

Welcome New Advisors!

Kingswood is honored that these eight advisors have entrusted us to help them achieve their clients' goals. Please join us in welcoming the following people to the Kingswood family!

- Lacey Buteyn
- Eric Cardenas
- Brian Dixon
- Dustin Parsons
- Careena Patel
- Paul Sterzinger
- Stephen Walker

The Kingswood Group now serves more than 400 registered individuals with more than \$13 billion in assets under management worldwide.

Kingswood Serves as Sole Bookrunner on \$18,000,000 Initial Public Offering for SolarMax Technology, Inc.



Kingswood, a division of Kingswood Capital Partners, LLC, was pleased to serve as Sole Bookrunner on the Initial Public Offering for SolarMax Technology, Inc. (Nasdaq: SMXT), an integrated solar and renewable energy company.

To learn more about Kingswood's investment banking solutions, please contact Ryan Sabet (rsabet@kingswoodus.com)

Alternatives Had a Moment Among Retail Investors in 2023. What Will 2024 Bring?

Managing Director of Investment Solutions Douglas Blake recently participated in a forward-looking (Q2 and beyond) Alternatives Watch roundtable.



As part of the roundtable, Doug discussed what he thinks 2024 may bring to the Alternatives landscape. "The volatility in stocks and bonds in the past few years, along with persistent economic uncertainty, makes investments with lower correlation more attractive. That bodes well for alternative investments in 2024. Wealth managers who embrace this concept are not alone. Prominent endowment funds have allocated a significant portion of their portfolios to alternative investments, and some pension funds have placed their money in these non-traditional strategies, demonstrating how these strategies are being used as part of a diverse portfolio."

Doug also talked about how "Kingswood's alternative investment platform has processed over \$300 million in business across over 3,000 investments. Like many firms in the space, our technology-enabled platforms have made participating in the space more convenient and transparent for both wealth managers and investors."

[Click here](#) to read the entire article. To learn more about the Kingswood Alternative Investment platform, contact Doug (dBlake@kingswoodus.com).

Kingswood Partner Spotlight: One Insurance Solution for RIAs



[One Insurance Solution for RIAs](#) (OIS) specializes in tailored insurance solutions for Registered Investment Advisor (RIA) professionals and their clients, acknowledging the unique challenges RIAs face. Their Policy Care Program aggregates clients' fixed and variable insurance policies, ensuring safekeeping, policy management, reviews, and competitive analysis. The Outsourced Insurance Desk provides services for RIAs no longer licensed to write fixed and/or variable insurance, including case design, advisory product options, product analysis, and paperwork handling. For licensed RIAs, the Insurance Concierge offers support in state licensing, planning, underwriting and a client liaison to assist with the paperwork. OIS aims to empower advisors in protective planning and invites consultation to explore partnership opportunities.

To learn more, please visit their [website](#), call 877-726-1647 or email Jake Spaccarotelli (jakes@mailpcn.com), Daniel Fisher (danf@mailpcn.com) or Nathan Schichtel (nates@mailpcn.com).

Kingswood Investments Continues to Expand



Kingswood Investments, a division of Kingswood Capital Partners continues to grow its team of seasoned investment banking professionals to meet the needs of our clients. Please join us in welcoming

- David Braccia, VP of Capital Markets (dbraccia@kingswoodus.com)
- Jake Wilson, VP of Investment Banking (jwilson@kingswoodus.com)
- Evan Wynn, VP of Capital Markets (ewynn@kingswoodus.com)

To learn more about Kingswood's investment banking solutions, please contact any of the above, or Ryan Sabet (rsabet@kingswoodus.com)

Kingswood in the News

Keep up with the latest from Kingswood U.S. by visiting our [website](#) or following us on [LinkedIn](#) and [Twitter](#).

Here are a few links to our recent coverage:

- [SolarMax Technology, Inc Announces Pricing on Initial Public Offering and Listing on Nasdaq - via PR Newswire](#)
- [Alternatives had a moment among retail investors in 2023. What will 2024 bring? - via Alternatives Watch](#)
- [Advisors Recommend Buying Bonds Now, Before Rate Cuts - via Financial Advisor](#)

Contact Your Concierge Team Today!

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As always, please reach out if you have questions or if we can be of service to you.

Visit Our Website



Email Us

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