SUPPLEMENT TO

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER: MIZUHO SECURITIES USA LLC **as of:** 01/31/2019

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

1.	Net ledger balance				
	A. Cash			$ 1,052,203,399	7010
	B. Securities (at market)			770,578,110	7020
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market			1,550,981,084	7030
3.	Exchange traded options				
	A. Add market value of open option contracts purchased on a contract market			562,163,169	7032
	B. Deduct market value of open option contracts granted (sold) on a contract market			(513,877,698)	7033
4.	Net equity (deficit) (add lines 1, 2 and 3)			3,422,048,064	7040
5.	Accounts liquidating to a deficit and accounts with debit balances				
	- gross amount	24,715	7045		
	Less: amount offset by customer securities	(24,715)	7047	0	7050
6.	Amount required to be segregated (add lines 4 and 5)			$ 3,422,048,064	7060

FUNDS IN SEGREGATED ACCOUNTS

7.	Deposited in segregated funds bank accounts		
	A. Cash	558,626,832	7070
	B. Securities representing investments of customers' funds (at market)	100,004,000	7080
	C. Securities held for particular customers or option customers in lieu of cash (at market)	92,071,856	7090
8.	Margins on deposit with derivatives clearing organizations of contract markets		
	A. Cash	211,847,478	7100
	B. Securities representing investments of customers' funds (at market)	1,882,031,250	7110
	C. Securities held for particular customers or option customers in lieu of cash (at market)	678,506,254	7120
9.	Net settlement from (to) derivatives clearing organizations of contract markets	49,314,913	7130
10.	Exchange traded options		
	A. Value of open long option contracts	562,163,169	7132
	B. Value of open short option contracts	(513,877,698)	7133
11.	Net equities with other FCMs		
	A. Net liquidating equity	3,026,510	7140
	B. Securities representing investments of customers' funds (at market)	0	7160
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	7170
12.	Segregated funds on hand (describe:)	0	7150
13.	Total amount in segregation (add lines 7 through 12)	3,623,714,564	7180
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	$ 201,666,500	7190
15.	Management Target Amount for Excess funds in segregation	125,000,000	7194
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	76,666,500	7198

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BROKER OR DEALER: MIZUHO SECURITIES USA LLC **as of:** 01/31/2019

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

	Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder			$ 0	7305
1.	Net ledger balance - Foreign Futures and Foreign Options Trading - All Customers				
	A. Cash			$ 524,811,556	7315
	B. Securities (at market)			$ 2,451,425	7317
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade			$ 101,358,376	7325
3.	Exchange traded options				
	A. Market value of open option contracts purchased on a foreign board of trade			$ 20,281,709	7335
	B. Market value of open option contracts granted (sold) on a foreign board of trade			$(25,003,431)	7337
4.	Net equity (deficit) (add lines 1, 2, and 3)			$ 623,899,635	7345
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	$ 0	7351		
	Less: amount offset by customer owned securities	$ 0	7352	$ 0	7354
6.	Amount to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)			$ 623,899,635	7355
7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.			$ 623,899,635	7360

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BROKER OR DEALER:	MIZUHO SECURITIES USA LLC	**as of:**	01/31/2019

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks					
A. Banks located in the United States		$ 1,064,905	7500		
B. Other banks qualified under Regulation 30.7					
Name(s): See Attached	7510	478,068,838	7520	$ 479,133,743	7530
2. Securities					
A. In safekeeping with banks located in the United States		$ 128,822,980	7540		
B. In safekeeping with other banks qualified under Regulation 30.7					
Name(s): See Attached	7550	0	7560	128,822,980	7570
3. Equities with registered futures commission merchants					
A. Cash		$ 14,716,319	7580		
B. Securities		0	7590		
C. Unrealized gain (loss) on open futures contracts		3,608,262	7600		
D. Value of long option contracts		0	7610		
E. Value of short option contracts		0	7615	18,324,581	7620
4. Amounts held by clearing organizations of foreign boards of trade					
Name(s): See Attached	7630				
A. Cash		$ 23,466,459	7640		
B. Securities		0	7650		
C. Amount due to (from) clearing organization - daily variation		60,484,132	7660		
D. Value of long option contracts		0	7670		
E. Value of short option contracts		(890,460)	7675	83,060,131	7680
5. Amounts held by members of foreign boards of trade					
Name(s): See Attached	7690				
A. Cash		$ 43,054,017	7700		
B. Securities		0	7710		
C. Unrealized gain (loss) on open futures contracts		402,339	7720		
D. Value of long option contracts		0	7730		
E. Value of short option contracts		(3,831,263)	7735	39,625,093	7740
6. Amounts with other depositories designated by a foreign board of trade					
Name(s): _	7750			0	7760
7. Segregated funds on hand (describe): _				0	7765
8. Total funds in separate section 30.7 accounts				$ 748,966,528	7770
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)				125,066,893	7380
10. Management Target Amount for Excess funds in separate section 30.7 accounts				70,000,000	7780
11. Excess (deficiency) funds in separate section 30.7 accounts over (under) Management Target Amount				55,066,893	7785

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BROKER OR DEALER: MIZUHO SECURITIES USA LLC **as of:** 01/31/2019

STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1.	Net ledger balance				
	A. Cash			$ 1,522,385	8500
	B. Securities (at market)			0	8510
2.	Net unrealized profit (loss) in open cleared swaps			(454,000)	8520
3.	Cleared swaps options				
	A. Market value of open cleared swaps option contracts purchased			0	8530
	B. Market value of open cleared swaps granted (sold)			0	8540
4.	Net equity (deficit) (add lines 1, 2 and 3)			1,068,385	8550
5.	Accounts liquidating to a deficit and accounts with debit balances				
	- gross amount	$ 0	8560		
	Less: amount offset by customer securities	0	8570	0	8580
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)			1,068,385	8590

Funds in Cleared Swaps Customer Segregated Accounts

7.	Deposited in cleared swaps customer segregated accounts at banks		
	A. Cash	$ 6,099,522	8600
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8610
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8620
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segreated accounts		
	A. Cash	23,543,535	8630
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8640
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8650
9.	Net settlement from (to) derivatives clearing organizations	(70,250)	8660
10.	Cleared swaps options		
	A. Value of open cleared swaps long option contracts	0	8670
	B. Value of open cleared swaps short option contracts	0	8680
11.	Net equities with other FCMs		
	A. Net liquidating equity	0	8690
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8700
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8710
12.	Cleared swaps customer funds on hand (describe:)	0	8715
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	29,572,807	8720
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	$ 28,504,422	8730
15.	Management target Amount for Excess funds in cleared swaps segregated accounts	$ 15,000,000	8760
16.	Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess	$ 13,504,422	8770