



RR Name: \_\_\_\_\_ I do not have any outside business activities

CRD#: \_\_\_\_\_

- I certify that all answers to this questionnaire are true and correct to the best of my knowledge and that statements and answers provided accurately represent my activities. Additionally, I understand that false answers to this questionnaire may result in disciplinary action from the firm. I understand that, subsequent to completion of this questionnaire, it is my obligation to report any changes to the information contained in this questionnaire, by entering changes, and resubmitting this document.

Printed Name

Reason for denial: \_\_\_\_\_