


Department <i>Functional Role</i>	Data Types & General Workflow <i>What data do they process through activities</i>	Risk Drivers <i>Risks associated Jurisdiction specific procedures &amp; data handling</i>	Legal & Compliance Regulations <i>Regulations Jurisdiction Specific</i>	Data Proof Solution
<b>Human Resource Management</b> The management of an organisation's workforce, or human resources. Responsible for the attraction, selection, training, assessment, and rewarding of employees.	<b>Data</b> - Employees personal information, Financial salary information <b>Information Workflows</b> - Pre-employment checks, Training and Development, Change in personal circumstances, Performance Management, Industrial Action, Mergers and Acquisitions, End of employment	<b>They need to Comply with &amp; adhere to:-</b> Employment Regulation Health & Safety Regulations, HR Policy, Data Regulation  <b>Comply with sector specific Legislation &amp; Regulation:-</b> Such as - Financial Services Act, Health Care & Pharmaceuticals Act, Manufacturing Standards Act, Health & Safety Act	<b>Data Regulation</b> - GDPR, DPA, ISO 27001 <b>Employment Regulation</b> - Safeguarding Vulnerable Groups Act 2006, Employment Rights Act 1996, Equality Act 2010, <b>Sector Specific regulatory &amp; Compliance Regulation</b> Payment Card Industry Data Security Standard (PCI) , International Organisation for Standardisation (ISO), Health Insurance Portability and Accountability Act (HIPAA). Control of Substances Hazardous to Health 2002 (COSHH)	<b>Proof of compliance</b> Data cannot be destroyed or hacked  <b>Settle Disputes</b> Court ready Data Proof Certificates  <b>Audits</b> Reduces risk, improves risk management  <b>Reports</b> Creates legal transparency  
<b>Accounting and Finance</b> Primary responsibility is to generate financial statements and the related disclosures that fairly reflect the financial results and condition of the organisation	<b>Data</b> - Employee Payroll Data, Company Data, Client Data, Vendor Data Product Financial Data <b>Information Workflows</b> - Planning, organising, auditing, accounting and controlling company's finances, accounts receivable, accounts payable, payroll, financial reporting, and maintaining financial controls, Insurance.	<b>They need to Comply with &amp; adhere to:-</b> Government Financial Reporting Standards, Accounting Standards, Data regulations <b>Comply with sector specific Legislation &amp; Regulation:-</b> Such as - Financial Services Act, Health Care & Pharmaceuticals Act, Manufacturing Standards Act, Health & Safety Act	<b>Data Regulation</b> - GDPR, DPA, ISO 27001 <b>Tax &amp; reporting Regulation</b> IFRS, FRC, HMRC Regulations, Sarbanes-Oxley Act, Companies Act 2006, Directive 2014/56/EU, Regulation No 537/2014 <b>Sector Specific regulatory &amp; Compliance Regulation</b> Payment Card Industry Data Security Standard (PCI) , International Organisation for Standardisation (ISO), Health Insurance Portability and Accountability Act (HIPAA). Control of Substances Hazardous to Health 2002 (COSHH)	
<b>Marketing &amp; Sales</b> Promoting the business and mission of an organisation. The sales division takes the lead in setting strategies and decides what marketing communications it needs to support its efforts	<b>Data</b> - Client Data, Vendor data , Employee Data, Product & Pricing Data, Website data, Social Media data <b>Information Workflows</b> - Website and software data analysis, New client marketing, CRM recording Client data and activities, Campaign management, Pipeline management, Social media management, Vendor Management, Product management	<b>They need to Comply with &amp; adhere to:-</b> Online and distance selling, , Social Media good practice, Marketing List control, cold calling regulations, Website and cookie privacy policy Product labelling, Food labelling and packaging <b>Comply with sector specific Legislation &amp; Regulation:-</b> Such as - Financial Services Act, Health Care & Pharmaceuticals Act, Manufacturing Standards Act, Health & Safety Act	<b>Data Regulation</b> - GDPR, ISO 27001 <b>Communication Regulation</b> - ICO regulation 21, 21A and 21B direct calling, Advertising Standards Authority (ASA) <b>Sector Specific regulatory &amp; Compliance Regulation</b> Payment Card Industry Data Security Standard (PCI) , International Organisation for Standardisation (ISO), Health Insurance Portability and Accountability Act (HIPAA). Control of Substances Hazardous to Health 2002 (COSHH)	
<b>Technology Systems</b> Technology department is responsible for the architecture, hardware, software and the security of company solutions & systems.	<b>Data</b> - Business analytical data, Data maintenance, Database administration, Database management system <b>Information Workflows</b> Business Intelligence, Data analysis and Data mining Data warehouse and Data mart, Data access, Data enrichment	<b>They need to Comply with &amp; adhere to:-</b> Data governance practices, Data erasure, Data privacy, Data security, Data Ethics, Data cleansing, Data integrity, Data quality, Data quality assurance  <b>Comply with sector specific Legislation &amp; Regulation</b> Such as - Financial Services Act, Health Care & Pharmaceuticals Act, Manufacturing Standards Act, Health & Safety Act	<b>Data Regulation</b> - GDPR, ISO 27001, Sarbanes-Oxley Act of 2002  <b>Sector Specific regulatory &amp; Compliance Regulation</b> Payment Card Industry Data Security Standard (PCI) , International Organisation for Standardisation (ISO), Health Insurance Portability and Accountability Act (HIPAA). Control of Substances Hazardous to Health 2002 (COSHH)	
<b>Product or Manufacturing</b> Makes the products/services that the company sells has to work closely with Development and Research to make sure that what comes out of Development is something that can be built, tested, and maintained at a profit.	<b>Data</b> - Product Data , Customer Data, Manufacturing Data, vendor data, Supply Chain Data <b>Information Workflows</b> -	<b>They need to Comply with &amp; adhere to:-</b>  <b>Comply with sector specific Legislation &amp; Regulation</b> Such as - Financial Services Act, Health Care & Pharmaceuticals Act, Manufacturing Standards Act, Health & Safety Act	<b>Data Regulation</b> - GDPR Regulation - <b>Sector Specific regulatory &amp; Compliance Regulation</b> Payment Card Industry Data Security Standard (PCI) , International Organisation for Standardisation (ISO), Health Insurance Portability and Accountability Act (HIPAA). Control of Substances Hazardous to Health 2002 (COSHH)	