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Investor Notice – ETF Facts Filing Update

June 08, 2026

The 3iQ Ether Staking ETF (the "Fund"), managed by 3iQ Corp. ("3iQ"), filed its Year 2 ETF Facts after the applicable filing window under the 24-month prospectus regime. The ETF Facts was required to be filed within an 11- to 13-month window following the date of the current prospectus. The applicable deadline was April 27, 2026, and the ETF Facts document was filed on May 26, 2026. 3iQ identified the issue on May 29, 2026. The ETF Facts disclosure remained accurate in all material respects, and 3iQ is not aware of any investor harm arising from the late filing. The missed filing deadline triggered an automatic prospectus lapse, although secondary market trading in the Fund continued normally.

On June 1, 2026, 3iQ filed an application for exemptive relief with the Ontario Securities Commission (the "OSC") to address the lapse on a forward-looking basis by realigning the filing window applicable to the Fund's ETF Facts.

On June 5, 2026, the OSC granted the requested relief, extending the prospectus lapse date and allowing the Fund to continue operating without the filing of a new prospectus. The late filing was inadvertent and administrative in nature. There were no material changes to the Fund requiring updated disclosure beyond the annual ETF Facts filing, and the disclosure provided to investors remained accurate in all material respects. This supported the position that the issue was one of timing rather than investor protection.

Nothing in the OSC's decision limits, extinguishes or otherwise affects any rights or remedies that investors may have under applicable securities legislation, including rights relating to disclosure or delivery of ETF Facts, where applicable. Any such rights remain unchanged and would apply only in the circumstances provided for under applicable securities laws.

A current ETF Facts for the Fund is available on SEDAR+ and on our website. Investors who have questions are encouraged to contact 3iQ at info@3iq.io

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