legal	The legal framework sets out the procedures by which CSOs can
criterion 1:	framework	participate in the work of parliament.
Assessment	Consultation	Parliament systematically consults with CSOs in its law-making and
criterion 2:		oversight activities. CSO representatives can access parliamentary
		premises and attend relevant meetings. Parliament creates tools for
		engaging CSOs in consultation processes both in person and online.
Assessment	Diversity	Parliament facilitates participation from a broad range of CSOs
criterion 3:		representing diverse points of view, including those that work with
		hard-to-reach and historically marginalised groups. Parliament
		ensures a level playing field for all CSOs that engage with parliament.

#### 5.6.3.2 Reaching out to all communities

This dimension addresses parliament's capacity to engage with all communities. Without proactive efforts, structural barriers may limit certain voices and exacerbate existing inequalities. It is essential for parliament to promote participation across diverse communities. This requires strategic decisions regarding target groups, identifying effective methods for collaboration, and investing resources to enhance accessibility for all. Groups facing barriers to engagement may include women, youth, older individuals, rural populations, LGBTQI+ individuals, Indigenous communities, as well as national, ethnic, linguistic, and religious minorities, migrants, and refugees.

Assessment	Policies	Parliament sets out strategies for engaging all groups within the
criterion 1:		community, especially those facing barriers to engagement with
		parliament, regardless of their age, gender, location, physical ability,
		or any other characteristic.
Assessment	Accessibility	Information about parliamentary consultations is made available in a
criterion 2:		timely manner and to a wide and diverse audience. Parliamentary
		buildings and infrastructure, as well as print and digital materials, are
		accessible and inclusive.
Assessment	Engaging	The participation of women is institutionalised and mainstreamed
criterion 3:	women	throughout the work of parliament. Engagement is safe and
		accessible for women. Parliament ensures that both women and men
		are equally represented among experts and other witnesses at
		committee hearings.
Assessment	Engaging	Parliament provides targeted engagement opportunities for youth
criterion 4:	youth	and young people are systematically consulted on matters that are
		important to them.
Assessment	Engaging	Parliament proactively offers engagement opportunities for
criterion 5:	remote	communities that are geographically remote from parliament. These
	communities	may include bringing people to parliament, organising committee
		hearings outside the parliamentary premises, or offering educational
		programmes to people in remote areas.

# 5.7 Target 7: Representative parliament<sup>10</sup>

The final target is a representative parliament, which encompasses an elected body that reflects social and political diversity. This target includes 3 key indicators: electoral integrity, the composition of parliament, and the composition of parliamentary bodies. Representative parliaments are socially and politically inclusive, enabling members to fulfil their mandates freely. They are characterised by free and fair elections, gender balance, open and democratic systems within political parties, and the protection of fundamental rights. These rights encompass freedom of speech and equality of opportunity for all members, including those from opposition parties.

#### **5.7.1** Indicator **7.1** Electoral integrity

Parliament plays a crucial role in establishing the legal framework for free and fair elections, which are the cornerstone of any democratic society. Elections should ensure equal opportunities for voters, candidates, and political parties, fostering a level playing field and a safe environment for participation. To maintain the credibility of the electoral process, electoral laws must be stable and aligned with international standards. It is essential that public authorities remain neutral throughout the electoral process. Furthermore, elections should be administered by an independent electoral management body (EMB) that operates transparently, impartially, and accountably. There are 3 dimensions under this indicator: Voting and Election Rights, Candidacy, Party, and Campaign Rights and Responsibilities, and Role of Public Authorities in Elections.

### 5.7.1.1 Voting and election rights

This dimension addresses the constitutional provisions and other elements of the legal framework that underpin democratic elections. The constitution establishes fundamental electoral principles, including the electoral system, universal suffrage, the frequency of elections, and the use of secret ballots. Electoral law governs various aspects of election management, such as the composition of electoral administration, boundary delimitation, and procedural matters. It also safeguards fundamental human rights, including freedom of expression, freedom of movement, freedom of peaceful assembly and association, and access to information during the electoral process. The stability of electoral law is crucial for maintaining the credibility of the electoral process.

<sup>&</sup>lt;sup>10</sup> Parliamentary Indicators. 2023. *Parliamentary Indicators*. [online] Available at: <a href="https://www.parliamentaryindicators.org/">https://www.parliamentaryindicators.org/</a>> [Accessed 15 July 2024].

#### The assessment:

Assessment	Constitution	The constitution and/or other aspects of the legal framework
criterion 1:	and/or legal	establish fundamental electoral principles. These provisions are
	framework	clear, consistent, and unambiguous and are in line with international
		electoral standards.
Assessment	Stability of	Changes to electoral law are made in a timely manner and at least
criterion 2:	electoral law	one year in advance of any elections.
Assessment	Practice	In practice, elections take place regularly. A significant proportion of
criterion 3:		citizens participate in these elections. Elections are competitive and
		citizens' fundamental rights are respected before, during and after
		election day.

# 5.7.1.2 Candidacy, party and campaign rights and responsibilities

This dimension focuses on the rights of citizens to stand for election and campaign on an equal footing with other candidates. This encompasses the freedom to engage with voters, express political views, move freely within the country for campaign purposes, and access media outlets. Any restrictions on this right should be non-discriminatory, consistent with international obligations, and clearly articulated in law. Once a political party is officially registered, it should have equal opportunities to participate in the electoral process and access the ballot. The legal framework must also provide for the right to appeal against alleged violations of political and electoral rights occurring before, during, and after elections.

Assessment	Right to stand	The legal framework establishes that every eligible citizen has the
criterion 1:	for election	right to stand for election, including as an individual candidate
		and/or as a member of a political party.
Assessment	Right to join or	Individuals and groups have the right to join or form political
criterion 2:	form political	parties in order to contest elections. Any exceptions to this right
	parties	are non-discriminatory, consistent with international obligations,
		and clearly defined by law.
Assessment	Right to	The legal framework guarantees the right to appeal regarding
criterion 3:	appeal	alleged violations of political and electoral rights to a competent
		and independent body. The appeal procedure, as well as the
		powers and responsibilities of the bodies involved, are clearly

regulated. The time limits for lodging and deciding on appeals are
reasonably short.

### 5.7.1.3 Role of public authorities in elections

This dimension addresses the administration of elections by public authorities, emphasising the importance of impartiality, transparency, and independence. Public authorities must uphold their duty to remain neutral during the electoral process and ensure that voters have the right to form opinions freely. Elections should be managed by an independent EMB, which operates in a transparent, impartial, open, and accountable manner. The legal framework should define the composition of the EMB, the processes for appointing and removing officials, their roles and responsibilities, and the mechanisms that guarantee the independent and impartial conduct of elections.

#### The assessment:

Assessment	Neutrality of	The legal framework guarantees the integrity and transparency of
criterion 1:	public	the entire electoral process, including sanctions for electoral fraud.
	authorities	The neutrality of public authorities in the electoral process is
		ensured by law and in practice.
Assessment	Electoral	An EMB is tasked with ensuring the proper conduct of the electoral
criterion 2:	management	process. The EMB operates according to clearly defined and publicly
	body (EMB)	available rules and enjoys independence of decision-making and
		action. The EMB carries out its tasks impartially and transparently
		and enjoys the trust and respect of the community.
Assessment	Access to	Information about the electoral process is widely available, including
criterion 3:	information	as part of civic education programmes. Public authorities ensure that
	about the	voters are aware of electoral procedures and have access to
	electoral	candidate lists and information. Information is available in the
	process	languages that are widely used in the country.

#### 5.7.2 Indicator 7.2 Composition of parliament

The composition of a democratic parliament should reflect a spectrum of political opinions and societal groups. An unrepresentative parliament risks marginalising certain communities, potentially undermining public life quality and political stability. Multiple factors influence parliamentary composition, including the electoral system, political parties, and electorate preferences. Parliament not only shapes these factors but also ensures it embodies the nation's social diversity. Many

parliaments implement measures like quotas to enhance the representation of women, youth, and other underrepresented groups. There are 4 dimensions under this indicator: Representation of Political Diversity, Representation of Women, Representation of Youth, and Representation of Other Underrepresented Groups.

# 5.7.2.1 Representation of political diversity

This dimension addresses the legal provisions that facilitate the representation of diverse political opinions in parliament. These provisions encompass the electoral system, procedures for registering political parties and independent candidates, electoral thresholds, and the powers assigned to various political groups and independent MPs.

#### The assessment:

Assessment	Electoral	The design of the electoral system ensures that the allocation of
criterion 1:	system design	parliamentary seats accurately reflects the proportion of votes
		received by political parties and candidates.
Assessment	Party/candidate	The legal framework establishes clear and transparent procedures
criterion 2:	registration	for registering political parties and candidates for elections,
		including reasonable eligibility criteria, consistent procedures, and
		feasible deadlines.
Assessment	Electoral	Where applicable, the legal framework maintains a reasonable
criterion 3:	thresholds	electoral threshold for parties and/or candidates to gain seats in
		parliament.
Assessment	Practice	In practice, political parties are represented in parliament in
criterion 4:		proportion to their support among the electorate. No parties or
		candidates are arbitrarily prevented from participating in elections
		or taking up seats in parliament.

### 5.7.2.2 Representation of women

This dimension focuses on the legal framework and mechanisms established to achieve gender parity in parliamentary composition and the strategies to advance this objective. The legal framework should ensure equal opportunities for women's political participation and foster an electoral environment free from barriers and violence. Parliament can promote gender parity by enacting legislation for measures such as candidate quotas or reserved seats.

#### The assessment:

Assessment	Equal	The legal framework guarantees equal opportunities for the
criterion 1:	opportunities	political participation of women and men in parliament.
Assessment	Measures to	Parliament has adopted legislative and other measures designed to
criterion 2:	increase	increase women's representation in parliament, such as quotas.
	women's	
	representation	
Assessment	Violence	Legislative and policy measures are taken to address and prevent
criterion 3:	against	violence against women in politics, both as candidates for election
	women in	and while in office.
	politics	
Assessment	Practice	In practice, consistent progress is made towards gender parity in
criterion 4:		parliament and the elimination of violence against women in
		politics.

# 5.7.2.3 Representation of youth

This dimension concerns the representation of young men and women in parliament. An enabling legal framework, free of restrictive barriers, is important for increasing the political participation of young people. The alignment of the minimum age of eligibility to run for office with the minimum voting age is a considerable factor for youth representation. In the case of bicameral parliaments, it is also beneficial to equalise the age of eligibility for both chambers. Political parties' canals make an impact by introducing voluntary quotas, by strengthening party youth wings/organisation s and by promoting young people to run for office. Many parliaments have committees that work on youth issues.

Assessment	Minimum age	The legal framework establishes that the minimum age of eligibility
criterion 1:	of eligibility	to run for political office is the same as the minimum voting age.
Assessment	Promoting	Legislative and policy measures are taken to promote youth
criterion 2:	youth	representation in parliament.
	representation	
Assessment	Bodies	Parliamentary bodies, such as committees, caucuses, or networks
criterion 3:	addressing	of young MPs, are mandated to address youth issues.
	youth issues	

Assessment	Enabling	There is an enabling environment for young MPs in parliament,
criterion 4:	environment	including the availability of training and mentoring.
	for young MPs	
Assessment	Practice	In practice, there is commitment to enhancing youth
criterion 5:		representation in parliament. Over the past three parliaments,
		there has been an increase in both the number and proportion of
		seats held by MPs under age45, under age 40 and under age 30.

# 5.7.2.4 Representation of other underrepresented groups

This dimension focuses on the representation of groups and communities that may be underrepresented in parliament. The definition of "underrepresented groups" varies by country but typically includes national, ethnic, religious, and linguistic minorities, Indigenous peoples, and other socially vulnerable groups. These communities often face marginalisation and are disproportionately impacted by poverty, unemployment, and limited access to quality education and healthcare. Achieving representation in parliament is a critical step toward addressing these challenges and fostering broader equality.

#### The assessment:

Assessment	Right to	The legal framework guarantees the right of all people, including
criterion 1:	participate	underrepresented groups, to take part in the conduct of public affairs,
	in public	including the right to vote and to stand for office, without
	affairs	discrimination.
Assessment	Special	Parliament has adopted special measures to promote the
criterion 2:	measures	representation of underrepresented groups in parliament, such as
		quotas and reserved seats.
Assessment	Rules of	Parliament's rules of procedure provide opportunities for MPs
criterion 3:	procedure	representing minority groups to participate actively in the work of
		parliament.
Assessment	Practice	In practice, underrepresented groups are represented in parliament
criterion 4:		and are able to engage effectively in parliamentary work.

# 5.7.3 Indicator 7.3 Composition of parliamentary bodies

The composition of parliamentary bodies, and of parliament as a whole, should reflect the diversity of political opinions and of social groups within a country. The representation of political groups in the

composition of parliamentary bodies is usually proportionate to the representation of these political groups in parliament as a whole. Parliaments often give special consideration to smaller political groups and independent MPs to ensure their effective representation. Rules and practice have a significant role in determining the composition of parliament's governing bodies, such as the presidium, committees and other parliamentary bodies, and the distribution of leadership roles. There are 3 dimensions under this indicator: Composition of Governing Bodies, Composition of Committees, and Gender and Age Balance in Parliamentary Bodies.

# 5.7.3.1 Composition of governing bodies

This dimension addresses the provisions that ensure representation and balance among political groups in parliament's governing bodies, such as the presidium, the Conference of Speakers, and administrative and financial bodies. These provisions are typically outlined in the legal framework and/or parliament's rules of procedure, often ensuring that the representation of political groups in governing bodies aligns proportionately with their overall presence in parliament. Including political groups from the opposition or minority parties in governing bodies serves as an institutional recognition of parliamentary diversity.

#### The assessment:

Assessment	Representation	The principles for the composition of parliament's governing
criterion 1:	of all political	bodies are clearly set out in the legal framework and/or
	groups	parliament's rules of procedure. These provisions guarantee the
		representation of all political groups in the governing bodies and
		ensure an appropriate balance between them.
Assessment	Leadership	Parliament reserves leadership positions, such as at least one
criterion 2:	positions	Deputy Speaker role, for the opposition or political minority
		groups.
Assessment	Practice	In practice, there is balanced representation of political groups in
criterion 3:		parliament's governing bodies.

#### 5.7.3.2 Composition of committees

This dimension addresses the rules and practices regarding the composition of parliamentary committees, including membership and leadership roles. It is essential that MPs engage in committee work aligned with their expertise and interests, reflecting the selection and decision-making processes

of their political groups. Political groups typically play a significant role in assigning committee memberships. Committee composition is generally determined at the beginning of a parliamentary term, with the structure usually mirroring the overall composition of parliament.

#### The assessment:

Assessment	Rules and	There are clear, fair, and transparent rules and procedures for
criterion 1:	procedures for	determining membership of committees and for the selection or
	composition of	election of committee leadership roles.
	committees	
Assessment	Small political	Special consideration is given to small political groups and
criterion 2:	groups and	independent MPs in order to ensure their representation in
	independent	committees.
	MPs	
Assessment	Expertise and	The expertise and interests of MPs are taken into consideration
criterion 3:	interests of	when assigning committee roles.
	MPs	
Assessment	Practice	In practice, the composition of committees and committee
criterion 4:		leadership roles reflects that of parliament as a whole.

#### 5.7.3.3 Gender and age balance in parliamentary bodies

This dimension addresses the arrangements and practices related to the representation of women and young MPs in leadership positions and parliamentary bodies, including roles such as Speakers, Deputy Speakers, presidium members, and committee chairs and vice-chairs. Ensuring gender and age balance in the leadership and composition of parliamentary bodies allows women and young MPs to influence parliamentary work effectively, ensuring that the needs of these groups are adequately addressed. It is particularly important for women MPs to have access to leadership roles across all policy areas, including key committees such as foreign affairs, defence, and finance. Furthermore, achieving gender and age balance extends to the parliamentary secretariat as well.

Assessment	Measures to	Parliament takes measures to promote the equitable
criterion 1:	promote	representation of women and young MPs in all parliamentary
		bodies.

	equitable	
	representation	
Assessment	Gender and	MPs of different genders and ages are equitably represented in
criterion 2:	age balance in	parliamentary leadership positions, including among committee
	leadership	chairs and vice-chairs.
	positions	
Assessment	Monitoring	Parliament monitors and reports on gender and age balance in the
criterion 3:	and reporting	composition and leadership of parliamentary bodies

#### 6. Assessment

The assessment criteria encompass several critical components, which are essential for ensuring a comprehensive and effective evaluation process. The following elements are considered paramount:

- 1. Objectives: It is imperative to define the objectives of the assessment clearly. This involves determining whether all stakeholders share a common understanding of these objectives and the scope of the assessment. Furthermore, specific indicators that will be evaluated should be identified. The expected outcomes of the assessment must be articulated, along with a detailed explanation of how this evaluation is anticipated to contribute to broader parliamentary reform and development efforts.
- 2. **Timing**: The timing of the assessment is crucial. Clear timelines should be established regarding when the assessment will be conducted, the expected duration (including the number of days and sessions), and whether an introductory seminar will be held to orient participants.
- 3. **Political Engagement**: Assessing the level of political support for the assessment is essential. This includes gauging backing from parliamentary leadership and individual MPs. The existence of a cross-party leadership group to oversee the process is also vital, as it fosters a collaborative environment.
- 4. **Participation**: The composition of participants in the assessment must be carefully considered. It is important to ensure that there is sufficient diversity among participants to reflect varied perspectives. Furthermore, strong engagement at the administrative level in organising the assessment is crucial for its success.
- 5. **Organisation**: Clear assignments of responsibility for organising the assessment are necessary to streamline the process. An evaluation of whether external expert support is needed should be conducted, alongside an assessment of the availability of such support.
- 6. **Facilitation**: The method of facilitation for the assessment is a key consideration. Identifying partner organisations that can provide expert support in facilitating the exercise is important for enhancing the quality of the assessment.
- 7. **Evidence**: The collection and preparation of data and information for evidentiary support should be clearly assigned to specific individuals or teams. Timelines for this process must be established, and any additional background information that may aid participants should be compiled. It is crucial to designate responsibility for distributing both the indicators and supporting evidence to participants.

- 8. **Documentation**: The process of documenting the assessment must be systematically organised, including specifying who will be responsible for this task.
- Outcome: The types of outcome documents to be produced, such as reports or action plans, should be clearly defined. Responsibilities for the creation of these documents must be assigned to ensure accountability.
- 10. **Follow-Up**: Finally, a strategic approach to utilising the outcome documents is necessary. Clear responsibilities for follow-up actions should be established, along with mechanisms for monitoring the implementation of these actions.

Each dimension is assessed by six descriptive grades: Non-existent, Rudimentary, Basic, Good, Very good and Excellent which will determine the best reflection of the situation in parliament. Furthermore, the assessor needs to provide details of the evidence on which the assessment is based. It is recommended that a diverse range of stakeholders – both internal and external – participate collaboratively in the assessment process. This will allow for multiple perspectives and robust analyses.

# 7. Way forward

The next report in this series will cover an in-depth analysis of the application of IDPs within a South African context. This analysis will involve an evaluation of what has been implemented thus far, assessing the extent to which the targets, dimensions, and assessment criteria have been effectively executed. Furthermore, analysing the specific indicators that have not been implemented. The report will explore potential strategies for their implementation.

The collaboration between the Organisation Undoing Tax Abuse (OUTA), OpenUp, and the Parliamentary Monitoring Group (PMG) on the ParliMeter dashboard, integrates elements of the IDP, aids as a critical component of this analysis. Future reports will involve a thorough assessment of each individual IDP target in regards to the South African Parliament, as well as an evaluation of the effectiveness of each dimensions' assessment. The aim is to provide an understanding of both the progress and challenges related to the implementation of these targets. Thus, providing insight and recommendations for the effective implementation, management and adjustment of each target.

It is acknowledged that these studies and resulting reports will not be definitive, and will have to be revisited regularly. Parliaments continue to evolve, hence having an iterative process will allow for greater accuracy in the assessment of parliaments according to the Indicators for Democratic Parliaments.

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# 9. Glossary

**Ad Hoc Committees** 

Temporary committees formed to address specific issues or challenges, which disband once their task is complete.

**Adjournment Debate** 

A debate held at the end of a parliamentary sitting, often focusing on specific issues raised by individual MPs that may not require formal legislation.

Administrative Autonomy Refers to parliament's independence in managing its internal operations, staffing, and resources without interference from external bodies.

**Bicameral System** 

A legislative system in which parliament is divided into two separate chambers or houses, typically an upper and a lower house. For example, the South African Parliament has two chambers: the National Assembly (lower house) and the National Council of Provinces (upper house). Each chamber usually has different roles and powers, contributing to a more comprehensive legislative process.

**Budgetary Autonomy** 

The authority of parliament to manage and approve its own budget, ensuring sufficient resources for its functions, including MP compensation and operational costs.

**By-election** 

A special election held to fill a parliamentary seat that has become vacant between general elections, often due to resignation or death of an MP.

Caucus

A group of members of parliament or a political party who meet to discuss and coordinate their policies, strategies, and decisions. Caucuses are often formed based on party lines or shared interests within a party, allowing members to align their efforts on legislative issues and debate party positions before engaging in formal parliamentary sessions.

Censure

A formal disapproval or condemnation of the actions of a government minister or the government itself by parliament, which can lead to political consequences such as resignation. Civil Society

Organisation

Non-governmental organisations that represent the interests and will of citizens, often involved in advocacy, policy discussions, and community-based initiatives.

**Committee of Inquiry** 

A special committee set up by parliament to investigate specific issues, often related to government misconduct or policy failures.

**Committee Stage** 

A phase in the legislative process where a bill is examined in detail by a parliamentary committee. The committee may suggest amendments, consult experts, or hold hearings before reporting back to the full parliament.

Commonwealth
Parliamentary
Association

An association that supports parliamentary democracy, offering training and collaboration between parliamentarians from Commonwealth countries.

Confidence and
Supply Agreement
Constituency

An arrangement where a minority government secures support from another party to remain in power by agreeing to specific policies or budget measures. The geographical area represented by an MP, who is elected to serve the people in that area within the parliament.

Constitutional
Amendments

Special processes that allow for changes to a nation's constitution, often requiring a supermajority in parliament and public consultations.

Convention On The Elimination Of Discrimination

**Against Women** 

An international treaty aimed at eradicating discrimination against women in all forms.

**Cross-party Group** 

An informal group of MPs from different political parties who come together to discuss or advocate for specific policy issues, such as environmental concerns or human rights.

Cybersecurity in Parliament Measures taken to protect parliament's digital assets and infrastructure from unauthorised access, ensuring secure operations for MPs and staff.

Dissolution

The formal process by which a parliament is disbanded, usually leading to new elections. Clear guidelines for dissolution are often established in a country's legal framework. It may occur at the end of a parliamentary term or after a vote of no confidence.

**Electoral Commission** 

of South Africa

The body responsible for overseeing elections in South Africa, ensuring free and fair electoral processes.

**Electoral** 

The authority responsible for managing electoral processes, including planning and **Management Body** conducting elections.

**Emergency or Crisis Procedures** 

Special procedures that allow parliament to function during emergencies, altering its normal procedures in response to crises.

**Ethical Standards** 

The expected conduct for MPs and parliamentary staff, which includes maintaining integrity, accountability, and impartiality in all parliamentary activities.

**Fast-Track Legislation** 

A special process that allows urgent laws to be passed more quickly than usual, often with limited debate or committee scrutiny. This is typically used during emergencies.

**Filibuster** 

A tactic used by MPs or senators to delay or obstruct the passage of legislation by extending debate, often by speaking for long periods.

Freedom Of Information Refers to laws or regulations that allow public access to government-held information.

Hansard

The official, verbatim transcript of parliamentary debates. It provides a detailed record of all discussions, questions, and speeches made in parliament.

**Human Resource** 

The department or function within an organisation responsible for managing personnel and related administrative tasks.

#### Impeachment

A parliamentary process in which the Head of State, government officials, or ministers may be removed from office for unlawful conduct or breaches of constitutional duties.

# Indicators for Democratic

**Parliaments** 

A comprehensive self-assessment tool for evaluating a parliament's performance and capacity against established democratic standards, focusing on effectiveness, accountability, transparency, and other democratic characteristics.

# Information Communication Technology

Encompasses technologies that provide access to information through telecommunications, including the internet, wireless networks, cell phones, and other communication mediums.

# Institute For Democracy And Electoral Assistance

An international organisation that supports sustainable democracy worldwide through providing comparative knowledge, assisting in reform processes, and influencing policies.

# Institutional Autonomy

Parliament's legal ability to represent the people's interests by convening sessions, managing its committees, and passing laws independently.

# Institutional Development of Parliament

Efforts made to improve the long-term capabilities and performance of parliament, often involving strategic planning, monitoring, and innovation.

# Inter-Parliamentary Union

An international organisation of parliaments that fosters parliamentary dialogue worldwide to promote peace, democracy, and human rights.

# Interpellation

A formal procedure where MPs ask detailed questions to government ministers, often requiring a debate or explanation of a particular government action or policy.

#### **Joint Committee**

A committee composed of members from both houses of a bicameral parliament. Joint committees often address issues that require collaboration between the two chambers.

Law-making

The core function of parliament, which involves the introduction, debate, amendment, and passing of legislation. It includes various stages such as the first reading, committee review, and final vote.

**Legislative Drafting** 

The process of creating new laws or amending existing ones. This involves drafting clear, precise legal texts that can be debated and approved by parliament.

**Legislative Procedure** 

The formal process through which laws are proposed, debated, amended, and passed in parliament. It includes committee stages, plenary debates, and voting.

LGBTQI+

An inclusive term referring to Lesbian, Gay, Bisexual, Transgender, Queer/Questioning, and Intersex persons, and other sexual minorities.

Motion of No Confidence A parliamentary motion used to express that the current government no longer has the support of the majority of MPs, which can lead to its resignation or dissolution of parliament.

**National Assembly** 

The lower house of the Parliament of South Africa, representing the people's elected representatives.

National Council of Provinces The upper house of the Parliament of South Africa, representing provincial interests at the national level.

National Democratic
Institute

A non-profit organisation that works to strengthen democratic institutions worldwide through civic engagement, governance support, and election assistance.

National Human Rights Institution A state-mandated body that works to protect and promote human rights at the national level.

Opposition

The political parties in parliament that do not form the government. They play a crucial role in holding the government accountable through debate, questions.

Parliamentary
Autonomy

Refers to the independence of parliament in managing its own affairs, including setting its rules, procedures, and agenda, without interference from the executive branch.

Parliamentary Budget

Cycle

The stages through which parliament scrutinises, amends, and approves the national budget, ensuring transparency and accountability in financial decisions.

Parliamentary Calendar A schedule that outlines the dates for parliamentary sittings, debates, committee meetings, and other official activities throughout the legislative session.

Parliamentary Committee A group of MPs appointed by parliament to focus on specific legislative areas, such as law-making, oversight of government actions, or financial scrutiny.

Parliamentary

Committee of Inquiry

A special committee set up to investigate specific issues of national interest or potential misconduct within the government or executive branch.

Parliamentary Diplomacy The practice of MPs engaging in international relations through dialogue, mediation, and cooperation to foster understanding between nations.

**Parliamentary Ethics** 

The moral and ethical standards that MPs are expected to uphold, including transparency in the use of public resources and the avoidance of conflicts of interest.

Parliamentary Expenditure Refers to the financial management and accountability of how parliament spends its budget, including MPs' salaries, allowances, and office expenses.

Parliamentary Immunity Legal protections granted to MPs, allowing them to speak freely in parliamentary sessions without fear of prosecution or lawsuits for their statements.

Parliamentary Inviolability A form of legal protection for MPs that prevents them from being arrested, detained, or searched without the consent of parliament, usually related to actions performed in their official capacity.

#### **Parliamentary**

Majority

The political party or coalition with the most seats in parliament, typically forming the government. They usually have the power to pass legislation and set the parliamentary agenda.

#### **Parliamentary**

Oversight

The process by which parliament monitors and evaluates the actions of the executive to ensure accountability, transparency, and compliance with laws and policies.

#### **Parliamentary**

**Privilege** 

Special legal immunities and protections granted to MPs, allowing them to perform their duties without interference, such as freedom of speech within parliamentary debates.

#### **Parliamentary**

**Procedures** 

The rules and guidelines that govern how MPs conduct debates, make decisions, and maintain records within the legislative framework. These procedures ensure that MPs fulfil their legislative duties responsibly.

#### **Plenary Sessions**

The full assembly of parliament, where key decisions and votes on legislation are made. It is the most visible part of parliamentary work.

#### **Political Groups**

Formal groups of MPs organised by party or political affiliation to coordinate activities and pursue shared legislative objectives.

# Post-Legislative Scrutiny

A parliamentary process that reviews the implementation and impact of laws after they have been enacted to ensure they are functioning as intended. A process through which parliaments review and assess the implementation and impact of legislation after it has been enacted.

#### **Presidium**

A collective governing body in parliament that organises parliamentary work, drafts agendas, and coordinates committee activities. It usually includes the Speaker, Deputy Speaker, and committee chairs.

#### **Private Member's Bill**

A bill introduced by an MP who is not part of the government, usually from the opposition or backbenchers. These bills have less likelihood of becoming law but are important for raising issues.

Parliament's authority to adopt and amend its own rules of procedure, ensuring **Procedural Autonomy** its operations are independent of the executive branch. **Promotion of Access** South African legislation that allows citizens to access information held by the to Information Act state, fostering transparency and accountability. **Promulgation** The formal process by which a law, after being passed by parliament, is signed by the Head of State (e.g., President) and officially enacted or put into effect. **Proxy Voting** A system that allows MPs to have their votes cast by another MP if they are unable to attend the parliamentary session. **Public Accounts** A parliamentary committee tasked with examining public spending, ensuring that Committee government resources are used efficiently and accountably. **Public Engagement** The methods by which parliament involves citizens in its decision-making processes, including consultations, petitions, and hearings. **Public Finance** A South African law aimed at securing accountability and transparency in the **Management Act** management of public finances. **Public Procurement** The processes parliament uses to acquire goods and services, which must be transparent, competitive, and in line with legal guidelines.

Question Time A dedicated period during parliamentary sessions when MPs are allowed to ask

questions to the Prime Minister, ministers, or other members of the executive,

holding them accountable for their actions.

**Quorum** The minimum number of Members of Parliament (MPs) required to be present for

parliamentary business to be conducted legitimately.

**Second Reading** 

A key stage in the legislative process where the general principles and purpose of a bill are debated in parliament. It is often followed by a vote on whether the bill should proceed.

**Select Committee** 

A parliamentary committee appointed to investigate and report on specific issues, such as public administration or human rights. Select committees play a vital role in scrutinising government activities.

**Speaker** 

The presiding officer of parliament, responsible for maintaining order during debates, ensuring that parliamentary rules are followed, and representing the authority of parliament.

**Speaker's Ruling** 

A formal decision made by the Speaker of parliament on matters of procedure or conduct within the chamber, ensuring that parliamentary rules are followed.

**Standing Committee** 

A permanent committee in parliament responsible for reviewing and scrutinising specific areas of legislation or government functions.

Standing Committee on Public Accounts

A key parliamentary committee in South Africa responsible for overseeing government expenditures and ensuring fiscal accountability. A key parliamentary committee tasked with scrutinising government expenditure, ensuring that public funds are used effectively and accountably.

Supplementary
Question

A follow-up question asked by an MP during question time, often to clarify or challenge the response provided by a government minister.

Supreme Audit
Institution

An independent body responsible for auditing government finances, including parliament's expenditures, to ensure proper use of public funds.

Sustainable

Development Goals

A set of 17 global goals adopted by the United Nations, aiming at ending poverty, protecting the planet, and ensuring prosperity for all by 2030.

**Third Reading** 

The final stage in the parliamentary debate on a bill, focusing on the final text, after which a final vote is held to either pass or reject the bill.

Transparency of Parliamentary Work	Refers to the openness of parliamentary operations, where decisions, processes, and information are made available to the public.
Unicameral System	A parliamentary structure with a single legislative chamber responsible for passing laws, as opposed to a bicameral system.
Universal Periodic Review	A process conducted by the United Nations Human Rights Council to review the human rights records of all UN Member States.
Vote of Confidence	A parliamentary vote to determine whether the executive (government) still has the support of the majority of MPs. If the vote fails, it may lead to the resignation of the government or a dissolution of parliament.
Westminster Foundation for Democracy	A UK-based organisation that promotes democratic governance and supports the development of political systems and parliamentary institutions.
Whip	A party official responsible for maintaining party discipline in parliament, ensuring

that members attend important votes and vote according to party policy.