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NPCC Compliance Committee
2026 Scope

I. Purpose

The Compliance Committee (CC) of the Northeast Power Coordinating Council, Inc. (NPCC) is a means for stakeholders to provide objective stakeholder input regarding the NPCC risk-based Compliance Monitoring and Enforcement Program (CMEP) and the NPCC Criteria Compliance Enforcement Program (CCEP). The CC also provides a collaborative forum for NPCC Staff to share information on NPCC processes.

The NPCC risk-based CMEP is administered independently by NPCC Staff and encompasses compliance monitoring, entity risk assessment, and enforcement of NERC Reliability Standards and NPCC Regional Reliability Standards.

The CCEP is administered by NPCC Staff, with CC member and Task Force member input, on aspects of a subset of NPCC Reliability Criteria and Directories.

II. Responsibilities

a. CMEP Related

1. Provide objective stakeholder input and/or feedback to NPCC Staff related to the risk- based CMEP, including certification and registration.
2. Conduct periodic assessments of selected aspects of the NPCC Compliance Staff's implementation of the risk-based CMEP.
3. Review and endorse the public Compliance Procedures used by the NPCC Compliance Staff to implement the CMEP.
4. Discuss emerging compliance issues and entity concerns with implementation of solutions.
5. Share best practices on compliance implementation and mitigation solutions.
6. Provide input on topics to be presented at NPCC Conferences.
7. Provide oversight on the activities of any subcommittees, working groups, or user groups formed with respect to CMEP activities.

b. CCEP Related

1. Provide objective stakeholder input to NPCC Staff related to the CCEP.

2. Develop CCEP documents for Reliability Coordinating Committee (RCC) acceptance.
    a. Annual CCEP Assessment Report
    b. Annual CCEP Implementation Plan
3. Propose, review, and approve any modifications or revisions to the CCEP, including the CCEP process document and CCEP certification forms.
4. Oversee the activities of any subcommittees, working groups, or user groups formed with respect to the CCEP.

III. Membership

The CC will consist of Full Member and General Member representatives from the seven voting sectors as follows:

- Sector 1 – Transmission Owners
- Sector 2 – Reliability Coordinators
- Sector 3 – Transmission Dependent Utilities, Distribution Companies, and Load-Serving Entities
- Sector 4 – Generator Owners
- Sector 5 – Marketers, Brokers and Aggregators
- Sector 6 – State and Provincial Regulatory and/or Governmental Authorities
- Sector 7 – Sub-Regional Reliability Councils, Customers, Other Regional Entities, and Interested Entities

IV. Meetings

a. Quorum

The quorum necessary for transacting business at the CC meetings is at least one-half (1/2) of the members in five (5) of the seven (7) sectors.

If a quorum is not present at the beginning of the meeting, the CC may not take any actions requiring a vote. However, the Chair may, with the consent of the majority of members present, elect to allow discussion of the agenda items.

b. Voting

Voting may take place during regularly scheduled in-person or virtual meetings and electronic mail. Actions by members of the CC in a meeting will require the affirmative vote of two-thirds of the weighted sector votes present at any meeting at which a quorum is established. Each member from the seven sectors may cast votes. Only votes **for** or **against** the proposal will be counted.

Each member in a sector receives one vote, and a sector is only considered "voting" if any member from that sector casts a vote for or against the proposal. The vote of each sector will be weighted based on the number of members who vote in the affirmative in that sector divided by the total number of votes cast in that sector. For example, if half of a sector's members present vote in favor of a proposal and the other half vote against, the sector's vote will be weighted at 50%. If the weighted votes in favor of the proposal are equal to or greater than two-thirds, the proposal passes.

V. Leadership

The CC will be chaired by the Vice President, Compliance or Director, Compliance. The CC will elect a Vice Chair from committee members. The term of the Vice Chair shall be two (2) years.

VI. Version History

Effective Date: February 4, 2026

Approved On	Changes Made/Comments	Reviewer/Approver
December 10, 2025	New Template; Annual update: revised year references throughout the document.	NPCC Compliance Committee
February 4, 2026	Document Approval	NPCC Board of Directors