

**Addendum to Kingswood Wealth Advisors Investment Management**

This addendum hereby modifies and becomes part of the Kingswood Wealth Advisors Investment Management Agreement dated: \_\_\_\_\_ between the undersigned parties. All other terms and conditions of the Investment Management Agreement shall remain unchanged except those stipulated below. The effective date of this addendum is the undersigned parties' signature date.

 **Add NEW account(s) to existing agreement**

Account Number	Title/Registration	Advisor Fee	Platform/ Manager Fee <sup>1</sup>	Total Fee	Billing Exclusions (Cash, specific positions, Alternative Investments)	Bill To Account <sup>2</sup>

<sup>1</sup> If applicable, <sup>2</sup> If paying from a different account

Will any of the accounts above be investing in the KEAP Program?  YES  NO

If YES, please attach the signed and completed Statement of Investment Selection.

If there is a manager fee, will the manager's fee be billed separately to the account, directly by the manager?  YES  NO

If YES, please list the firm billing the manager fee: \_\_\_\_\_

 **Fee Schedule Change for EXISTING Account(s) under existing agreement**

Account Number	Title/Registration	Advisor Fee	Platform/ Manager Fee <sup>1</sup>	Total Fee	Billing Exclusions (Cash, specific positions, Alternative Investments)	Bill To Account <sup>2</sup>

<sup>1</sup> If applicable, <sup>2</sup> If paying from a different account (must be the same custodian)

Will any of the accounts above be investing in the KEAP Program?  YES  NO

If YES, please attach the signed and completed Statement of Investment Selection.

If there is a manager fee, will the manager's fee be billed separately to the account, directly by the manager?  YES  NO.

If YES, please list the firm billing the manager fee: \_\_\_\_\_

 **Add Outside Account(s) to existing agreement** (KWA cannot deduct fees from these Accounts, and may not be able to trade securities in these Accounts. Applicable fees may be deducted from the specified Accounts held at a KWA approved Custodian).

Outside Custodian	Outside Account Number	Outside Account Amount	Bill To Custodian	Bill To Account Number

 **Termination**

Client has elected to terminate their account(s) as of: \_\_\_\_\_ (Date)

Account(s) to be terminated: \_\_\_\_\_

Client Name: \_\_\_\_\_

Client Name: \_\_\_\_\_

Client Signature: \_\_\_\_\_

Client Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_

Accepted by: \_\_\_\_\_

Date: \_\_\_\_\_

Kingswood Wealth Advisors