

FORTRESS PRIVATE LEDGER, LLC

PRIVACY NOTICE

Effective Date: August 31, 2026

Our Commitment to Your Privacy

Fortress Private Ledger, LLC ("Fortress," "we," "us," or "our") is committed to protecting the privacy and security of the personal information entrusted to us. Fortress is registered as both a broker-dealer and an investment adviser. This Privacy Notice applies to information we obtain in connection with our brokerage, investment advisory, and other financial services.

Federal law requires financial institutions to inform customers about their practices regarding the collection, use, sharing, and protection of nonpublic personal information. This Privacy Notice describes our practices with respect to your information.

INFORMATION WE COLLECT

In providing financial products and services to you, we may collect nonpublic personal information from a variety of sources, including:

- Information you provide to us on applications, agreements, questionnaires, forms, or other documents, such as your name, address, telephone number, email address, Social Security or taxpayer identification number, date of birth, employment information, income, net worth, investment objectives, risk tolerance, financial circumstances, and other identifying or financial information;
- Information about your transactions and accounts with us, our service providers, custodians, broker-dealers, financial institutions, product sponsors, or others, such as account balances, account activity, contributions, withdrawals, investment holdings, transactions, and payment history;
- Information obtained from custodians, retirement plan sponsors or administrators, financial institutions, product providers, or other third parties in connection with providing services to you;
- Information obtained from consumer reporting agencies or other information providers, when applicable; and
- Information contained in communications or interactions with us, including information relating to financial planning, investment recommendations, advisory services, brokerage services, and your use of our services.

We collect only information that we reasonably believe is necessary or appropriate to provide financial services to you, administer our relationship with you, comply with applicable legal and regulatory requirements, protect against fraud or unauthorized activity, and operate our business.

HOW WE USE YOUR INFORMATION

We may use your nonpublic personal information to:

- Establish, maintain, administer, and service your accounts;
- Provide brokerage, investment advisory, financial planning, and related financial services;
- Process transactions that you request or authorize;
- Communicate with you regarding your accounts, investments, services, and our relationship with you;
- Develop and provide products or services that may be relevant to your relationship with Fortress;
- Maintain required books and records;
- Conduct compliance, supervisory, risk-management, fraud-prevention, and security activities;
- Respond to regulatory inquiries, examinations, subpoenas, court orders, or other lawful requests; and
- Satisfy other legal, regulatory, contractual, or operational requirements.

We may communicate with you by mail, telephone, email, electronic communications, or other methods you have authorized or that are otherwise permitted by law.

INFORMATION WE SHARE

Fortress does not sell your nonpublic personal information.

We do not disclose nonpublic personal information about you to nonaffiliated third parties except as permitted or required by law.

To provide and service your financial products and accounts. We may share information with custodians, clearing firms, financial institutions, investment companies, insurance companies, product sponsors, retirement plan providers, technology providers, recordkeeping providers, mailing or communication providers, and other service providers when reasonably necessary to provide, process, administer, maintain, or service your accounts or financial products.

To service providers acting on our behalf. We may provide information to companies that perform services for Fortress or on our behalf. We require service providers to handle information in a manner consistent with applicable confidentiality, privacy, and information-security requirements.

With your consent or at your direction. We may disclose information to a third party when you request, direct, authorize, or consent to the disclosure.

For legal, regulatory, supervisory, or protective purposes. We may disclose information when required or permitted by law, including to governmental agencies, regulators, law-enforcement authorities, courts, auditors, attorneys, or other parties when necessary to comply with legal or regulatory obligations; respond to subpoenas, court orders, or regulatory requests; prevent fraud or unauthorized activity; protect our rights or property; or protect the rights, property, or safety of our customers or others.

We may also disclose information in connection with other circumstances expressly permitted under applicable federal or state privacy laws.

No Federal Privacy Opt-Out Required

Because Fortress limits its sharing of nonpublic personal information to disclosures permitted by law, there is no separate federal privacy right to opt out of the information-sharing practices described in this Notice.

CURRENT AND FORMER CUSTOMERS

Our privacy and information-security practices apply to nonpublic personal information concerning both current and former customers. If your relationship with Fortress ends, we will continue to treat information concerning you in accordance with this Privacy Notice and applicable law.

HOW WE PROTECT YOUR INFORMATION

Fortress maintains administrative, technical, physical, and procedural safeguards designed to protect customer information from unauthorized access, use, disclosure, alteration, or destruction.

Our safeguards are designed to:

- Protect the security and confidentiality of customer information;
- Protect against reasonably anticipated threats or hazards to the security or integrity of customer information; and
- Protect against unauthorized access to or use of customer information that could result in substantial harm or inconvenience.

Access to customer information is limited to personnel and service providers who have a legitimate business need for the information in connection with their duties or the services they provide. We also maintain policies and procedures designed to address the appropriate disposal of customer information and consumer information.

SERVICE PROVIDERS AND INFORMATION SECURITY

Fortress uses third-party service providers in connection with certain aspects of our business operations and the services we provide to customers.

We maintain policies and procedures designed to provide appropriate oversight of service providers that have access to customer information, including due diligence and monitoring designed to address information-security risks and unauthorized access to or use of customer information.

The use of a service provider does not relieve Fortress of its obligations under applicable privacy and information-security laws.

SECURITY INCIDENTS

Fortress maintains an incident-response program designed to detect, respond to, and recover from unauthorized access to or use of customer information.

If Fortress becomes aware of an incident involving unauthorized access to or use of customer information, we will evaluate the nature and scope of the incident, take appropriate steps to contain and control the incident, and take other actions required by applicable law.

When required by law, Fortress will provide notice to affected individuals whose sensitive customer information was, or is reasonably likely to have been, accessed or used without authorization.

ELECTRONIC COMMUNICATIONS AND WEBSITE INFORMATION

When you use our website or electronic services, we may collect information relating to your use of those services. This may include information collected through cookies, log files, device information, or similar technologies.

Cookies and similar technologies may be used to maintain website functionality, secure user sessions, understand website usage, evaluate traffic patterns, and improve our electronic services. You may be able to adjust your browser settings to limit or disable cookies. Certain website features or services may not function properly if cookies are disabled.

Information collected through our website or electronic services is handled in accordance with this Privacy Notice and applicable law.

ACCURACY OF YOUR INFORMATION

We seek to maintain accurate and current customer information. Please contact us if you believe information we maintain about you is inaccurate or if your contact information changes. Certain information may also be updated through your account portal, custodian, or other service provider, where applicable.

CHANGES TO THIS PRIVACY NOTICE

Fortress may revise this Privacy Notice from time to time to reflect changes in our privacy practices, legal or regulatory requirements, technology, or business operations.

If our information-sharing practices change in a manner that requires a revised privacy notice under applicable law, we will provide the revised notice in the manner required by law before engaging in the new information-sharing practice. Our current Privacy Notice may also be made available on our website.

DELIVERY OF PRIVACY NOTICES

We provide privacy notices in accordance with applicable law. Notices may be delivered in writing or electronically where electronic delivery is permitted and the customer has agreed to receive notices electronically.

Fortress may not be required to provide an annual privacy notice if our information-sharing practices remain within the exceptions permitted under applicable law and our privacy policies and practices have not changed in a manner requiring a revised notice.

A current copy of this Privacy Notice is available from Fortress upon request.

QUESTIONS

Fortress Private Ledger, LLC

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