

**Form No. MGT-7**

Form language

 English  Hindi**Annual Return (other than OPCs and Small Companies)**

[Pursuant to sub-section (1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]

All fields marked in \* are mandatory

Refer instruction kit for filing the form

**I REGISTRATION AND OTHER DETAILS**

i \*Corporate Identity Number (CIN)

U65993KA1993PTC074590

ii (a) \*Financial year for which the annual return is being filed (From date) (DD/MM/YYYY)

01/04/2024

(b) \*Financial year for which the annual return is being filed (To date) (DD/MM/YYYY)

31/03/2025

(c) \*Type of Annual filing

 Original Revised

(d) SRN of MGT-7 filed earlier for the same financial years

iii

Particulars	As on filing date	As on the financial year end date
Name of the company	CAPFLOAT FINANCIAL SERVICES PRIVATE LIMITED	CAPFLOAT FINANCIAL SERVICES PRIVATE LIMITED
Registered office address	New No 3. (Old 211), Gokaldas Platinum, Upper Palace Orchards, Bellary Road, Sad,ashivnagar,NA,Bangalore,Bangalore,Karnataka,India,560080	New No 3. (Old 211), Gokaldas Platinum, Upper Palace Orchards, Bellary Road, Sad,ashivnagar,NA,Bangalore,Bangalore,Karnataka,India,560080
Latitude details	13.003428	13.003428
Longitude details	77.583796	77.583796

(a) \*Photograph of the registered office of the Company showing external building and name prominently visible

Registered Office\_CapFloat.pdf

(b) \*Permanent Account Number (PAN) of the company

AA\*\*\*\*\*3G

(c) \*e-mail ID of the company

\*\*\*\*\*tarial@axio.co.in

(d) \*Telephone number with STD code

+91\*\*\*\*\*00

(e) Website

www.axio.co.in

iv \*Date of Incorporation (DD/MM/YYYY)

06/10/1993

v (a) \*Class of Company (as on the financial year end date)

(Private company/Public Company/One Person Company)

Private company

(b) \*Category of the Company (as on the financial year end date)

(Company limited by shares/Company limited by guarantee/Unlimited company)

Company limited by shares

(c) \*Sub-category of the Company (as on the financial year end date)

(Indian Non-Government company/Union Government Company/State Government Company/  
Guarantee and association company/Subsidiary of Foreign Company)

Indian Non-Government company

vi \*Whether company is having share capital (as on the financial year end date)

Yes

No

vii (a) Whether shares listed on recognized Stock Exchange(s)

Yes

No

(b) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code

viii Number of Registrar and Transfer Agent

CIN of the Registrar and Transfer Agent	Name of the Registrar and Transfer Agent	Registered office address of the Registrar and Transfer Agents	SEBI registration number of Registrar and Transfer Agent

ix \* (a) Whether Annual General Meeting (AGM) held

Yes

No

(b) If yes, date of AGM (DD/MM/YYYY)

30/09/2025

(c) Due date of AGM (DD/MM/YYYY)

30/09/2025

(d) Whether any extension for AGM granted

Yes

No

(e) If yes, provide the Service Request Number (SRN) of the GNL-1 application form filed for extension

(f) Extended due date of AGM after grant of extension (DD/MM/YYYY)

(g) Specify the reasons for not holding the same

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## II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

i \*Number of business activities

1

S. No.	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance activities	64	Financial service activities, except insurance and pension funding	100

## III PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

i \*No. of Companies for which information is to be given

2

S. No.	CIN /FCRN	Other registration number	Name of the company	Holding/ Subsidiary/Associate/Jo int Venture	% of shares held
1	U72900PN2014PTC153050		AXIO DIGITAL PRIVATE LIMITED	Subsidiary	100
2	U65990KA2022PTC165494		AXIO CAPITAL PRIVATE LIMITED	Subsidiary	100

## IV SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

i SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised Capital	Issued capital	Subscribed capital	Paid Up capital
Total number of equity shares	2293861.00	2275434.00	2275434.00	2275434.00
Total amount of equity shares (in rupees)	22938610.00	22754340.00	22754340.00	22754340.00

Number of classes

1

Class of shares	Authorised Capital	Issued capital	Subscribed Capital	Paid Up capital
EQUITY SHARES				
Number of equity shares	2293861	2275434	2275434	2275434
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees )	22938610.00	22754340.00	22754340	22754340

**(b) Preference share capital**

Particulars	Authorised Capital	Issued capital	Subscribed capital	Paid Up capital
Total number of preference shares	10613423.00	7979861.00	7979861.00	7979861.00
Total amount of preference shares (in rupees)	999749750.00	760273028.00	760273028.00	760273028.00

Number of classes

11

Class of shares	Authorised Capital	Issued capital	Subscribed Capital	Paid Up capital
Series G CCPS				
Number of preference shares	1130000	1117100	1117100	1117100
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees )	113000000.00	111710000.00	111710000	111710000

<b>Class of shares</b>	<b>Authorised Capital</b>	<b>Issued capital</b>	<b>Subscribed Capital</b>	<b>Paid Up capital</b>
Series A CCPS				
Number of preference shares	315695	57396	57396	57396
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees )	3156950.00	573960.00	573960	573960

<b>Class of shares</b>	<b>Authorised Capital</b>	<b>Issued capital</b>	<b>Subscribed Capital</b>	<b>Paid Up capital</b>
Series A1 CCPS				
Number of preference shares	40000	38096	38096	38096
Nominal value per share (in rupees)	13	13	13	13
Total amount of preference shares (in rupees )	520000.00	495248.00	495248	495248

<b>Class of shares</b>	<b>Authorised Capital</b>	<b>Issued capital</b>	<b>Subscribed Capital</b>	<b>Paid Up capital</b>
Series B CCPS				
Number of preference shares	330000	324812	324812	324812
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees )	3300000.00	3248120.00	3248120	3248120

<b>Class of shares</b>	<b>Authorised Capital</b>	<b>Issued capital</b>	<b>Subscribed Capital</b>	<b>Paid Up capital</b>
Series D CCPS				
Number of preference shares	880000	873162	873162	873162
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees )	88000000.00	87316200.00	87316200	87316200

<b>Class of shares</b>	<b>Authorised Capital</b>	<b>Issued capital</b>	<b>Subscribed Capital</b>	<b>Paid Up capital</b>
Series C CCPS				
Number of preference shares	1130000	1123518	1123518	1123518
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees )	113000000.00	112351800.00	112351800	112351800

<b>Class of shares</b>	<b>Authorised Capital</b>	<b>Issued capital</b>	<b>Subscribed Capital</b>	<b>Paid Up capital</b>
Series E CCPS				
Number of preference shares	1700000	1691491	1691491	1691491
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees )	170000000.00	169149100.00	169149100	169149100

<b>Class of shares</b>	<b>Authorised Capital</b>	<b>Issued capital</b>	<b>Subscribed Capital</b>	<b>Paid Up capital</b>
Series E2 CCPS				
Number of preference shares	274728	274445	274445	274445
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees )	27472800.00	27444500.00	27444500	27444500

<b>Class of shares</b>	<b>Authorised Capital</b>	<b>Issued capital</b>	<b>Subscribed Capital</b>	<b>Paid Up capital</b>
Series F1 CCPS				
Number of preference shares	109000	107835	107835	107835
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees )	10900000.00	10783500.00	10783500	10783500

<b>Class of shares</b>	<b>Authorised Capital</b>	<b>Issued capital</b>	<b>Subscribed Capital</b>	<b>Paid Up capital</b>
Series E1 CCPS				
Number of preference shares	264000	263208	263208	263208
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees )	26400000.00	26320800.00	26320800	26320800

Class of shares	Authorised Capital	Issued capital	Subscribed Capital	Paid Up capital
Series F2 and F3 CCPS				
Number of preference shares	4440000	2108798	2108798	2108798
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees )	444000000.00	210879800.00	210879800	210879800

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Particulars	Number of shares			Total Nominal Amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>(i) Equity shares</b>						
<b>At the beginning of the year</b>	2275434	0	2275434.00	22754340	22754340	
<b>Increase during the year</b>	0.00	1505510.00	1505510.00	15055100.00	15055100.00	0.00
i Public Issues	0	0	0.00	0	0	
ii Rights issue	0	0	0.00	0	0	
iii Bonus issue	0	0	0.00	0	0	
iv Private Placement/ Preferential allotment	0	0	0.00	0	0	
v ESOPs	0	0	0.00	0	0	
vi Sweat equity shares allotted	0	0	0.00	0	0	
vii Conversion of Preference share	0	0	0.00	0	0	
viii Conversion of Debentures	0	0	0.00	0	0	
ix GDRs/ADRs	0	0	0.00	0	0	

Particulars	Number of shares			Total Nominal Amount	Total Paid-up amount	Total premium
x Others, specify Converted into Demat		1505510		15055100	15055100	0
<b>Decrease during the year</b>	1505510.00	0.00	1505510.00	15055100.00	15055100.00	0
i Buy-back of shares	0	0	0.00	0	0	
ii Shares forfeited	0	0	0.00	0	0	
iii Reduction of share capital	0	0	0.00	0	0	
iv Others, specify Converted into Demat	1505510	0	1505510.00	15055100	15055100	
<b>At the end of the year</b>	769924.00	1505510.00	2275434.00	22754340.00	22754340.00	
<b>(ii) Preference shares</b>						
<b>At the beginning of the year</b>	6862761	0	6862761.00	648563028	648563028	
<b>Increase during the year</b>	1117100.00	0.00	1117100.00	111710000.00	111710000.00	0.00
i Issues of shares	1117100	0	1117100.00	111710000	111710000	0
ii Re-issue of forfeited shares	0	0	0.00	0	0	
iii Others, specify NA	0	0	0.00	0	0	
<b>Decrease during the year</b>	0.00	0.00	0.00	0.00	0.00	0
i Redemption of shares	0	0	0.00	0	0	
ii Shares forfeited	0	0	0.00	0	0	
iii Reduction of share capital	0	0	0.00	0	0	
iv Others, specify NA	0	0	0.00	0	0	
<b>At the end of the year</b>	7979861.00	0.00	7979861.00	760273028.00	760273028.00	

ISIN of the equity shares of the company

ii Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		<input type="text"/>
Before split / Consolidation	Number of shares	
	Face value per share	
After split / consolidation	Number of shares	
	Face value per share	

iii Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company)

Nil

Number of transfers

**Attachments:**

1. Details of shares/Debentures Transfers

iv Debentures (Outstanding as at the end of financial year)

(a) Non-convertible debentures

\*Number of classes

5

Classes of non-convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
Non-Convertible Secured Debentures	2106	50000	105300000.00
Non-Convertible Secured Debentures	3000	100000	300000000.00
Non-Convertible Secured Debentures	1390	100000	139000000.00
Non-Convertible Secured Debentures	300	1000000	300000000.00
Non-Convertible Unsecured Debentures	24	10000000	240000000.00
<b>Total</b>	<b>6820.00</b>	<b>11700000.00</b>	<b>2032000000.00</b>

Classes of non-convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-Convertible Secured Debentures	2550670000	1370000000	2871253336	1049416664.00
Non-Convertible Secured Debentures	0	500000000	200000000	300000000.00
Non-Convertible Secured Debentures	0	400000000	2610000006	1389999994.00
Non-Convertible Secured Debentures	400000000	0	100000000	300000000.00
Non-Convertible Unsecured Debentures	172500000	270000000	227500000	215000000.00
<b>Total</b>	<b>3123170000.00</b>	<b>2540000000.00</b>	<b>3659753342.00</b>	<b>2003416658.00</b>

**(b) Partly convertible debentures**

\*Number of classes

0

Classes of partly convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
<b>Total</b>			

Classes of partly convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
<b>Total</b>				

**(c) Fully convertible debentures**

\*Number of classes

0

Classes of fully convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
<b>Total</b>			

Classes of fully convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
<b>Total</b>				

**(d) Summary of Indebtedness**

Particulars	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	3123170000.00	2540000000.00	3659753342.00	2003416658.00
Partly convertible debentures	0.00	0.00	0.00	0.00
Fully convertible debentures	0.00	0.00	0.00	0.00
<b>Total</b>	3123170000.00	2540000000.00	3659753342.00	2003416658.00

**v Securities (other than shares and debentures)**

3

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Commercial Papers	500	500000	250000000	469115.5	234557750
Commercial Papers	65	500000	32500000	487707	31700955
Commercial Papers	106	500000	53000000	487968	51724608
<b>Total</b>	671		335500000		317983313

**V Turnover and net worth of the company (as defined in the Companies Act, 2013)**

i \*Turnover

2965989041.86

ii \* Net worth of the Company

6284727801.26

**VI SHARE HOLDING PATTERN****A Promoters**

S. No	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1	Individual/Hindu Undivided Family				
	(i) Indian	1380014	60.65	660	0.01
	(ii) Non-resident Indian (NRI)	0	0.00	0	0.00
	(iii) Foreign national (other than NRI)	0	0.00	0	0.00
2	Government				
	(i) Central Government	0	0.00	0	0.00
	(ii) State Government	0	0.00	0	0.00
	(iii) Government companies	0	0.00	0	0.00
3	Insurance companies	0	0.00	0	0.00
4	Banks	0	0.00	0	0.00
5	Financial institutions	0	0.00	0	0.00
6	Foreign institutional investors	0	0.00	0	0.00
7	Mutual funds	0	0.00	0	0.00
8	Venture capital	0	0.00	0	0.00
9	Body corporate (not mentioned above)	0	0.00	0	0.00

10	Others <input type="text"/>				
	<b>Total</b>	1380014.00	60.65	660.00	0.01

Total number of shareholders (promoters)

**B Public/Other than promoters**

S. No	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1	Individual/Hindu Undivided Family				
	(i) Indian	116224	5.11	207745	2.60
	(ii) Non-resident Indian (NRI)	0	0.00	0	0.00
	(iii) Foreign national (other than NRI)	0	0.00	17309	0.22
2	Government				
	(i) Central Government	0	0.00	0	0.00
	(ii) State Government	0	0.00	0	0.00
	(iii) Government companies	0	0.00	0	0.00
3	Insurance companies	0	0.00	0	0.00
4	Banks	0	0.00	0	0.00
5	Financial institutions	0	0.00	0	0.00
6	Foreign institutional investors	0	0.00	0	0.00
7	Mutual funds	0	0.00	0	0.00
8	Venture capital	0	0.00	0	0.00
9	Body corporate (not mentioned above)	82356	3.62	7754147	97.17

10	Others ESOP TRUST	696840	30.62		
	<b>Total</b>	895420.00	39.35	7979201.00	99.99

Total number of shareholders (other than promoters)

42

Total number of shareholders (Promoters + Public/Other than promoters)

44.00

Breakup of total number of shareholders (Promoters + Other than promoters)

Sl.No	Category	
1	Individual - Female	1
2	Individual - Male	32
3	Individual - Transgender	0
4	Other than individuals	11
	<b>Total</b>	44.00

C Details of Foreign institutional investors' (FIIs) holding shares of the company

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held

#### VII NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS

[Details of Promoters, Members (other than promoters), Debenture holders]

Details	At the beginning of the year	At the end of the year
Promoters	2	2
Members (other than promoters)	42	42
Debenture holders	253	236

#### VIII DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

## A Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A Promoter</b>	2	0	2	0	13.01	0
<b>B Non-Promoter</b>	0	2	0	2	0.00	0.00
i Non-Independent	0	0	0	0	0	0
ii Independent	0	2	0	2	0	0
<b>C Nominee Directors representing</b>	0	3	0	3	0.00	0.00
i. Banks and FIs	0	0	0	0	0	0
ii Investing institutions	0	0	0	0	0	0
iii Government	0	0	0	0	0	0
iv Small share holders	0	0	0	0	0	0
v Others		3	0	3	0	0
<b>Total</b>	2	5	2	5	13.01	0.00

\*Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

9

## B (i) Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity shares held	Date of cessation (after closure of financial year : If any) (DD/MM/YYYY)
GAURAV DINESH HINDUJA	01264801	Director	690007	
AKSHAY JAMMALAMADAKA SARMA	BFIPS1908F	CFO	1982	
SASHANK RAMASUBBAN RISHYASRINGA	06466985	Director	690007	
RAVI CHANDRA ADUSUMALLI	00253613	Nominee Director	0	

MOHAMED SALIM ASARIA	08880222	Nominee Director	0	
PREETHAM NAGARAJA MURTHY	08716895	Nominee Director	0	
INA MALHOTRA	08741492	Director	0	
VENKATARAMAN MURALI	02820596	Director	0	
MAULI AGARWAL	AUNPA8614R	Company Secretary	0	

**B (ii) \*Particulars of change in director(s) and Key managerial personnel during the year**

5

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation (DD/MM/YYYY)	Nature of change (Appointment/ Change in designation/ Cessation)
VENKATARAMAN MURALI	02820596	Director	24/07/2024	Appointment
RAVI SHANKAR VENKATARAMAN GANAPATHY AGRAHARAM	02604007	Nominee Director	28/08/2024	Cessation
PREETHAM NAGARAJA MURTHY	08716895	Nominee Director	14/10/2024	Appointment
PATEL SEEMA	CDYPP6181Q	Company Secretary	31/03/2025	Cessation
MAULI AGARWAL	AUNPA8614R	Company Secretary	31/03/2025	Appointment

**IX MEETINGS OF MEMBERS/CLASS OF MEMBERS/ BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

\*Number of meetings held

5

Type of meeting	Date of meeting (DD/MM/YYYY)	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Extra Ordinary General Meeting	26/07/2024	40	3	60.73
Extra Ordinary General Meeting	14/08/2024	40	3	60.73

Extra Ordinary General Meeting	28/08/2024	40	3	60.73
Annual General Meeting	30/09/2024	40	3	60.73
Extra Ordinary General Meeting	12/03/2025	40	3	60.73

## B BOARD MEETINGS

\*Number of meetings held

15
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S.No	Date of meeting (DD/MM/YYYY)	Total Number of directors as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	26/04/2024	7	4	57.14
2	05/06/2024	7	6	85.71
3	30/06/2024	7	6	85.71
4	25/07/2024	6	4	66.67
5	14/08/2024	7	4	57.14
6	19/08/2024	7	5	71.43
7	28/08/2024	6	5	83.33
8	27/09/2024	6	6	100
9	14/10/2024	6	5	83.33
10	28/11/2024	7	6	85.71
11	19/12/2024	7	6	85.71
12	20/01/2025	7	5	71.43
13	18/02/2025	7	5	71.43
14	18/03/2025	7	6	85.71
15	31/03/2025	7	5	71.43

**C COMMITTEE MEETINGS**

Number of meetings held

21

S.No	Type of meeting	Date of meeting (DD/MM/YYYY)	Total Number of Members as on the date of meeting	Attendance	
				Number of members attended	% of attendance
1	Nomination and Remuneration Committee	12/09/2024	4	4	100
2	Audit committee	30/06/2024	3	3	100
3	Audit committee	27/09/2024	3	3	100
4	Audit committee	28/11/2024	3	3	100
5	Audit committee	18/02/2025	3	3	100
6	Audit committee	31/03/2025	3	3	100
7	Risk Management Committee	27/06/2024	3	3	100
8	Risk Management Committee	17/09/2024	3	3	100
9	Risk Management Committee	28/11/2024	3	3	100
10	Risk Management Committee	17/02/2025	3	3	100
11	Risk Management Committee	31/03/2025	3	3	100
12	Technology Strategy Committee	04/06/2024	3	3	100
13	Technology Strategy Committee	24/09/2024	4	3	75
14	Technology Strategy Committee	28/11/2024	4	4	100
15	Technology Strategy Committee	19/12/2024	4	4	100
16	Technology Strategy Committee	31/03/2025	4	4	100
17	Willful Defaults Identification Committee	11/03/2025	4	4	100
18	New Product Committee	13/03/2025	2	2	100
19	New Product Committee	27/03/2025	2	2	100

20	Customer Protection Committee	13/03/2025	2	2	100
21	Special Committee of the Board for Monitoring and Follow-Up of Cases of Fraud	18/03/2025	4	4	100

#### D ATTENDANCE OF DIRECTORS

S. No	Name of the Director	Board Meetings			Committee Meetings			Whether attended AGM held on  30/09/2025 (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	GAURAV DINESH HINDUJA	15	15	100	13	12	92	No
2	SASHANK RAMASUBBAN RISHYASRINGA	15	15	100	14	14	100	No
3	RAVI CHANDRA ADUSUMALLI	15	8	53	0	0	0	No
4	MOHAMED SALIM ASARIA	15	9	60	1	1	100	No
5	PREETHAM NAGARAJA MURTHY	6	5	83	0	0	0	No
6	INA MALHOTRA	15	15	100	11	11	100	No
7	VENKATARAMAN MURALI	14	12	85	16	16	100	No

#### X REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

A \*Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

S. No.	Name	Designation	Gross salary	Commission	Stock Option/Sweat equity	Others	Total amount
	<b>Total</b>		0.00	0.00	0.00	0.00	0.00

B \*Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
1	AKSHAY JAMMALAMADAKA SARMA	CFO	16447192	0	0	0	16447192.00
2	MAULI AGARWAL	Company Secretary	2612499	0	0	0	2612499.00
3	Seema Patel	Company Secretary	1715295.3	0	0	0	1715295.30
	<b>Total</b>		20774986.30	0.00	0.00	0.00	20774986.30

C \*Number of other directors whose remuneration details to be entered

4

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
1	GAURAV DINESH HINDUJA	Director	10200000	0	0	0	10200000.00
2	SASHANK RAMASUBBAN RISHYASRINGA	Director	10200000	0	0	0	10200000.00
3	INA MALHOTRA	Director	0	0	0	2700000	2700000.00
4	VENKATARAMAN MURALI	Director	0	0	0	2700000	2700000.00
	<b>Total</b>		20400000.00	0.00	0.00	5400000. 00	25800000.00

#### XI MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

A \*Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year

Yes

No

B If No, give reasons/observations

As per attached Form MGT-8

#### XII PENALTY AND PUNISHMENT – DETAILS THEREOF

A \*DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/  
DIRECTORS/OFFICERS

Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order (DD/MM/YYYY)	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

B \*DETAILS OF COMPOUNDING OF OFFENCES

Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order (DD/MM/YYYY)	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in rupees)

### XIII Details of Shareholder / Debenture holder

Number of shareholder/ debenture holder

297

### XIV Attachments

(a) List of share holders, debenture holders

Details of Shareholder or  
Debenture holder.xlsm

(b) Optional Attachment(s), if any

Form MGT 8\_Capfloat.pdf  
MGT-7\_Clarification  
Letter\_CapFloat.pdf

## XV COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

### I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this return, the Company has complied with applicable provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

I/ We have examined the registers, records and books and papers of 

CAPFLOAT FINANCIAL SERVICES PRIVATE LIMITED
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 as required to be

maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on

(DD/MM/YYYY) 

31/03/2025
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In my/ our opinion and to the best of my information and according to the examinations carried out by me/ us and explanations furnished to me/ us by the company, its officers and agents, I/ we certify that:

A The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

- 1 its status under the Act;
- 2 maintenance of registers/records & making entries therein within the time prescribed therefor;
- 3 filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time;
- 4 calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
- 5 closure of Register of Members / Security holders, as the case may be.
- 6 advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
- 7 contracts/arrangements with related parties as specified in section 188 of the Act;
- 8 issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;
- 9 keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act
- 10 declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
- 11 signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
- 12 constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
- 13 appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
- 14 approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
- 15 acceptance/ renewal/ repayment of deposits;
- 16 borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
- 17 loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the

provisions of section 186 of the Act ;  
18 alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

To be digitally signed by

Name

Rupesh Agarwal

Date (DD/MM/YYYY)

25/02/2026

Place

Delhi

Whether associate or fellow:

Associate  Fellow

Certificate of practice number

5\*7\*

**XVI Declaration under Rule 9(4) of the Companies (Management and Administration) Rules, 2014**

\*(a) DIN/PAN/Membership number of Designated Person

AUNPA8614R

\*(b) Name of the Designated Person

MAULI AGARWAL

**Declaration**

I am authorised by the Board of Directors of the Company vide resolution number\*  dated\*

(DD/MM/YYYY)  to sign this form and declare that all the requirements of Companies Act, 2013

and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1 Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2 All the required attachments have been completely and legibly attached to this form.

\*To be digitally signed by

\*Designation

(Director /Liquidator/ Interim Resolution Professional (IRP)/Resolution Professional (RP))

Director

\*DIN of the Director; or PAN of the Interim Resolution Professional (IRP) or Resolution Professional (RP) or Liquidator

0\*4\*6\*8\*

**\*To be digitally signed by**

Company Secretary       Company secretary in practice

**\*Whether associate or fellow:**

Associate       Fellow

Membership number

3\*1\*7

Certificate of practice number

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**Note: Attention is drawn to provisions of Section 448 and 449 of the Companies Act, 2013 which provide for punishment for false statement / certificate and punishment for false evidence respectively.**

***For office use only:***

eForm Service request number (SRN)

AC2489758

eForm filing date (DD/MM/YYYY)

27/02/2026

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**