FORM NO. MGT-7

Form language

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

(other than OPCs and Small Companies)

20	orporate Identification Number	(CIN) of the company	U6599	3KA1993PTC074590	Pre-fill
G	lobal Location Number (GLN) o	of the company			
Ρ	ermanent Account Number (PA	N) of the company	AAACZ	Z0903G	
(a)	Name of the company		CAPFL	OAT FINANCIAL SERVICE	
(b)	Registered office address				
 	New No 3. (Old 211), Gokaldas Pla Jpper Palace Orchards, Bellary Ro Bangalore Karnataka				
(c)	*e-mail ID of the company		secreta	arial@axio.co.in	
(d)	*Telephone number with STD	code	080230	08023081800	
(e)) Website		www.a	axio.co.in	
)	Date of Incorporation		06/10/	/1993	
)	Type of the Company	Category of the Compar	ny	Sub-category of the C	ompany
Private Company Company limited by sha		y shares	nares Indian Non-Government co		

(vii) *Fin	ancial year Fro	om date 01/04/2021 (DD/MM/YYY	Y) To date	31/03/2022	DD/MM/YYYY)
(viii) *Wh	nether Annual	general meeting (AGM) held	•	Yes 🔘	No	
(a)	If yes, date of	AGM 28/09/2022				
(b)	Due date of A	GM 30/09/2022				
(c) '	Whether any e	extension for AGM granted		Yes	No	
II. PRIN	NCIPAL BU	SINESS ACTIVITIES OF TH	E COMPA	NY		
		siness activities 1				
S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description	of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K5	Financial	and Credit leasing activit	ies 100
(INC	LUDING JO	OF HOLDING, SUBSIDIAR DINT VENTURES) which information is to be given		7		
110. 01 C	ompanies ioi	which information is to be given	1 1	Pre-1	fill All	

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	AXIO DIGITAL PRIVATE LIMITEI	U72900PN2014PTC153050	Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	2,293,861	2,275,434	2,275,434	2,275,434
Total amount of equity shares (in Rupees)	22,938,610	22,754,340	22,754,340	22,754,340

Number of classes

	Authoricad	Icabilai	Subscribed capital	Paid up capital
Number of equity shares	2,293,861	2,275,434	2,275,434	2,275,434

Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	22,938,610	22,754,340	22,754,340	22,754,340

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	9,483,423	6,862,761	6,862,761	6,862,761
Total amount of preference shares (in rupees)	886,749,750	648,563,028	648,563,028	648,563,028

Number of classes

Class of shares Series A CCPS	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	315,695	57,396	57,396	57,396
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	3,156,950	573,960	573,960	573,960
Class of shares Series A1 CCPS	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	40,000	38,096	38,096	38,096
Nominal value per share (in rupees)	13	13	13	13
Total amount of preference shares (in rupees)	520,000	495,248	495,248	495,248
Class of shares Series B CCPS	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	330,000	324,812	324,812	324,812
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	3,300,000	3,248,120	3,248,120	3,248,120
Class of shares Series C CCPS	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	1,130,000	1,123,518	1,123,518	1,123,518
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	113,000,000	112,351,800	112,351,800	112,351,800

Class of shares	Authorised	Issued capital	Subscribed	D.:11
Series D CCPS	capital	Сарітаі	capital	Paid up capital
Number of preference shares	880,000	873,162	873,162	873,162
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	88,000,000	87,316,200	87,316,200	87,316,200
Class of shares	Authorised	Issued	Subscribed	
Series E CCPS	capital	capital	capital	Paid up capital
Number of preference shares	1,700,000	1,691,491	1,691,491	1,691,491
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	170,000,000	169,149,100	169,149,100	169,149,100
Class of shares	Authorised	Issued capital	Subscribed	Daid up a wital
Series E1 CCPS	capital	Сарнаі	capital	Paid up capital
Number of preference shares	264,000	263,208	263,208	263,208
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	26,400,000	26,320,800	26,320,800	26,320,800
Class of shares	Authorised	Issued capital	Subscribed	D.: 1
Series E2 CCPS	capital	Сарітаі	capital	Paid up capital
Number of preference shares	274,728	274,445	274,445	274,445
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	27,472,800	27,444,500	27,444,500	27,444,500
Class of shares	Authorised	Issued capital	Subscribed	Doid up conital
Series F1 CCPS	capital	Capital	capital	Paid up capital
Number of preference shares	109,000	107,835	107,835	107,835
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	10,900,000	10,783,500	10,783,500	10,783,500
Class of shares	Authorised	Issued capital	Subscribed	Doid up conital
Series F2 and F3 CCPS	capital	Саріш	capital	Paid up capital
Number of preference shares	4,440,000	2,108,798	2,108,798	2,108,798
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	444,000,000	210,879,800	210,879,800	210,879,800

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Nı	Number of shares			Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	1,665,376	0	1665376	16,653,760	16,653,760	
Increase during the year	610,058	0	610058	6,100,580	6,100,580	78,312,608.4
i. Pubic Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	10	0	10	100	100	16,798.4
v. ESOPs	610,048	0	610048	6,100,480	6,100,480	78,295,810
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify				0	0	
NA					_	
Decrease during the year	0	0	0	0	0	О
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
NA						
At the end of the year	2,275,434	0	2275434	22,754,340	22,754,340	
Preference shares						

At the beginning of the year	4,646,128	0	4646128	426,899,728	426,899,72	
ncrease during the year	2,216,633	0	2216633	221,663,300	221,663,30	3,324,319,3
. Issues of shares	2,216,633	0	2216633	221,663,300	221,663,30	3,324,319,3
i. Re-issue of forfeited shares	0	0	0	0	0	0
ii. Others, specify						
Decrease during the year	0	0	0	0	0	0
. Redemption of shares	0	0	0	0	0	0
i. Shares forfeited	0	0	0	0	0	0
ii. Reduction of share capital	0	0	0	0	0	0
v. Others, specify						
At the end of the year	6,862,761	0	6862761	648,563,028	648,563,02	
SIN of the equity shares of the company	,		-			
(ii) Details of stock split/consolidation de	uring the year (for each	ch class of	shares)	0		
Class of shares	(i)		(ii)		(ii	i)

Class of shares		(i)	(ii)	(iii)
Before split /	Number of shares			
Consolidation	Face value per share			
After split /	Number of shares			
Consolidation	Face value per share			

(iii) Details of share of the first return at						•	ear (or in the case
⊠ Nil							
[Details being provi	ded in a CD/Digita	l Media]	0	Yes	O N	No 🔘	Not Applicable
Separate sheet attached for details of transfers					○ N	No	
Note: In case list of transf Media may be shown.	er exceeds 10, opt	tion for submiss	sion as a separa	ate sheet	t attachm	nent or subr	mission in a CD/Digital
Date of the previous	annual general n	neeting					
Date of registration o	f transfer (Date N	Month Year)					
Type of transfe		1 - Equ	uity, 2- Prefere	ence Sh	ares,3 -	- Debentur	es, 4 - Stock
Number of Shares/ D Units Transferred	Debentures/	•	Amount		are/ :(in Rs.)		
Ledger Folio of Trans	sferor						
Transferor's Name							
	Surname	;	middle	name			first name
Ledger Folio of Trans	sferee						
Transferee's Name							
	Surname	:	middle	name			first name
Date of registration c	f transfer (Date N	Month Year)					
Type of transfe		1 - Equ	uity, 2- Prefere	ence Sh	ares,3 -	- Debentur	es, 4 - Stock
Number of Shares/ D Units Transferred	Debentures/		Amount		are/ :(in Rs.)		

Ledger Folio of Trans	sferor		
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Trans	sferee		
Transferee's Name			
	Surname	middle name	first name

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	2,752	2500000,100000, 1000000	2,968,500,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			2,968,500,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	_	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	2,687,500,000	1,923,500,000	1,642,500,000	2,968,500,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

1,041,750,000

(ii) Net worth of the Company

7,624,720,000

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equ	ity	Preference		
		Number of shares	Percentage	Number of shares	Percentage	
1.	Individual/Hindu Undivided Family					
	(i) Indian	1,380,014	60.65	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	0	
2.	Government					
	(i) Central Government	0	0	0	0	
	(ii) State Government	0	0	0	0	
	(iii) Government companies	0	0	0	0	
3.	Insurance companies	0	0	0	0	
4.	Banks	0	0	0	0	
5.	Financial institutions	0	0	0	0	
6.	Foreign institutional investors	0	0	0	0	
7.	Mutual funds	0	0	0	0	
8.	Venture capital	0	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	0	
10.	Others	0	0	0	0	
	Tota	1,380,014	60.65	0	0	

Total number of shareholders (promoters	Total	number	of shareholders	(promoters)
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2			

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage

1.	Individual/Hindu Undivided Family				
	(i) Indian	116,224	5.11	230,824	3.36
	(ii) Non-resident Indian (NRI)	0	0	17,309	0.25
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	82,356	3.62	6,614,628	96.38
10.	Others ESOP Trust	696,840	30.62	0	0
	Tota	895,420	39.35	6,862,761	99.99

Total number of shareholders (other than promoters)	43
Total number of shareholders (Promoters+Public/ Other than promoters)	45

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS (Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year		
Promoters	2	2		
Members (other than promoters)	39	43		
Debenture holders	178	253		

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year			ectors at the end e year	Percentage of shares held by directors as at the end of year		
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive	
A. Promoter	2	0	2	0	15.1	0	
B. Non-Promoter	0	2	0	2	0	0	
(i) Non-Independent	0	0	0	0	0	0	
(ii) Independent	0	2	0	2	0	0	
C. Nominee Directors representing	0	4	0	3	0	0	
(i) Banks & FIs	0	0	0	0	0	0	
(ii) Investing institutions	0	0	0	0	0	0	
(iii) Government	0	0	0	0	0	0	
(iv) Small share holders	0	0	0	0	0	0	
(v) Others	0	4	0	3	0	0	
Total	2	6	2	5	15.1	0	

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

9

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
GAURAV DINESH HINI	01264801	Director	690,007	
SASHANK RAMASUBB	06466985	Director	690,007	
RAVI CHANDRA ADUS	00253613	Nominee director	0	
RAVI SHANKAR VENK	02604007	Nominee director	0	
MOHAMED SALIM ASA	08880222	Nominee director	0	
VENKATARAMAN MUF	02820596	Director	0	
INA MALHOTRA	08741492	Director	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
AKSHAY JAMMALAMA	BFIPS1908F	CFO	1,982	
HARIYAPUR PARAMES	AELPI3015A	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

5

Name		beginning / during	ichange in decignation/	Nature of change (Appointment/ Change in designation/ Cessation)
MANORANJAN BISWA	AUBPB3781G	Company Secretar	31/05/2021	Cessation
AKSHAY JAMMALAMA	BFIPS1908F	CFO	23/06/2021	Appointment
HARIYAPUR PARAMES	AELPI3015A	Company Secretar	23/06/2021	Appointment
MOHAMED SALIM ASA	08880222	Nominee director	21/10/2021	Reappointment
PATRICK THOMAS FIS	03549431	Nominee director	31/10/2021	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS / REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

4

Type of meeting	Date of meeting	Total Number of Members entitled to	Attendance		
	attend meeting		Number of members attended	% of total shareholding	
Annual General Meeting	30/09/2021	41	4	31.43	
Extra-Ordinary General mee	12/04/2021	41	3	23.79	
Extra-Ordinary General mee	06/09/2021	41	3	23.79	
Extra-Ordinary General mee	04/10/2021	41	3	23.79	

B. BOARD MEETINGS

*Number of meetings held

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting			
			Number of directors attended	% of attendance	
1	10/04/2021	8	3	37.5	

S. No.	Date of meeting	Total Number of directors associated as on the date			
		of meeting	Number of directors attended	% of attendance	
2	23/06/2021	8	3	37.5	
3	29/06/2021	8	7	87.5	
4	03/09/2021	8	3	37.5	
5	13/09/2021	8	3	37.5	
6	20/09/2021	8	3	37.5	
7	29/09/2021	8	3	37.5	
8	21/10/2021	8	3	37.5	
9	12/01/2022	7	3	42.86	
10	02/02/2022	7	6	85.71	
11	28/03/2022	7	5	71.43	

C. COMMITTEE MEETINGS

4

5

6

7

Number of meetings held

ACM

NRCM

RMC

RMC

25/01/2022

29/10/2021

02/06/2021

25/01/2022

3

4

3

3

S. No.	Type of meeting	Date of meeting	Total Number of Members as	Il Number embers as			
				Number of members attended	% of attendance		
1	ACM	29/06/2021	3	3	100		
2	ACM	25/08/2021	3	3	100		
3	ACM	13/09/2021	3	3	100		

3

4

3

3

Attendance

100

100

100

100

D. *ATTENDANCE OF DIRECTORS

		Board Meetings			Committee Meetings			Whether attended AGM
S. No.	Name of the director	Number of Meetings which director was	Number of Meetings	% of attendance	Number of Meetings which director was Number of Meetings Wof		% of attendance	held on
		entitled to attend	attended		entitled to attend	attended		28/09/2022 (Y/N/NA)
1	GAURAV DIN	11	11	100	2	2	100	Yes
2	SASHANK RA	11	11	100	5	5	100	Yes
3	RAVI CHANDI	11	1	9.09	0	0	0	No
4	RAVI SHANKA	11	8	72.73	0	0	0	No
5	MOHAMED S	11	4	36.36	1	1	100	No
6	VENKATARAI	11	3	27.27	7	7	100	No
7	INA MALHOTI	11	3	27.27	7	7	100	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

	N	Į	i	I

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

	0						
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S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

	S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
	1	AKSHAY JAMMALA	CFO	17,383,332	0	0	0	17,383,332
	2	HARIYAPUR PARA	Company Secre	1,103,496	0	0	0	1,103,496
	3	MANORANJAN BIS	Company Secre	334,283	0	0	0	334,283
		Total		18,821,111	0	0	0	18,821,111

Number of other directors whose remuneration details to be entered

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	VENKATARAMAN I	Independent Dir	0	0	0	1,100,000	1,100,000

S. No.	Name	Designation	Gross Salar	y Commission	Stock Option/ Sweat equity	Others	Total Amount	
2	INA MALHOTRA	Independent Dir	0	0	0	1,000,000	1,000,000	
3	GAURAV DINESH	Director	13,958,328	8 0	0	0	13,958,328	
4	SASHANK RAMAS	Director	13,958,328	8 0	0	0	13,958,328	
	Total		27,916,656	6 0	0	2,100,000	30,016,656	
* A. Whether the company has made compliances and disclosures in respect of applicable Yes No B. If No, give reasons/observations *XII. PENALTY AND PUNISHMENT - DETAILS THEREOF (A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil Name of the company/ directors/ Name of the court/ concerned company/ directors/ Date of Order Name of the Act and section under which penalised / punished Details of appeal (if any) including present status								
(B) DETA	AILS OF COMPOUND		S Nil					
Name of company officers		the court/ d Date c	S	lame of the Act and ection under which ffence committed	Particulars of offence	Amount of comp Rupees)	oounding (in	
XIV. CO	ether complete list of • Yes	SECTION (2) OF S a company having	ECTION 92, IN paid up share c	I CASE OF LISTED	COMPANIES		e rupees or	
Name	e	Vempati Ven	katesh					

Associate Fellow

Whether associate or fellow

Certificate of practice number	20548		
I/We certify that: (a) The return states the facts, as they stood or (b) Unless otherwise expressly stated to the co Act during the financial year.			
(c) The company has not, since the date of the the case of a first return since the date of the ir securities of the company. (d) Where the annual return discloses the fact the exceeds two hundred, the excess consists who the Act are not to be included in reckoning the	ncorporation of the company, issued that the number of members, (exce olly of persons who under second p	d any invitation to the public ept in case of a one person co	to subscribe for any ompany), of the company
	Declaration		
I am Authorised by the Board of Directors of th	e company vide resolution no	7G dated	19/04/2022
(DD/MM/YYYY) to sign this form and declare the in respect of the subject matter of this form and			
Whatever is stated in this form and in the subject matter of this form has been			
2. All the required attachments have been	en completely and legibly attached	to this form.	
Note: Attention is also drawn to the provisi punishment for fraud, punishment for false			
To be digitally signed by			
Director			
DIN of the director 0646698	5		
To be digitally signed by			
Company Secretary			
Company secretary in practice			
Membership number 45991	Certificate of practice nu	mber 205	548

1. List of share holders, debenture holders Shareholding Pattern as of 31st March- 20; Attach MGT-8.pdf 2. Approval letter for extension of AGM; Attach List of Debenture holders.pdf Clarification Letter.pdf 3. Copy of MGT-8; **Attach** UDIN.pdf Clarification Letter with regard to ESOP Tru 4. Optional Attachement(s), if any Attach Remove attachment Prescrutiny Submit Check Form Modify

List of attachments

Attachments

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company