



Kingswood Elite Conference Series Playa del Carmen Was a Great Success



Highlights from the Kingswood Elite Conference Series in Playa del Carmen, Mexico.

The Kingswood Elite Conference Series brought together advisors, team members and sponsors for three days of education, networking and fun earlier this month at the Grand Hyatt in Playa del Carmen, Mexico. Many thanks to everyone who attended, and a special thank you to our sponsors, who made the event possible.

Mark your calendars for the Kingswood Conference Series - San Antonio! This year's event will be held November 9-12, at the La Cantera Resort in the heart of the San Antonio Hill Country. Ashley Webb will reach out to advisors in early September to secure your travel plans.

Team Members: Welcome New Advisors!



*Rick Harmsen
Outer Banks Wealth
Management*



*Jeff Johnson
Complete Wealth 360*



*Craig Rappaport
Rappaport Wealth
Management*

Please join us in welcoming three new advisors to the Kingswood U.S. family!

- Rick Harmsen, Outer Banks Wealth Management
- Jeff Johnson, Complete Wealth 360
- Craig Rappaport, Rappaport Wealth Management

If you would like to learn more about becoming a Kingswood advisor, please contact Ryan Sabet (rsabet@kingswoodus.com).

Kingswood Partners: CAIS

Kingswood is excited to announce a new partnership with CAIS. As an independent financial advisor, you can use the CAIS platform as your end-to-end solution for accessing alternative investments. CAIS aims to serve the needs of the independent wealth ecosystem, streamline workflows and save valuable time across the pre-trade, trade and post-trade process.

If you want to learn more about how to work CAIS into your practice, please contact Val Peters (vpeters@kingswoodus.com).

CIO Corner

Kingswood CIO Scott Poore, AIF®, AWMA®, APMA® writes two blogs a week - a Monday Market Recap and Friday Market Musings.

- 5/27/2025 – Tariffs and Treasuries Moved Markets Last Week
 - 5/23/2025 – Taken For a Ride
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Equity Research

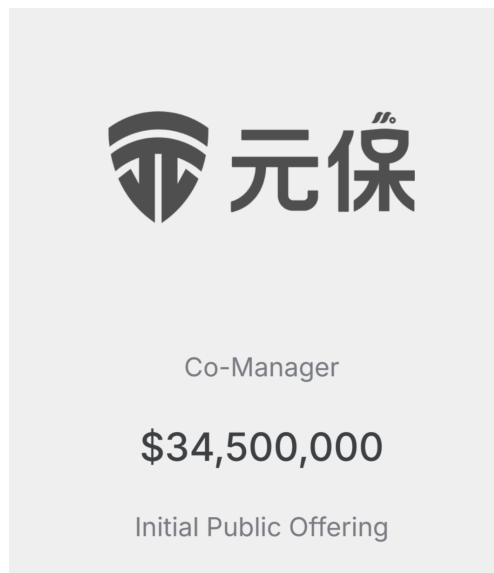
Our research team has updated their coverage on the following companies:

- Digi International, Inc. (NASDAQ: DGII)
- MIRA Pharmaceuticals (NASDAQ: MIRA)
- NeurAxis, Inc. (NASDAQ: NRXS)
- Stardust Power, Inc. (NASDAQ: SDST)
- Vertex Pharmaceuticals, Inc. (NASDAQ: VRTX)

If you are interested in learning about how the Kingswood Equity Research Team can cover your company, please email research@kingswoodus.com.

Investment Banking

Congratulations to Kingswood client Yuanbao, Inc (NASDAQ: YB), an online distributor of insurance policies in China, on its IPO. Kingswood was pleased to serve as Co-Manager on the deal alongside Bookrunners Goldman Sachs, Citi, and China International Corp.



Please visit the Investment Banking Transactions page on KingswoodUS.com for a complete list of deals we've been a part of.

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