

Statement on Sharing of Customer Information

Explanatory Statement

As part of one of the world's largest financial institutions, we are committed to maintaining high standards of confidentiality, and take our responsibility to protect your information very seriously.

The following sets out the purposes in which we may collect, process, use, and/or disclose your information during or after the termination of your relationship with us.

For further details on how we handle your personal data, you may refer to our data protection notice available at <https://www.mizuhogroup.com/asia-pacific/securities/hk> (as may be amended from time to time).

If you have any queries relating to any aspect in which your information is being collected, processed, used and/or disclosed, please feel free to contact your usual business contact.

Definitions

For the purposes of this document:

- **"Information"** includes any information or particulars, which may include non-public information and confidential information, that we have collected or may in the future collect from you, information about you, your transactions, your financial information, your personal information and information about you received from third parties.
- **"Mizuho Financial Group"** includes Mizuho Financial Group, Inc., Mizuho Bank Ltd. (the **"MHBK"**), Mizuho Securities (Singapore) Pte. Ltd. (**"MHSS"**), and their respective head office, branches, representative offices, subsidiaries, affiliates and related companies.

Purposes

We may collect, process, use, and/or disclose the Information during or after the termination of your relationship with MHSS for any of the following purposes:

- (a) preparation and processing of trading agreements or other relationship agreements or arrangements with MHSS or members of the Mizuho Financial Group, including for the joint provision of services;
- (b) conducting the daily operation of the accounts, services, transactions, products and/or other financial services and facilities requested by, provided to or to be provided to you;
- (c) managing our infrastructure, business operations and complying with internal policies and procedures;
- (d) the collation, synthesis, processing and management of Information, storing of Information, and conduct of data evaluation and analytics (such as transactional and statistical analysis, including behavioral analysis and profiling and corporate risk analysis) relating to any accounts or transactions, during the operation of or after the closure of your accounts or termination of your

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relationship with MHSS to develop and improve the products and services provided by, or transaction documentation used or drafted by, MHSS or any member of the Mizuho Financial Group, for the purpose of evaluating global pricing, or proposing solutions to cross-border deals;

- (e) activities of the control, administration, audit, finance, compliance and support units of MHSS or Mizuho Financial Group members, including risk management, and overall relationship management with you. Risk management functions include those required by law or regulation or by our internal compliance requirements (such as monitoring credit exposure, credit checks, audit, sanctions and anti-money laundering / countering the financing of terrorism compliance processes, regulatory screening, reporting and monitoring and trade surveillance review and monitoring);
- (f) assisting any actual or proposed assignee, transferee, participant or sub-participant of any of our rights or obligations (or of our participations or interests in any credit) to conduct due diligence or verification on you and any related third parties (including its guarantor(s), security provider(s) or obligor(s)), and/or any individuals connected to such parties;
- (g) facilitating any proposed or actual restructuring, merger, acquisition or business asset transaction involving MHSS or any member of the Mizuho Financial Group;
- (h) compliance with, or directly or indirectly facilitating compliance with, legal, regulatory and tax requirements in any jurisdiction (including, but not limited to, requirements or requests originating from the US Commodity Futures Trading Commission, the US Securities and Exchange Commission, the European Securities and Markets Authority, national regulators in the EU, and other regulatory authorities and regulatory organizations);
- (i) compliance with, or directly or indirectly facilitating compliance with, the requirements in any jurisdiction of any exchange, trading facility, trading system, organised market, clearing house, settlement system, exchange or other service provider to facilitate clearing and settlement;
- (j) handling complaints and managing legal matters and litigation;
- (k) monitoring products and services provided by or made available through MHSS or any member of the Mizuho Financial Group;
- (l) market research and marketing or cross-selling of products and/or services of and provided by MHSS or other Mizuho Financial Group members;
- (m) providing you with information, materials and/or documents for marketing, business development, advertising and/or promotional purposes in connection with the services MHSS or the Mizuho Financial Group may provide. We may use your Information to recommend you products or services, including special offers, promotions, contests or entitlements that may be of interest to you. Such marketing messages may be sent to you in various modes including but not limited to electronic mail, direct mailers, short message service, telephone calls, facsimile and other mobile messaging services; and
- (n) where the interests of MHSS or any member of the Mizuho Financial Group require disclosure (including, but not limited to, any court or tribunal, government, quasi-governmental, supervisory and/or regulatory bodies).