Kingswood Named to Financial Advisor Magazine 2026 RIA Ranking & Survey

Kingswood U.S. is proud to be recognized in the Financial Advisor Magazine 2026 RIA Ranking & Survey, earning a place on both the America's Top RIAs list and the 50 Fastest Growing RIAs list. These recognitions are a reflection of the dedication, professionalism, and client-first mindset of our advisors across the country. Their hard work and unwavering focus on doing what's right for clients continue to drive our growth and success.

Kingswood Advisors may access and find directions on how to use these award resources in the Kingswood Resource Center. If you choose to use these badges in your email signature, please also include a link to the Kingswood Awards Disclosure.

Congratulations and thank you to our entire team for this outstanding achievement.

FA Magazine Article & Rankings

Kingswood Award Disclosures

EVENTS

ArcStone Kingswood Growth Summit Will Be Held September 16 in Toronto — Register Now!

The ArcStone Kingswood Growth Summit brings together growth-stage companies, institutional investors, family offices, advisors, and capital-markets leaders for one focused day of discovery, dialogue, and meaningful connection.

For investors, it is an opportunity to:

- Discover emerging public and private-market opportunities
- Meet company leadership teams directly
- Explore ideas across healthcare, technology, energy, mining, and more
- Build relationships with issuers, advisors, and fellow market participants
- Take part in curated one-on-one meetings and high-signal conversations

September 16, 2026 — Sheraton Centre Toronto Hotel, Toronto

Explore the companies and conversations shaping what's next. Register now to receive your personal invitation.

Register for the ArcStone Kingswood Growth Summit

TEAM MEMBERS

Welcome New Bankers

Please join us in welcoming the following bankers to the Kingswood U.S. Family!

Karen Sterling
Head of Biotechnology Investment Banking

Ed Harnett
Managing Director

Daniel Farishta
Vice President

Eric Vallejo
Director, Investment Banking / Syndicate

INVESTMENT BANKING

Kingswood U.S. was honored to serve as Sole Bookrunner in the OceanLight Acquisition Corp. (NasdaqGM: OCLTU) IPO this month. Congratulations to everyone involved in this deal!

Please visit the Investment Banking Transactions page on KingswoodUS.com for a complete list of deals we've been a part of.

EQUITY RESEARCH

Recently, we initiated coverage of REalloys, Inc. (NasdaqCM: ALOY) with a Buy rating and an $18 twelve-month price target.

REalloys is an early-stage developer of a rare earth separation and metallization facility in partnership with a North American midstream processing partner, with first production from Phase 1 expected in 2027. We believe that the company currently holds an early-mover advantage in developing and implementing a vertically integrated production infrastructure for rare earth elements in North America, critical for the onshoring of strategic rare earth supply chains in the United States and Canada.

We have also updated coverage on NextTrip, Inc. (Nasdaq: NTRP), and shared a Company Feature Report on Copper Intelligence, Inc. (OTCID: CUAI).

Kingswood Advisors may access the full reports in the Kingswood Resource Center under Equity Research (log-in required). If you need help logging in, or you are not a Kingswood Advisor and want to view the report, please email Ryan Sabet at rsabet@kingswoodus.com.

CIO CORNER

Kingswood CIO Scott Poore, AIF®, AWMA®, APMA® writes two blogs a week — a Monday Market Recap and Friday Market Musings. Read his most recent analysis, or subscribe to have his insights delivered directly to your inbox:

- 8/24/2026 — Markets Take A Breather as U.S.-Iran War Takes A New Turn
- 8/10/2026 — Maybe The Best Path Is Through

In addition to writing blogs, Scott & the KEAP team provide many additional services for advisors:

- Investment Analysis — ETF, Mutual Fund, SMA
- Portfolio Analysis — Current Client / Prospective Client
- Financial Planning
- Client Ideas

To receive an investment proposal or deconstruction analysis, Kingswood advisors can find & submit a Proposal Request Form on the KEAP page in the Kingswood Resource Center (log-in required).
