**SINGLE CASE LITIGATION FUNDING APPLICATION FORM**

Completing and signing this application form does not bind You or LionFish Group Ltd (“LionFish Capital”) to any financing transaction in respect of funding the litigation case set out below.

You must provide us with a fair presentation of the litigation case you are seeking funding for, disclosing every material circumstance which you know or ought to know. For the avoidance of doubt:

* a ‘material circumstance’ is one which would influence the judgment of any prudent or reasonable funder in deciding whether to advance funds and on what terms; and
* ‘You’ means yourself, your senior management, and other third-party professionals you have engaged to assist in procuring funding (including brokers and solicitors)

You must ensure that every material representation as to a matter of fact is substantially correct and that every material representation as to a matter of expectation or belief is made in good faith.

Your obligations are extensive and demanding. Failure to comply with them will affect your ability to procure any funding. If you are in any doubt about your obligations, you should seek advice from your broker or solicitor or speak to us.

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| **CASE NAME** |  |

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| **1. PROPOSER DETAILS** | |
| **Proposer’s Name** |  |
| **Address** |  |
| **Legal Status** |  |
| **Company No.** |  |
| **Company Sector** |  |
| **Claimant / Defendant** |  |

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| **2. PROPOSER SOLICITOR DETAILS** | |
| **Solicitor Firm** |  |
| **Solicitor Firm Address** |  |
| **Solicitor Name** |  |
| **Solicitor Email** |  |
| **Solicitor Number** |  |
| **Date of Retainer** |  |
| **Firm Case Ref.** |  |
| **Barrister** |  |
| **Requirement for Experts** |  |
| **Appointed Experts** |  |

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| **3. OPPONENT DETAILS** | |
| **Opponent Name(s)** |  |
| **Opponent Solicitors** |  |
| **Opponent Counsel** |  |
| **Opponent Sector** |  |
| **Opponent Insurers** |  |
| **Opponent’s ability to satisfy any judgment obtained.**  (provide supporting documentation, evidence to support this.) |  |
| **Have the Parties been involved in litigation other than the current case?**  (if so, please provide details.) |  |

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| **4. CASE DETAILS - HEADLINES** | |
| **Case Type** |  |
| **Date - Cause of Action** |  |
| **Forum** |  |
| **Jurisdiction** (if disputed, please provide details.) |  |
| **Applicable Law** (if disputed, please provide details.) |  |
| **Prospects of Success - Counsel** |  |
| **Prospects of Success - Solicitor** |  |
| **Full Claim Value** |  |
| **Minimum Acceptable Figure** |  |
| **Key Risk Factors that could diminish prospects or claim value**  (please provide details,  e.g. limitation, contributory negligence, mitigation, set-off etc.) |  |
| **Details of any non- financial remedy** |  |
| **Has a Letter of Claim been sent?** (please provide the date.) |  |
| **Have proceedings been commenced?** (please provide the date.) |  |
| **What stage have proceedings reached?** |  |
| **If proceedings have been commenced, when will the claim become statute-barred for limitation?** |  |
| **Have there been any preliminary decisions?** |  |
| **Are there any preliminary matters to be determined prior to the substantive trial?** |  |
| **Has liability been admitted?** |  |
| **Have any settlement offers been made?** (please provide details.) |  |
| **Has mediation or any other ADR been suggested?** (please provide details.) |  |
| **Has a Defence been filed?** |  |
| **When is the expected or actual trial date or trial window?** |  |
| **Are there any upcoming hearings or deadlines?** |  |
| **Is a counterclaim to be expected?** (please provide details on what basis.) |  |
| **Please provide details of any connected or parallel claims.** |  |

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| **5. AFTER THE EVENT INSURANCE** | |
| **Has ATE Insurance been sought?** |  |
| **What amount of ATE cover has been sought?** |  |
| **Is there an offer for ATE insurance?** (please provide details.) |  |
| **Is ATE cover for adverse costs, own sides costs or both?** |  |
| **Has a broker been instructed to source ATE?** |  |

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| **5. COSTS INFORMATION** | | |
|  | **TO DATE** | **ESTIMATED TO TRIAL** |
| **Own Solicitor Fees** |  |  |
| **Own Disbursements** (excluding Barrister) |  |  |
| **Own Barrister Fees** |  |  |
| **Opponent Costs and Disbursements** |  |  |

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| **6. LITIGATION FUNDING REQUIREMENTS** | | |
|  | **FUNDED** | **FUNDING REQUESTED** |
| **Own Solicitor Fees** |  |  |
| **Own Disbursements** (excluding Barrister) |  |  |
| **Own Barrister Fees** |  |  |
| **TOTAL FUNDING AMOUNT BEING SOUGHT** | |  |

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| **7. SUPPORTING DOCUMENTATION** |

Well-presented funding applications will be processed more quickly and have a greater chance of receiving a funding offer. Please enclose all relevant documentation that you think is necessary. If further information is required, completion of the assessment will be delayed.

The below is a list of some, not necessarily all, of the key documentation that should be provided:

1. Case Summary
2. Pleadings (draft or finalised) / statements of case
3. Costs budget
4. Counsel’s advice
5. Correspondence with the opponent
6. Expert reports
7. Witness statements / depositions
8. Evidence of the opponent’s financial strength
9. Solicitor’s risk assessment
10. ATE insurance terms

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| **8. ADDITIONAL INFORMATION** |

Please use this space to provide any additional information that could help your claim being offered funding terms.

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| **Contact Us** |

Phone: +44 20 8148 9575

Email: [applications@lionfish-capital.com](mailto:applications@lionfish-capital.com)

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| **DECLARATION** |

By signing this declaration:

1. You are confirming the information contained in this form and the accompanying enclosures are true to the best of your knowledge and belief and presents a fair presentation of the case.
2. You are under a continuing duty to disclose all material facts and information that may reasonably influence LionFish Capital’s decision to offer funding.
3. You are confirming that you believe the amount of funding requested in Section 6 is sufficient to pursue the claim to the conclusion of a fully contested trial.
4. You understand that in deciding whether to recommend an offer of litigation funding, LionFish Capital may liaise with its external assessors.
5. You acknowledge that this application may result in a rejection for funding by LionFish Capital.

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| **CLIENT SIGNATURE** | SIGNATURE | DATE |
| **CLIENT NAME (PRINT)** |  | |
| **SOLICITOR SIGNATURE** | SIGNATURE | DATE |
| **SOLICITOR NAME (PRINT)** |  | |

Your completed application form and supporting documentation should be sent to:

## [applications@lionfish-capital.com](mailto:applications@lionfish-capital.com)

All information sent to us will be kept confidential.