

SHORTER NOTICE OF 02ND (SECOND) EXTRA-ORDINARY GENERAL MEETING

SHORTER NOTICE IS HEREBY GIVEN THAT THE 02ND (SECOND) EXTRA-ORDINARY GENERAL MEETING ("EGM") FOR FY 2026 - 27 OF THE MEMBERS OF CAPFLOAT FINANCIAL SERVICES PRIVATE LIMITED (THE "COMPANY") IS SCHEDULED TO BE HELD ON MONDAY, OCTOBER 12, 2026 AT 01:00 P.M. AT 03RD FLOOR, PLOT-30, SHIVAJI MARG, OPPOSITE CTC MALL, MOTI NAGAR, NAJAFGARH ROAD INDUSTRIAL AREA, NEW DELHI, 110015, TO TRANSACT THE FOLLOWING BUSINESS:

SPECIAL BUSINESS:

ITEM NO. 01: TO CONSIDER AND IF DEEMED FIT, APPOINT M/S B S R & CO. LLP (FRN: 101248W/W100022), CHARTERED ACCOUNTANTS, AS STATUTORY AUDITORS OF THE COMPANY TO FILL THE CASUAL VACANCY CAUSED DUE TO RESIGNATION OF M/S NANGIA & CO LLP (FRN: 002391C/N500069), CHARTERED ACCOUNTANTS, ERSTWHILE STATUTORY AUDITORS:

To consider and if thought fit, to pass, with or without modification(s), the following resolution as an **Ordinary Resolution** with or without any modification(s):

***"RESOLVED THAT** pursuant to provisions of section 139(8), 141 and 142 of the Companies Act, 2013 read with Companies (Audit and Auditors Rules), 2014 (the Rules) and other applicable provisions, if any, of the Companies Act, 2013 as amended from time to time or any other law for the time being in force (including any statutory modification or amendment thereto or reenactment thereof for the time being in force), pursuant to the recommendation of the Board of Directors of the Company, approval of the members of the Company be and is hereby accorded for appointment of M/s B S R & Co. LLP, Chartered Accountants (ICAI Firm Registration No.: 101248W/W100022), as Statutory Auditors of the Company to fill the casual vacancy caused due to resignation of M/s Nangia & Co LLP, Chartered Accountants (Firm Registration No. - 002391C/N500069), and they shall hold office from the conclusion of this Extra Ordinary General Meeting till the conclusion of ensuing Annual General Meeting, on such remuneration as may be mutually decided between Board of Directors of the Company and M/s B S R & Co. LLP, Chartered Accountants.*

***RESOLVED FURTHER THAT** the Directors of the Company and/or the Company Secretary of the Company be and are hereby severally authorized to sign, execute and file all such forms, deeds and documents with the Registrar of Companies or other Statutory and Regulatory Authorities and to do all such acts, deeds and things, as they may in their absolute discretion deem necessary, proper or desirable and to settle any questions, difficulties or doubts that may arise in this regard, for the purpose of giving effect to this resolution."*

For and on behalf of CapFloat Financial Services Private Limited

SASHANK R
RISHYASRINGA
GA
Sashank R. Rishyasringa

Digitally signed by
SASHANK R
RISHYASRINGA
Date: 2026.10.01
19:37:23 +05'30'



Whole-Time Director
DIN: 06466985

 ask@axio.co.in
 +91-8023081800
 www.axio.co.in

Place: Bengaluru, India
Date: October 01, 2026



NOTES:

1. A member entitled to attend the meeting may appoint one or more proxies to exercise all or any of their rights to attend and vote at the meeting, provided that each proxy is appointed to exercise the rights attached to a different ordinary share or ordinary shares held by that shareholder. A proxy need not be a member of the Company.
2. Any instrument appointing a proxy or proxies should be deposited at the Registered Office of the Company not less than 48 hours before the commencement of the meeting.
3. The Explanatory Statement pursuant to Section 102 of the Companies Act, 2013, is appended hereto as **Annexure A**.
4. The Meeting is being convened at shorter notice, after obtaining the consent, in writing, by not less than ninety-five per cent of the members entitled to vote at the Meeting, pursuant to the provisions of Section 101(1) of the Companies Act, 2013.
5. Member/Proxy, desirous of attending the Meeting, must bring the attendance slip (enclosed herewith) to the Meeting duly completed and signed, and hand over the same at the venue entrance.
6. All documents referred to in the notice and the explanatory statement, and requiring members' approval and such statutory records and registers, as are required to be kept for inspection under the Companies Act, 2013, shall be available for inspection by the members at the registered office of the Company during 10.00 A.M. to 5.00 P.M. on all working days and shall be accessible to the person attending the meeting.
7. The documents of the Company will be sent in electronic form to those Members who have registered their e-mail address with the Company. The Company will further continue to send all such documents by electronic mail or in electronic form, which Members may kindly note. However, in case a Member wishes to receive physical copy of the said documents, he is requested to send an e-mail to concerned members duly quoting his Folio number and email ID.
8. Route Map is annexed as **Annexure B** to this Notice.
9. Members/ Proxy are requested to bring the Attendance Slip duly filled in and hand over the same at the entrance of the meeting hall. The Attendance Slip is annexed as **Annexure C**.

Annexure A

EXPLANATORY STATEMENT PURSUANT TO SECTION 102 OF THE COMPANIES ACT, 2013

ITEM NO. 01: TO CONSIDER AND IF DEEMED FIT, APPOINT M/S B S R & CO. LLP (FRN: 101248W/W100022), CHARTERED ACCOUNTANTS, AS STATUTORY AUDITORS OF THE COMPANY TO FILL THE CASUAL VACANCY CAUSED DUE TO RESIGNATION OF M/S NANGIA & CO LLP (FRN: 002391C/N500069), CHARTERED ACCOUNTANTS, ERSTWHILE STATUTORY AUDITORS:

M/s Nangia & Co LLP, Chartered Accountants (Firm Registration No.: 002391C/N500069), Statutory Auditors of the Company were appointed as the Statutory Auditors of the Company at the 31st Annual General Meeting of the Company to hold office up to the conclusion of 34th Annual General Meeting of the Company. However, M/s Nangia & Co LLP have resigned as the Statutory Auditor of the Company vide their letter dated September 15, 2026 w.e.f. September 25, 2026. This has resulted into a casual vacancy in the office of Statutory Auditors of the Company as envisaged by Section 139(8) of the Companies Act, 2013. Further, as per the provisions of Section 139 (8) of the Companies Act, 2013, Casual vacancy caused by the resignation of auditor shall be approved by the shareholders in General Meeting within three months from the date of recommendation of the Board of Directors of the Company.

In view of the above, the Company approached M/s B S R & Co. LLP, Chartered Accountants (ICAI Firm Registration No.: 101248W/W100022), as the Statutory Auditors of the Company to fill the casual vacancy caused due to the resignation of M/s Nangia & Co LLP, Chartered Accountants, to hold office as the Statutory Auditors of the Company from the conclusion of this Extra Ordinary General Meeting until the conclusion of the ensuing Annual General Meeting.

Consent letter and eligibility certificates received from M/s B S R & Co. LLP, Chartered Accountants, are attached herewith as **Annexure 1**. Further, they have confirmed that they are not disqualified to be appointed as Statutory Auditors in terms of the provisions of the proviso to Section 139 & 141 of the Act and the provisions of the Companies (Audit and Auditors) Rules, 2014.

The Board recommends the approval of the resolution mentioned in item No. 1 of the Notice for approval of the Members as an Ordinary Resolution.

None of the Directors or Key Managerial Personnel of the Company, including their relatives are interested or concerned in the resolution.

For and on behalf of CapFloat Financial Services Private Limited

SASHANK R
RISHYASRINGA
Date: 2026.10.01
19:37:48 +05'30'

Name: Sashank R Rishyasringa
Designation: Whole-Time Director



DIN: 06466985

Date: October 01, 2026

Place: Bengaluru, India



ask@axio.co.in



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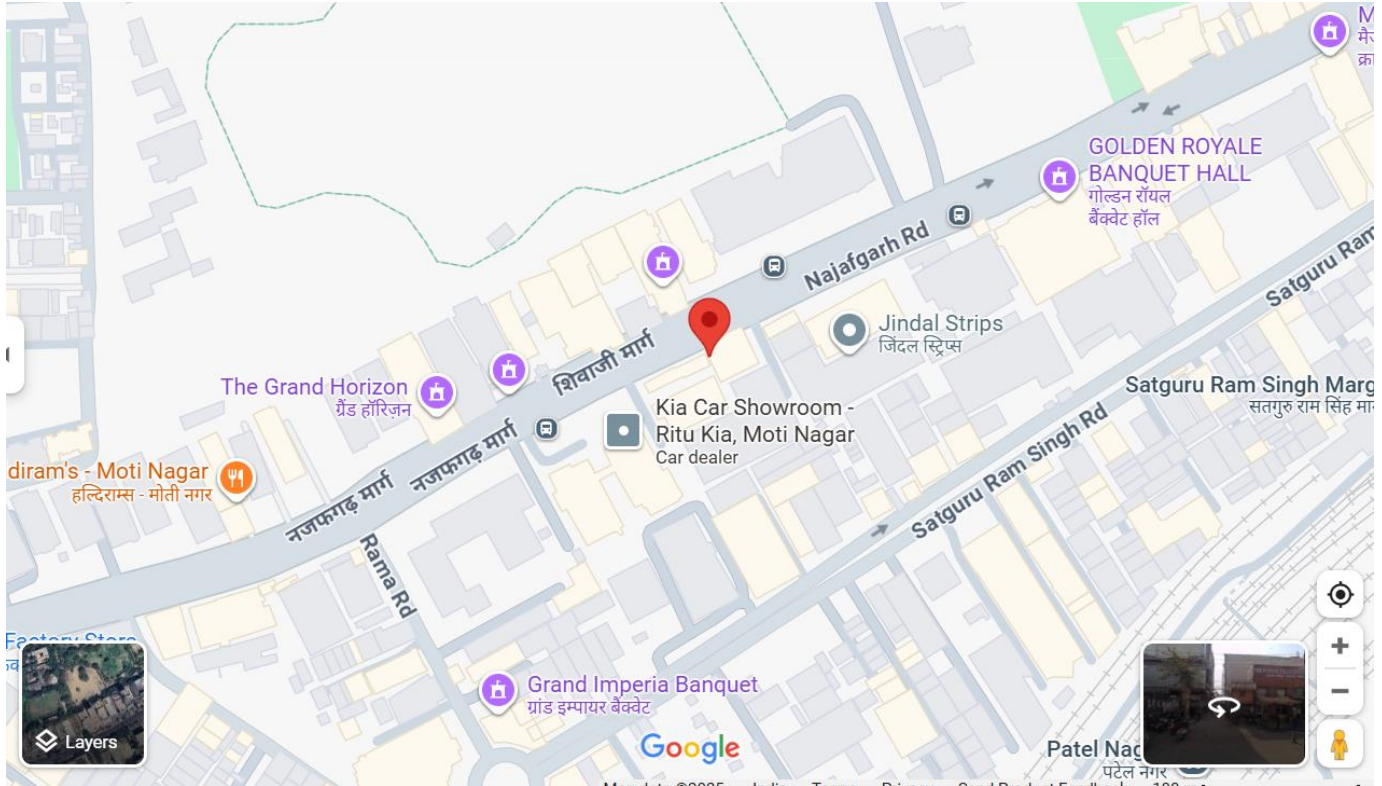
axio (brand name of CapFloat Financial Services Private Limited 'NBFC')

CIN: U65993KA1993PTC074590

axio, New no. 3 (Old no. 211), Upper Palace Orchards, Bellary Road, Sadashiva Nagar, Bengaluru - 560080

Annexure-B

Route map and prominent Landmark



Prominent Landmark: Opposite CTC Mall



ANNEXURE-C

**CAPFLOAT FINANCIAL SERVICES PRIVATE LIMITED
ATTENDANCE SLIP**

EXTRA ORDINARY GENERAL MEETING

To
M/s CapFloat Financial Services Private Limited
Registered Office: New No 3 (Old 211), Gokaldas Platinum,
Upper Palace Orchards, Bellary Road, Sadashiva Nagar, Bengaluru– 560080

I hereby record my presence at the 02nd (Second) Extra Ordinary General Meeting of CapFloat Financial Services Private Limited to be held on Monday, October 12, 2026 at 01:00 P.M. at 3rd Floor, Plot-30, Shivaji Marg, 110015, opposite CTC Mall, Moti Nagar, Najafgarh Road Industrial Area, New Delhi, 110015.

Name of First named Member/Proxy/ Authorized Representative	
Folio No/Customer ID:	
No. of Shares Held	
Name of Joint Member(s), if any	

Signature of the Shareholder
/Proxy/Authorised Representative

Date: _____, 2026
Place: Bengaluru



FORM MGT-11
PROXY FORM

[Pursuant to Section 105(6) of the Companies Act, 2013 and Rule 19(3) of the Companies (Management and Administration) Rules, 2014]

CIN: U65993KA1993PTC074590

Name of the Company: M/s CapFloat Financial Services Private Limited

Registered Office: New No 3 (Old 211), Gokaldas Platinum, Upper Palace Orchards, Bellary Road, Sadashiva Nagar, Bengaluru – 560080

Name of the Member (s):

Registered Address:

E-mail Id:

Folio No./ Client ID:

DP ID:

I / We, being the Member(s) of _____ (In words _____) equity shares of the above Company, hereby appoint

1. Name:

Address:

E-mail Id:

Signature: _____, or failing him / her

2. Name:

Address:

E-mail Id:

Signature: _____, or failing him / her

as my/our proxy to attend and vote (on a poll) for me/us and on my/our behalf at the 02nd (Second) Extra Ordinary General Meeting of CapFloat Financial Services Private Limited to be held on Monday, October 12, 2026 at 01:00 P.M. at 3rd Floor, Plot-30, Shivaji Marg, 110015, opposite CTC Mall, Moti Nagar, Najafgarh Road Industrial Area, New Delhi, 110015 and at any adjournment thereof, in respect of resolution as indicated below:

Sr. No.	Items for approval (Special Business)	Types of Resolutions
1.	To consider and appoint M/S B S R & Co. LLP (FRN: 101248W/W100022), Chartered Accountants, as Statutory Auditors of the company to fill the casual vacancy caused due to resignation of M/S Nangia & Co LLP	Ordinary Resolution



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	(FRN: 002391C/N500069), Chartered Accountants, erstwhile Statutory Auditors.	
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Signed this ____ day of _____, 2026

**Affix Re. 1
Revenue
Stamp**

Signature of the Shareholder(s)

Signature of Proxy Holder (s)

Note: This form of proxy in order to be effective, should be duly stamped, executed and deposited at the Registered Office of the Company, not less than 48 hours before the commencement of the Meeting.