



Kingswood Investments Hits the Ground Running

We hope you've seen the recent announcements about Kingswood Investments, a division of Kingswood Capital Partners LLC. The team has already acted as Sole Bookrunner for the MIRA Pharmaceuticals IPO (and helped ring the bell at NASDAQ!), and has been strengthening its capabilities by strategically growing the team with the addition of Kevin Ernst. Adding Kingswood Investments to our existing investment banking team in Boca Raton and our SPAC Advisory team allows Kingswood to deliver one of the most comprehensive investment banking offerings in the industry. Want to learn more

? Please contact Eddie Kazatsky (ekazatsky@kingswoodus.com)



Ed Tsuker
Chief Executive Officer
Kingswood Investments



Ariel Imas
President
Kingswood Investments



Kevin Ernst
Managing Director
Kingswood Investments



Compliance Update: Continuing Education

Continuing Education season is upon us, and we have begun with the roll out of state required IAR CE. As previously discussed, several states have required third party CE to be completed annually which will be completed through our vendor Quest CE. If you are required to complete this CE we have assigned courses and you will receive a welcome email for IAR CE. If you do not receive a welcome email, then this CE is not required for you.

We are also reminding are registered representatives that FINRA CE must be completed through the FinPro system annually. Please download the Compliance Update for more information and a summary of the changes to the FINRA CE program. Questions? Please email Mike Alsoraimi at malsoraimi@kingswoodus.com.

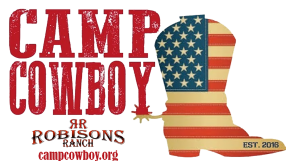
Click here to download the
August Compliance Update

Updated Approved Product List Available

An updated approved alternative investment product list is available on the Alternative Investment page of our advisor resource website, WelcometoKingswood.com. As a reminder, questions related to the Alternative Investment and Covered Security platforms must now be directed to products@kingswoodus.com.

- Altigo Questions
- Approved Programs
- New Program/Sponsor requests
- Commissions
- AI Insight
- 1031 Exchanges, DST's and OZ Funds
- Covered Securities and Interval Funds

New Philanthropic Partner: Camp Cowboy



Kingswood is proud to support [Camp Cowboy](http://CampCowboy) an organization firmly focused on pursuing wellness and balance for military families. They believe that - through equine based experience, education, and knowledge - a vast multitude of "life's scars" can be overcome, allowing for a reconnected, well-balanced life change for those in need. To learn more or to make a donation, please contact Ryan Sabet (rsabet@kingswoodus.com)

Podcast: From Shiny Objects to Solid Strategies With Mike Nakanishi



Kingswood U.S. advisor Mike Nakanishi was recently interviewed about his influences, the challenges faced by investors, and his unwavering passion for helping clients succeed in their financial endeavors. You can listen to the podcast [here](#).

Want to share your story with a broader audience? Be a guest on *Kingswood Advisor Voices*. Contact Rifton Westby at rwestby@kingswoodus.com to learn more.

Kingswood in the News

Keep up with the latest from Kingswood U.S. by visiting our [website](#) or following us on [LinkedIn](#) and [Twitter](#).

Here are a few links to our recent coverage:

- [Kingswood U.S. Announces Enhanced, Full Service In-House Investment Banking Capabilities](#) - via *Cision/PR Newswire*
- [Kingswood Appoints Veterans To Lead Investment Banking Division, Plans To Grow Division And Provide Synergies To Advisors](#)- via *Wealth Solutions Report*
- [Kingswood U.S. Expands Investment Banking And Capital Markets Capabilities](#) - via *FA Mag*
- [Kingswood U.S. Continues Strategic Expansion of In-House Investment Banking Group](#) - via *Cision/PR Newswire*
- [Should You Tell Your Kids How Much They'll Inherit](#) - via *InvestmentNews*

Contact Your Concierge Team Today!

Rifton Westby
(845) 544-6300 | rwestby@kingswoodus.com

Ryan Sabet
(516) 315-6596 | rsabet@kingswoodus.com

Jaime Golden
(917) 696-8657 | jgolden@kingswoodus.com

As always, please reach out if you have questions or if we can be of service to

[Visit Our Website](#)



[Email Us](#)

Securities Offered through Kingswood Capital Partners, LLC Member FINRA/ SIPC. Advisory Services offered through Kingswood Wealth Advisors an SEC registered investment adviser.

Kingswood U.S.

17 Battery Park Place, Suite 625
New York, NY 10004

[Unsubscribe](#)

~Company.CanSpamAddressBlock~