



Kingswood Named to Forbes | SHOOK Top RIA Firms 2025



We are honored to be named to the Forbes SHOOK Top RIA Firms 2025 List. This recognition reflects our advisors' commitment to safeguarding and growing their clients' wealth.

What Qualities Does SHOOK Look For?

To start, every ranking of independent advisors we've seen focuses on size—total assets under management, headcount, etc. But that's like recommending a major bank—how does recommending an entire firm help anyone looking for a single trusted advisor?

For bigger firms, SHOOK focuses on individuals or teams. But for individuals looking for an independent advisor, SHOOK's focus on quality skews its recommendations towards firms in which we can thoroughly understand how each client is actually treated. In doing so, we measure aspects like processes that are inherent in each client relationship, what is the team's purpose, and what is the underlying culture that we see throughout all levels of employees.

When we meet with these independent firms, we take a close look at leadership and the senior principals since they tend to dictate every client's experience. When we meet with a firm or an advisor for a due diligence meeting, we are always thinking to ourselves, "Would we recommend this firm (or individual) to a friend or family member?" Quality is always first; if we're going to include a firm (or advisor) on our rankings, we have to make sure everyone is of the highest quality.

You can read the full report and methodology via the [Forbes | SHOOK Top RIA Firms](#) page. Congratulations to all!

Advisors: Welcome New Advisors!



*John Dolan
Kingswood U.S.*



*Don Price
Kingswood U.S.*



*Mark Christenson
Blueprint Wealth Solutions*



*Richard Dawkins
Blueprint Wealth Solutions*

Please join us in welcoming the following advisors to the Kingswood U.S. Family!

Investment Banking

Kingswood was proud to be involved in three deals this month.



Transaction Announcement

GSR III Acquisition Corp
Merging with



~\$1.1B
OCTOBER 2025
Business Combination

GSR III Acquisition Corp successfully completed its **Business Combination** with a **Terra Innovatum** (Nasdaq:NKLR)

Implied equity value calculated using Terra Innovatum's closing share price as of October 10, 2025, per FactSet.
This communication is for informational purposes only. It is not intended as an offer or solicitation for the purchase or sale of any financial instrument or as an official confirmation of any transaction. All market prices, data, and other information are not guaranteed as to completeness or accuracy and are subject to change without notice. For more important disclosures visit Kingswoodus.com



Transaction Announcement



\$25,000,000
Exclusive Financial Advisor
OCTOBER 2025
Senior Secured Term Loan

Kingswood acted as the Exclusive Financial Advisor to **Spotter Labs** on receiving a **Senior Secured Term Loan**

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Please visit the Investment Banking Transactions page on KingswoodUS.com for a complete list of deals we've been a part of.

CIO Corner

Kingswood CIO Scott Poore, AIF®, AWMA®, APMA® writes two blogs a week - a Monday Market Recap and Friday Market Musings.

- 10/24/2025 – Expectation vs. Reality
 - 10/20/2025 – Never a Dull October
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Equity Research

Our research team updated their coverage on the following companies:

- Ribbon Communications (Nasdaq: RBBN)

Kingswood Advisors can access these reports via the Kingswood Advisor Resource Center. If you are not a Kingswood Advisor and are interested in receiving a report, please contact Ryan Sabet (rsabet@kingswoodus.com).

If you are interested in learning about how the Kingswood Equity Research Team can cover your company, please email research@kingswoodus.com.

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