

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER:

MIZUHO SECURITIES USA LLC

as of: 08/31/2025

**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES**

SEGREGATION REQUIREMENTS

1. Net ledger balance			
A. Cash		\$ 3,941,649,168	7010
B. Securities (at market)		3,134,078,388	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		431,930,050	7030
3. Exchange traded options			
A. Add: Market value of open option contracts purchased on a contract market		1,462,427,771	7032
B. Deduct Market value of open option contracts granted (sold) on a contract market		(1,159,564,231)	7033
4. Net equity (deficit) (total of Lines 1, 2 and 3)		7,810,521,146	7040
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	34,697,601	7045	
Less: amount offset by customer owned securities	(25,368,052)	7047	9,329,549 7050
6. Amount required to be segregated (add Lines 4 and 5)		\$ 7,819,850,695	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		2,685,936,597	7070
B. Securities representing investments of customers' funds (at market)		0	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)		122,759,179	7090
8. Margin on deposit with derivatives clearing organizations of contract markets			
A. Cash		1,469,545,869	7100
B. Securities representing investments of customers' funds (at market)		279,552,000	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)		3,011,319,209	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets		284,312,761	7130
10. Exchange traded options			
A. Value of open long option contracts		1,462,427,771	7132
B. Value of open short option contracts		(1,159,564,231)	7133
11. Net equities with other FCMs			
A. Net liquidating equity		1	7140
B. Securities representing investments of customers' funds (at market)		0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	7170
12. Segregated funds on hand (describe:)		0	7150
13. Total amount in segregation (add Lines 7 through 12)		8,156,289,156	7180
14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)		\$ 336,438,461	7190
15. Management target amount for excess funds in segregation		150,000,000	7194
16. Excess (deficiency) funds in segregation over (under) management target amount excess		186,438,461	7198

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**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance			
A. Cash		\$ 0	8500
B. Securities (at market)		0	8510
2. Net unrealized profit (loss) in open cleared swaps		0	8520
3. Cleared swaps options			
A. Market value of open cleared swaps option contracts purchased		0	8530
B. Market value of open cleared swaps option contracts granted (sold)		0	8540
4. Net equity (deficit) (add lines 1, 2 and 3)		0	8550
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount	\$ 0	8560	
Less: amount offset by customer owned securities	0	8570	0 8580
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)			0 8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks			
A. Cash		\$ 4,981,922	8600
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
A. Cash		17,918,295	8630
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8650
9. Net settlement from (to) derivatives clearing organizations			0 8660
10. Cleared swaps options			
A. Value of open cleared swaps long option contracts		0	8670
B. Value of open cleared swaps short option contracts		0	8680
11. Net equities with other FCMs			
A. Net liquidating equity		0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8710
12. Cleared swaps customer funds on hand (describe:)			0 8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)		22,900,217	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		\$ 22,900,217	8730
15. Management target Amount for Excess funds in cleared swaps segregated accounts		\$ 10,000,000	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management target excess		\$ 12,900,217	8770

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**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS**

1.	Amount required to be segregated in accordance with 17 CFR 32.6			\$ 0	7200
2.	Funds/property in segregated accounts				
	A. Cash		\$ 0	7210	
	B. Securities (at market value)		0	7220	
	C. Total funds/property in segregated accounts			0	7230
3.	Excess (deficiency) funds in segregation (subtract Line 2C from Line 1)			\$ 0	7240

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

	Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder			\$ 0	7305
1.	Net ledger balance - Foreign futures and foreign options trading - All customers				
	A. Cash			\$ 1,178,761,105	7315
	B. Securities (at market)			\$ 66,276,325	7317
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade			\$ 267,020,140	7325
3.	Exchange traded options				
	A. Market value of open option contracts purchased on a foreign board of trade			\$ 38,616,331	7335
	B. Market value of open option contracts granted (sold) on a foreign board of trade			\$(43,360,437)	7337
4.	Net equity (deficit) (add Lines 1, 2, and 3)			\$ 1,507,313,464	7345
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount		\$ 2,602,730	7351	
	Less: Amount offset by customer owned securities		\$(2,602,713)	7352	
				\$ 17	7354
6.	Amount required to be set aside as the secured amount - Net liquidating equity method (add Lines 4 and 5)			\$ 1,507,313,481	7355
7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or Line 6.			\$ 1,507,313,481	7360

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

1. Cash in banks					
A. Banks located in the United States		\$ 31,848,642	7500		
B. Other banks qualified under 17 CFR. 30.7					
Name(s): <u>See Attached</u>	7510	563,142,158	7520	\$ 594,990,800	7530
2. Securities					
A. In safekeeping with banks located in the United States		\$ 115,491,325	7540		
B. In safekeeping with other banks designated by 17 CFR. 30.7					
Name(s): <u>See Attached</u>	7550	0	7560	115,491,325	7570
3. Equities with registered futures commission merchants					
A. Cash		\$ 92,889,048	7580		
B. Securities		0	7590		
C. Unrealized gain (loss) on open futures contracts		19,411	7600		
D. Value of long option contracts		0	7610		
E. Value of short option contracts		0	7615	92,908,459	7620
4. Amounts held by clearing organizations of foreign boards of trade					
Name(s): <u>See Attached</u>	7630				
A. Cash		\$ 603,567,653	7640		
B. Securities		0	7650		
C. Amount due to (from) clearing organization - daily variation		42,763,739	7660		
D. Value of long option contracts		0	7670		
E. Value of short option contracts		(2,520,410)	7675	643,810,982	7680
5. Amounts held by members of foreign boards of trade					
Name(s): <u>See Attached</u>	7690				
A. Cash		\$ 130,130,971	7700		
B. Securities		0	7710		
C. Unrealized gain (loss) on open futures contracts		64,946,956	7720		
D. Value of long option contracts		0	7730		
E. Value of short option contracts		(2,223,696)	7735	192,854,231	7740
6. Amounts with other depositories designated by a foreign board of trade					
Name(s): _	7750			0	7760
7. Segregated funds on hand (describe): _				0	7765
8. Total funds in separate 17 CFR 30.7 accounts				\$ 1,640,055,797	7770
9. Excess (deficiency) set aside funds for secured amount (Line Item 7770 minus Line Item 7360)				132,742,316	7380
10. Management target amount for excess funds in separate 17 CFR 30. 7 accounts				70,000,000	7780
11. Excess (deficiency) funds in separate 17 CFR 30. 7 accounts over (under) management target excess				62,742,316	7785