



# TRADE CORRECTION APPROVAL FORM

**Type of Trade Correction Change – Place an “X” of a check mark to identify the appropriate correction change**

<u>      </u>	Commission	<u>      </u>	Type 1 or 2	<u>      </u>	Account Number	<u>      </u>	<b>Market Action</b>
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\_\_\_\_\_ Equity      \_\_\_\_\_ Bond      \_\_\_\_\_ Option

Trade Date: \_\_\_\_\_ Settlement Date: \_\_\_\_\_

Account Name: \_\_\_\_\_ Account Number: \_\_\_\_\_

\_\_\_\_\_ Buy      \_\_\_\_\_ Sell                      \_\_\_\_\_ Put      \_\_\_\_\_ Call

Quantity \_\_\_\_\_ Symbol/CUSIP \_\_\_\_\_

## Security Description

Price \$ \_\_\_\_\_ Commission \$ \_\_\_\_\_

**Explanation for correction**

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Rep Name \_\_\_\_\_ Rep Code \_\_\_\_\_

Rep Signature \_\_\_\_\_ Date \_\_\_\_\_

BM Name \_\_\_\_\_ BM Signature \_\_\_\_\_ Date \_\_\_\_\_

Trader Name\_\_\_\_\_ Trade Signature\_\_\_\_\_ Date\_\_\_\_\_