DECISIONFOCUS

SOLUTION BRIEF

AI Governance Module

Control every AI deployment before regulators ask you to



DECISIONFOCUS

Take control of AI before it controls you

As AI adoption accelerates across financial services and regulated industries, organisations face mounting pressure to demonstrate structured, auditable governance over every model and tool in use. The AI Governance module gives compliance, risk and legal teams a single source of truth for requesting, assessing, approving and monitoring AI deployments.



Delivering business benefits

AI GOVERNANCE MODULE

Stop shadow AI in its tracks

Give employees a fast, intuitive way to request AI approval before they build or deploy, removing a problem that can take months to uncover.

Cut approval timelines from months to days

Automated pre-assessment predicts the obligations and approvals a request will need before it is submitted, so reviews start with the right information already in place.

Stay ahead of the EU AI Act

Built around EU AI Act obligations for both deployers and providers, future proofing your organisation against the regulatory wave now reaching UK firms.

One request, every dependency linked

Requests automatically reference vendors in TPRM, link related DPIAs and ROPAs in Data Privacy, and connect business processes in Operational Resilience.

Prove compliance when regulators ask

Exportable telemetry, KPI dashboards and audit trails give you everything needed to respond to regulator requests for AI model evidence, on demand.

Govern AI at every stage

Staged workflows from prototype through to live deployment let teams move fast at proof of concept while escalating controls as AI matures toward production.

Holistic model governance, not just AI

Captures the full model governance lifecycle, data lineage, legal sign off, technical testing and ownership, bringing together what has historically been siloed.

Reduce manual oversight at scale

Optional AI agent driven continuous validation monitors outputs, detects drift or hallucination and auto triages low risk requests.

Configurable to your AI policy

No code configuration lets you define your own approval thresholds, question sets and routing rules without writing a line of code.

ONE UNIFIED PLATFORM

Build your perfect GRC solution
Your needs, your choice

Combine the Decision Focus AI Governance module with ERM, TPRM, Data Privacy, Op Res and DORA, or any other capabilities you need to deliver integrated assurance across your organisation with our 'Total GRC' approach.

Our agile no-code platform adapts to your frameworks and processes, so you can pick and choose the solutions you need.

One unified platform

AI | with integrated AI enhancement

Risk

- ERM — Enterprise Risk Management
- Op Res — Operational Resilience
- TP RM — Third-party Risk Management
- IS MS — Information Security Management System
- MRM — Model Risk Management
- DO RA — Digital Operational Resilience Act
- RM IS — Risk Management Information System
- AI GOV — AI Governance

Compliance

- PM — Policy Management
- DP — Data Privacy
- HS — Horizon Scanning
- RCM — Regulatory Change Management
- COM — Compliance Monitoring
- RR — Regulatory Reporting
- CM — Complaints Management
- CI — Conflicts of Interest
- GH — Gifts & Hospitality
- PG — Product Governance
- SM CR — Senior Managers & Certification Regime

Audit

- IA — Internal Audit
- AS — Audit Survey
- SOX — Sarbanes-Oxley Act
- QA — Quality Assurance

Pairs with the modules you already run

The AI Governance module is one part of the Decision Focus Total GRC platform. It shares live data with the modules below, so AI requests carry full context from the moment they are raised.

DP

Data Privacy / GDPR

Automatically links AI requests to relevant data processing activities, DPIA requirements and data transfer records, ensuring privacy by design at the point of AI approval.

TP RM

Third-Party Risk Management

Links AI tools to their vendor records, pulling due diligence, contract data and onboarding status directly into the governance request.

Op Res

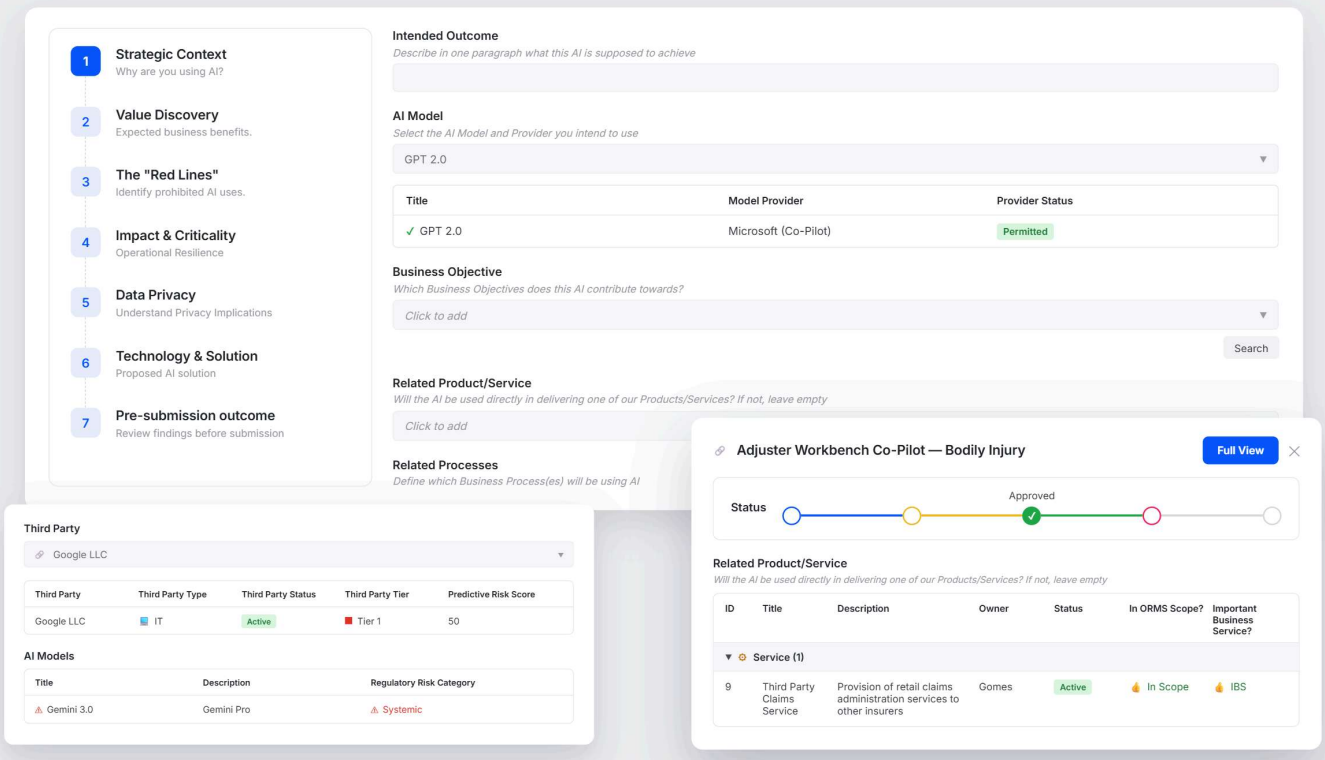
Operational Resilience

Connects AI deployments to critical business processes, enabling impact analysis if an AI model fails, hallucinates or goes offline.

ERM

Enterprise Risk Management

Ties AI requests to business objectives and strategic risk appetite, flagging AI investments that conflict with existing risk positions.



FEATURE SPOTLIGHT

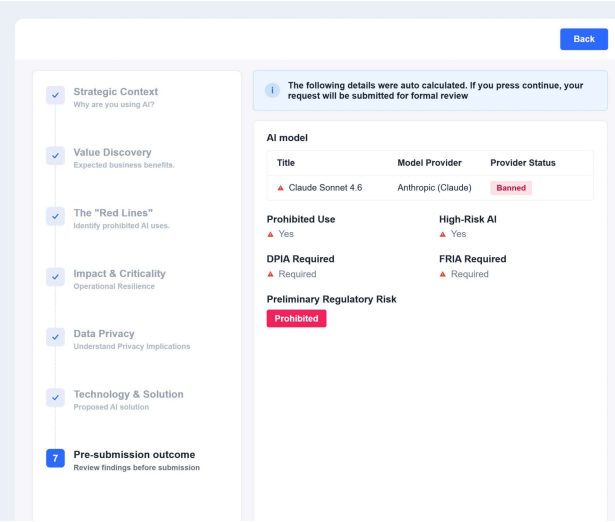
Cross-module integration

When an employee submits an AI request, the platform automatically surfaces the relevant vendor record from TPRM, identifies when a DPIA is required, prompts the user to link the appropriate Data Privacy record, and connects the initiative to affected business processes in Operational Resilience - all within a single request.

Risk, legal and data privacy teams review a complete picture rather than a partial form that generates follow-up questions. For organisations already using Decision Focus, this integration is immediate and needs no extra configuration.

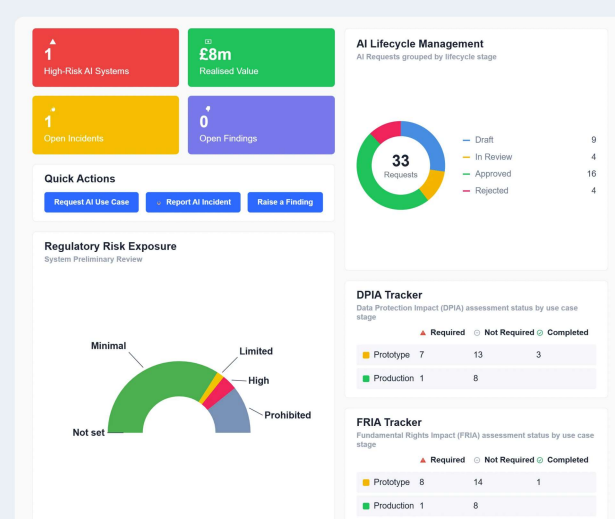
AI GOVERNANCE FEATURES

Governance across the full AI lifecycle



Structured AI request workflow

Employees submit a governed AI request through a simple wizard capturing what they want to use, why, what data it will process and which vendor or model will power it. Automated pre-assessment flags the likely obligations and reviewers before the request is submitted.

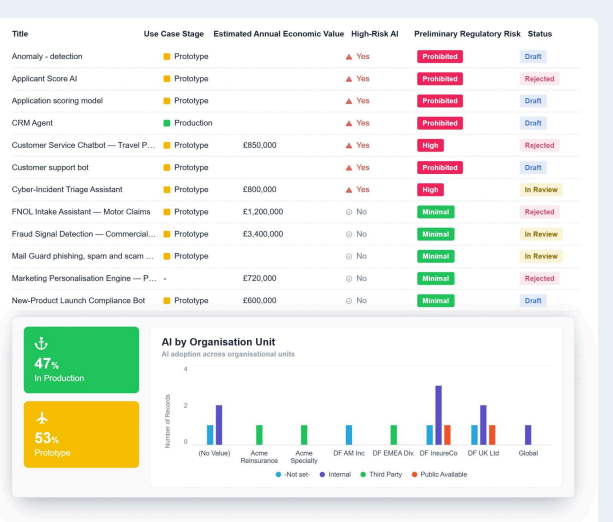


EU AI Act risk classification

The module automatically classifies AI tools against EU AI Act risk categories, minimal, limited, high or unacceptable, flagging high risk deployments for escalated review and additional evidence.

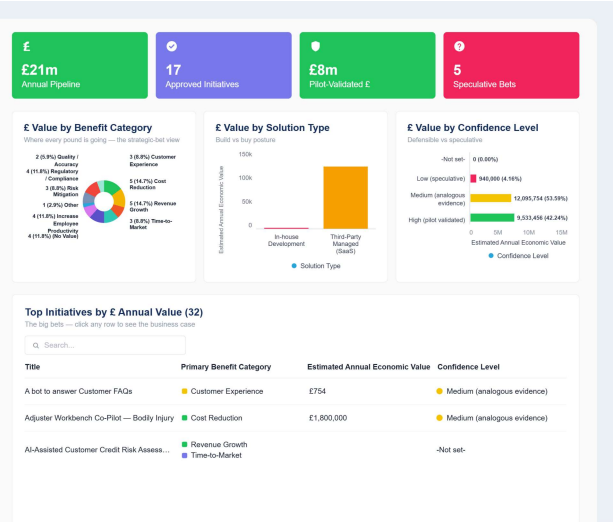
Staged workflow, POC to production

Configurable workflow stages allow different question sets and approval thresholds at the prototype, pilot and live deployment stages, reducing shadow AI without creating bureaucratic barriers.



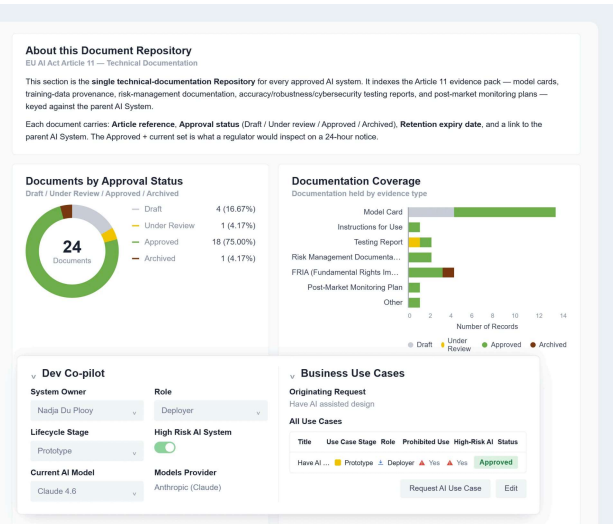
Govern AI. Prove its value.

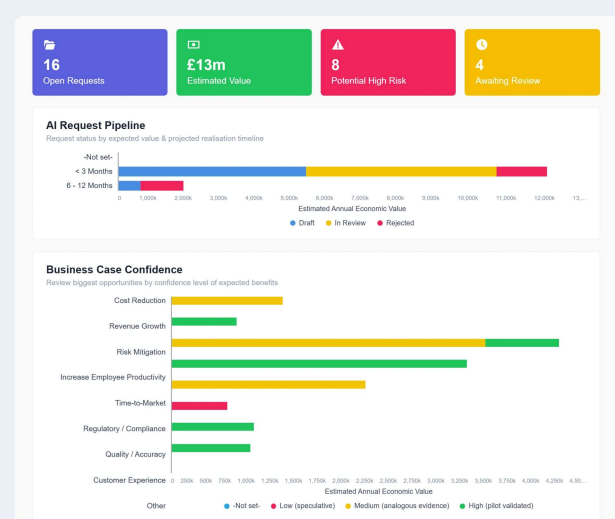
Governance does not stop at approval. Track AI adoption, realised benefits and return on investment alongside exportable dashboards covering approval timelines, model performance signals and regulator ready metrics, so you can show both control and value from the same record.



Technical testing & evidence capture

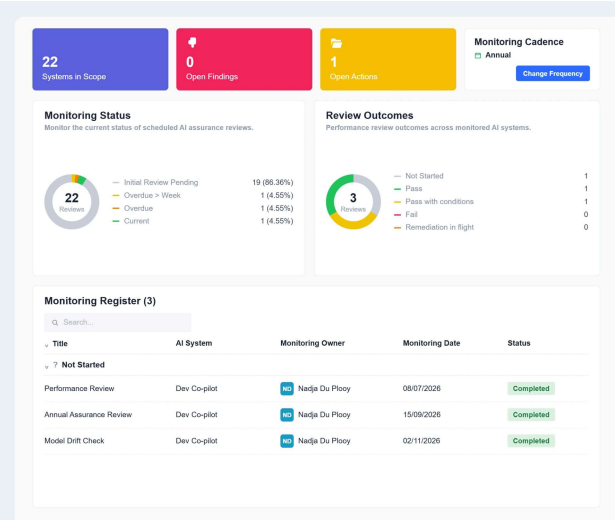
Goes beyond a simple checkbox, capturing technical documentation, validation artefacts and testing evidence for high risk AI models, with structured fields that keep evidence audit ready.





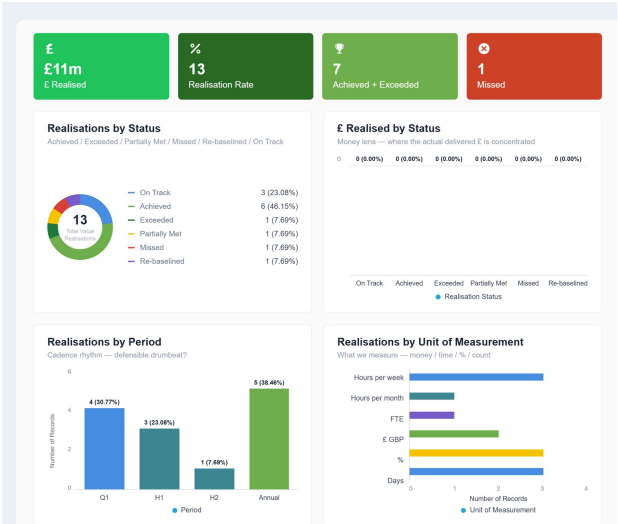
Focus governance where value is highest

Rank AI requests by expected value, time to realisation and confidence, helping teams prioritise high-potential opportunities and focus review effort where it matters most.



Agent based continuous monitoring

An optional AI agent continuously reviews model outputs against policy, detects drift or hallucination, and auto approves or escalates low risk requests, with human in the loop oversight maintained throughout.



Close the value realisation loop

Compare expected and realised value over time, helping teams identify what's delivering, reassess what isn't and make better AI investment decisions.

REGULATORY LANDSCAPE

Built around the frameworks you must answer to

EU AI Act

Primary regulatory driver. Supports both deployer and provider obligations, covering risk classification, technical documentation, human oversight and incident logging.

Brussels Effect

UK financial service firms are increasingly expected to align with EU AI Act regulations regardless of domestic regulation, future proofing UK financial services.

FCA / PRA AI expectations

UK financial services firms face growing regulatory expectations around model governance; the module provides the structured evidence framework needed to respond.

GDPR / Data Privacy

AI deployments involving personal data automatically trigger linkage to DPIA requirements and data transfer records through the integrated Data Privacy module.

DORA

Where AI tools are used within, or provided as, ICT services supporting critical or important functions, including under contractual arrangements with ICT third-party service providers, the module connects to TPRM and Operational Resilience for DORA relevant oversight.

Provider vs deployer coverage

Covers both deployer obligations, using AI tools built by others, and provider obligations, building or fine tuning AI internally, a distinction most competitors' early solutions miss.

Human in the loop by design

Every workflow, including agent assisted reviews, maintains human oversight as a configurable default, aligned with EU AI Act requirements for high risk systems.

Built by GRC experts, for GRC experts

Decision Focus is built, tested and implemented by GRC experts with decades of experience across industries. We know the challenges you face, the tools you need and how to deliver the results you want.

Decision Focus is an agile, no-code platform that allows organisations to create a tailored GRC solution from more than 20 configurable modules, spanning audit, risk, compliance, governance, regulatory reporting and more.

As a true 'Total GRC' platform, it brings all of your GRC processes into one unified system. At the centre is a focus on lean GRC, to help you eliminate inefficiencies and drive business growth.



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FALL 2025 High Performer

FALL 2025 EMEA High Performer



DECISIONFOCUS

THE ONLY PLATFORM FOR ALL YOUR GRC

Regulators are asking about AI. Be ready with an answer.

Our agile no-code platform adapts to your organisation, so you can pick and choose the solutions you need.

Get started and book a demo today to see how Decision Focus can deliver Total GRC assurance, including AI Governance, across your organisation.

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