

# Improving the culture of interdisciplinary collaboration in ecology by expanding measures of success

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Interdisciplinary collaboration is essential to understand ecological systems at scales critical to human decision making. Current reward structures are problematic for scientists engaged in interdisciplinary research, particularly early career researchers, because academic culture tends to value only some research outputs, such as primary-authored publications. Here, we present a framework for the costs and benefits of collaboration, with a focus on early career stages, and show how the implementation of novel measures of success can help defray the costs of collaboration. Success measures at team and individual levels include research outputs other than publications, including educational outcomes, dataset creation, outreach products (eg blogs or social media), and the application of scientific results to policy or management activities. Promotion and adoption of new measures of success will require concerted effort by both collaborators and their institutions. Expanded measures should better reflect and reward the important work of both disciplinary and interdisciplinary teams at all career stages, and help sustain and stimulate a collaborative culture within ecology.

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Collaboration among individuals and teams is not new within ecology. Ecology is a discipline that has long required interdisciplinary knowledge due to its inherent complexity (Odum and Barrett 1971; Eigenbrode *et al.* 2007), but interdisciplinarity is becoming increasingly important as the complexity of the ecological problems facing humanity increases (Uriarte *et al.* 2007; Pennington 2008; Dawson *et al.* 2011). Interdisciplinary collaboration is “a form of collaboration that combines components of two or more [comparatively self-contained] disciplines” (Nissani 1997; although see Klein

[2010] for a more detailed discussion). In practice, interdisciplinary research is almost always collaborative, and may involve many individuals from different disciplines and multiple institutions or nations.

Successful collaborative research, whether disciplinary (occurring within a discipline) or interdisciplinary (occurring across disciplines), provides clear overarching benefits to both science and society (Wuchty *et al.* 2007; Pennington *et al.* 2013). Recent evidence based on citation rates points to the potential for greater impact from interdisciplinary versus disciplinary collaboration (Porter *et al.* 2012), but these successes may be countered by other work that shows that the degree of interdisciplinarity in the life sciences and biology can have negative effects on citation rates (Levitt and Thelwall 2008; Larivière and Gingras 2010). The differences in interpretation between studies may arise from various metrics of impact but may also be a result of a lack of consideration of the broad range of research products that arise from interdisciplinary research (ie papers, book chapters, posters, software, and educational training). Because all products cannot be easily quantified, interdisciplinary collaborations may be undervalued.

Numerous examples of productive disciplinary and interdisciplinary collaborations can be found in ecology from the past (Hutchinson and Bonatti 1970; Wright and Bartlein 1993) and present, including research conducted by the US Long Term Ecological Research Network (LTER), working groups of the National Center for Ecological Analysis and Synthesis, the Census of Marine Life, the Socio-Environmental Synthesis Center, and the Neotoma Paleoecology Database project. Each of these

## In a nutshell:

- Interdisciplinary research is an increasingly common form of collaboration and is essential for answering complex environmental questions
- The costs of interdisciplinary research can be especially high for early career scientists
- Accepted research success for all collaborative research participants should extend beyond traditional metrics such as primary authorship or project leadership and should include credit for co-authorship, data production, outreach, education, and ongoing mentoring and administrative activities
- Broader definitions of – and concomitant rewards for – success will more fully acknowledge participation at all career stages and perpetuate interdisciplinary research

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Early career academic scientists are increasingly encouraged to become collaborative in practice and interdisciplinary in approach. However, their success is likely to be evaluated, at least in part, by later career stage scientists and institutional review processes that are deeply rooted in disciplinary approaches to evaluation (ie based on demonstrated independent scientific success within a specific discipline). Given the potential scientific advantages of interdisciplinary collaboration, scientists' efforts (at all career stages) in these endeavors should be rewarded, but existing measures for reward may not be suitable to support and encourage collaboration, particularly for early career researchers.

Our premise here is that successful collaborations, particularly interdisciplinary ones, can be promoted, but costs and benefits for all team participants should be recognized at the outset and placed in the context of both individual and team goals. Furthermore, as noted above, broader institutional recognition of the costs borne by early career researchers who conduct interdisciplinary research is essential and should be accompanied by shifts in the institutional measures of success. We use examples from the literature and our personal experiences with interdisciplinary collaborative teams to inform our discussion. Co-

authors of this paper include six early career scientists and four more senior scientists. There has been extensive research on the strategies behind team building and the requirements for understanding philosophical underpinnings to promote interdisciplinary collaborative success (Eigenbrode *et al.* 2007), but few ecologists have been trained in the needed skills and strategies (but see Cheruvilil *et al.* 2014). In this paper, we first present a conceptual model of interdisciplinary collaborative costs and benefits that focuses on the early career stage; we then offer strategies for optimizing benefits of interdisciplinary collaborations for early career researchers in particular; and finally we make suggestions for expanding the measures of success to promote interdisciplinary collaborative research. We point out how the current reward structure in academia and other research institutions may be misaligned with the current practice of interdisciplinary collaborative science, especially for early career researchers.

An increasing number of ecologists are joining collaborative teams. A cost-benefit framework of more traditional approaches to conducting ecological research has been proposed previously (Peterson 1993), and here we build from this hierarchical, top-down system to depict a more contemporary collaborative framework. Some tangible benefits of collaboration include greater visibility within the scientific community at an earlier career stage, increased publication rates (Porter *et al.* 2012; but see Levitt and Thelwall 2008), higher probability of participation in future collaborative research projects (Hampton and Parker 2011), and the potential for greater success in obtaining future funding (Bellotti 2011). Less easily measured benefits that we have all experienced, but few have studied, include high personal satisfaction, the creation and fostering of lasting professional relationships, and the inspiration and enjoyment that scientists gain from fruitful collaboration.

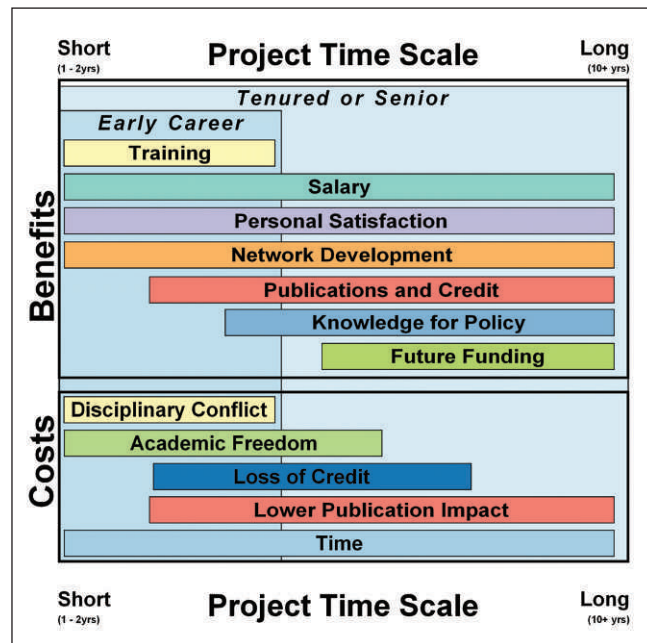
An individual will often weigh the benefits against a set of implicit or explicit costs when choosing whether to participate in an interdisciplinary project. If costs exceed benefits then the collaboration may not take place, or the project may fail since individual participants continue to evaluate their net benefit against their investment of effort over time. Thus, while we discuss costs and benefits, we will refer to balancing and assessing net benefits under the assumption that individuals can evaluate these often intangible components.

We maintain that both the costs and benefits of collaboration are likely to vary, depending on career stage (Figure 1); we focus on early career scientists because this cohort is crucially important to future scientific success and is the group facing some of the greatest challenges as a result of the conflicting pressures of interdisciplinary collaboration and entrenched academic culture (Figure 1).

Early career researchers are often key participants in collaborative research but traditionally have had less engagement with each project as a result of evolving projects and changing institutions several times during their graduate studies, postgraduate research, and full-time research positions, as opposed to senior researchers who can remain engaged with a project over a longer planning period. Shorter planning windows mean that early career scientists need research projects to come to fruition relatively quickly to benefit their career advancement. For example, graduate students and “soft-money” research scientists often rely heavily on external project funding for salary support rather than direct institutional support. This external support can be a major benefit of participation, while later career researchers are likely to have multiple sources of funding, independent of the collaborative project. The training that occurs over the course of the project can also be a major benefit for early career researchers, but the shorter period of project engagement can increase their vulnerability because of the shorter timeframe within which they can accrue benefits from any given project (ie they must be immediately productive to further their career). A senior scientist often has the luxury to “wait out” periods during which productivity may be lower, with minimal effect on career advancement since evaluation is often focused on progress over longer time periods.

When conducting interdisciplinary research, early career scientists must balance several challenges that primarily affect publication rates. Publication rates in the early stages can predict career longevity (Petersen *et al.* 2011), meaning delays in publication could harm future career prospects for young researchers. Thus, the constraints of a large collaborative project may increase the time to publication of high-impact papers, and time pressures may force researchers to sacrifice other activities (such as gaining experience in teaching or grant writing) to ensure their success within the project. There are many factors that can affect overall speed and level of productivity among a collaborative team; for instance, productivity in interdisciplinary research can decrease as a result of the time necessary to develop the links between teams and individuals required for collective thinking (Pennington 2008). Conflicts among team members due to philosophical differences among individuals or among disciplines (Hinds and Bailey 2003; Eigenbrode *et al.* 2007) and variations in disciplinary professional reward structures (Llerena and Meyer-Krahmer 2003; Uriarte *et al.* 2007) can also slow productivity. The geographic separation of project participants can lead to a lower likelihood of continuous project development (Cummings and Kiesler 2005), with concomitant delays in publication. Under intense pressure to publish, the interdependence of project components may mean that a student has less academic freedom than s/he might otherwise because other team members may depend on her/his specific research output to integrate with the larger project syntheses (Figure 1).

Perhaps the most critical challenge for early career sci-



**Figure 1.** Several potential benefits and costs of interdisciplinary, collaborative research, shown through time. The length of the cost or benefit represents the time periods over which the cost or benefit may operate during the lifetime of the project (approximately 5 years, plus 5 years of follow-up) and is derived largely from the authors' personal experience; as such, they are meant to act as a guide to the discussion. Of note here is that senior researchers can derive benefits from a larger number of categories over a longer period of time than early career researchers.

entists is achieving individual recognition for their work on collaborative projects. For example, Merton (1968) described the Matthew Effect, whereby credit for research is most often awarded to the most senior project participant, regardless of who carries out the actual research. This is supported by the finding that secondary authors continue to receive little recognition in interdisciplinary research (Fisher *et al.* 2012). Figure 1 indicates that the costs borne by early career researchers are higher in proportion to later career researchers, which can put younger scientists at greater risk of failure in interdisciplinary collaborations.

### ■ Strategies for optimizing benefits of interdisciplinary collaborations

In this section, we describe four strategies for optimizing the benefits of interdisciplinary collaborations for early career researchers.

#### **Establish clear expectations for individuals and the team**

Expectations for such important factors as training, intellectual credit, and timing of research products should be realistic, agreed upon early, and revisited throughout the project (Cheruvelil *et al.* 2014). Although individuals are



papers) and interdisciplinary work is often further penalized by low citation rates when compared to disciplinary research, particularly in the life sciences (Levitt and Thelwall 2008; although see Porter *et al.* [2012] for a counter example). Lack of credit (or perceived lack of credit) by team members may be balanced by the increased productivity (ie number of publications) of collaborative teams (Hampton and Parker 2011). Without prior agreement on authorship and, in the case of the collection of project data, who is allowed to use the data and in what context, the desire and competing needs to secure primary authorship could cause disagreements – or result in first authorship for those not in greatest need of career advancement. Such conflicts can result in missed opportunities to effectively balance costs and benefits within a team. Pitfalls can partially be remedied by encouraging lead authorship roles among different team members. Lead authorship provides early career researchers, or those on the cusp of reappointment or promotion, with opportunities to gain leadership experience with support and mentoring from more senior personnel who are co-authors. In fact, many of the articles in this Special Issue, including this one, have followed this model; Cheruvilil *et al.* (2014) provide guidance and examples of authorship and data sharing policies that can be adapted for use by others.

### *Distribute and document the data management workload*

Agreements and documentation for managing and distributing project data can help improve participant satisfaction and can potentially improve the speed of publication. Information management includes the management of project data and metadata, paper writing, administrative communication, workshop planning, timelines,

Peer-reviewed publication is a well-recognized way for intellectual contributions to an effort to be acknowledged (Table 1), and publication and authorship of results may be the most contentious aspect of collaboration (eg Smalheiser *et al.* 2005). The value of publications across the lifetime of the collaboration may vary (eg papers published early in the research project may be more data-intensive, and cited less often, than broader synthesis

Metric objective	Highest and high weight		Moderate to low weight	
	Outcome	Evaluation	Outcome	Evaluation
<b>Research scholarship</b>				
Knowledge generation	First-authored publication, graduate student publication (lead), PI as co-author	Impact factors, citations	Co-author publication	Impact factors, citations
Funding success	Grants as lead PI	Impact by content and competitiveness of program	Grants as co-PI	Impact by content and competitiveness of program
<b>Intellectual and administrative leadership</b>				
Academic leadership	Organization leadership	Administrative roles in organizations		
Disciplinary leadership	Scientific society leadership	Role and prestige of organization		
Mentoring and training	Graduation of advisee's graduate students	Number of students graduated	Serving on graduate committees	Number of committees served

Ensuring a fair balance of costs and benefits among participants may require individuals to give up some benefits to help others within the team balance their costs. Individuals with longer time frames for accruing benefits may be more likely to (or can be encouraged to) cede immediate benefits to individuals with shorter planning windows, which can result in net benefits to the collaboration as a whole. For instance, lead authorships may be less important for more senior researchers, especially those in tenured positions. Changes in institutional culture are also necessary to encourage optimum functioning – and scientific success – of interdisciplinary teams. Tenure and hiring committees and proposal reviewers must recognize that some costs borne by individuals participating in interdisciplinary collaboration, particularly costs associated with publication, are balanced by other benefits that may not be easily measured. Given the critical need for expanded credit for interdisciplinary research, we propose a set of measures for more fully evaluating individual and team success.

Professional success in academic research careers (hiring, pay raises, promotion and/or tenure, and funding) often hinges on two measures (Table 1): the number of grants secured and dollars awarded as a principal investigator (PI; Shapiro 2006) and peer-reviewed publications (with lead investigator and first authorship being valued most; Adam 2002). A key problem is that many of the contributions of team members in collaborative research are not adequately reflected in these two traditional measures of success (Figure 2). Under many funding structures, only one scientist can be the lead PI on an interdisciplinary collaborative grant. Although this sole designation is a practical measure (so that funding agencies can communicate with the team more efficiently), PI status is often interpreted as sole intellectual leadership. It is also a practical matter that primary authorship cannot be ascribed to multiple team members. The conflation of practical/administrative and intellectual contributions and these narrow perceptions of career-based success (and commensurate rewards) could therefore easily sabotage the quality and output of the science produced by interdisciplinary teams.

Figure 1 consists of two diagrams, (a) and (b), illustrating the research ecosystem.

(a) A network diagram showing interactions between six entities: Funding agencies, Institutions, Researcher, Post doc, MSc, and Journals. The entities are represented by blue circles. Red arrows indicate the flow of interactions. Funding agencies have a red arrow pointing to Researcher. Institutions have a red arrow pointing to Researcher. Researcher has a red arrow pointing to Post doc. Post doc has a red arrow pointing to MSc. MSc has a red arrow pointing to Journals. Journals has a red arrow pointing to Researcher. There is also a red arrow from Researcher to Funding agencies.

(b) A diagram showing the relationship between Funding agencies, Institutions, Publication outlets, Public, Policy makers, and Interdisciplinary collaboration. Funding agencies and Institutions are represented by blue rectangles containing three blue circles each. Publication outlets are represented by a blue rectangle containing three blue circles. Public and Policy makers are represented by blue circles. Interdisciplinary collaboration is represented by a yellow oval containing several blue circles and squares. Red arrows indicate the flow of interactions. Funding agencies have a red arrow pointing to Institutions. Institutions have a red arrow pointing to Publication outlets. Publication outlets have a red arrow pointing to Public. Public has a red arrow pointing to Policy makers. Policy makers have a red arrow pointing to Interdisciplinary collaboration. There is also a red arrow from Interdisciplinary collaboration to Funding agencies.

to recognize interdisciplinarity in tenure evaluations; and those that adopt a broader view of merit, including more aspects of collaborative research, are likely to increase the probability of successful careers, promotion, and retention of scientists in the system. Such a broad view is consistent with the reality that answering relevant questions in ecology (and science in general), and securing funding to do so, increasingly demands interdisciplinary teams. One way to reward science conducted by teams would be for more professional societies to honor entire teams instead of individuals (eg the Nobel Peace Prize that was awarded to the Intergovernmental Panel on Climate Change in 2007 and the American Institute of Biological

**Table 2. Expanded metrics to evaluate individuals and teams conducting interdisciplinary collaborative research**

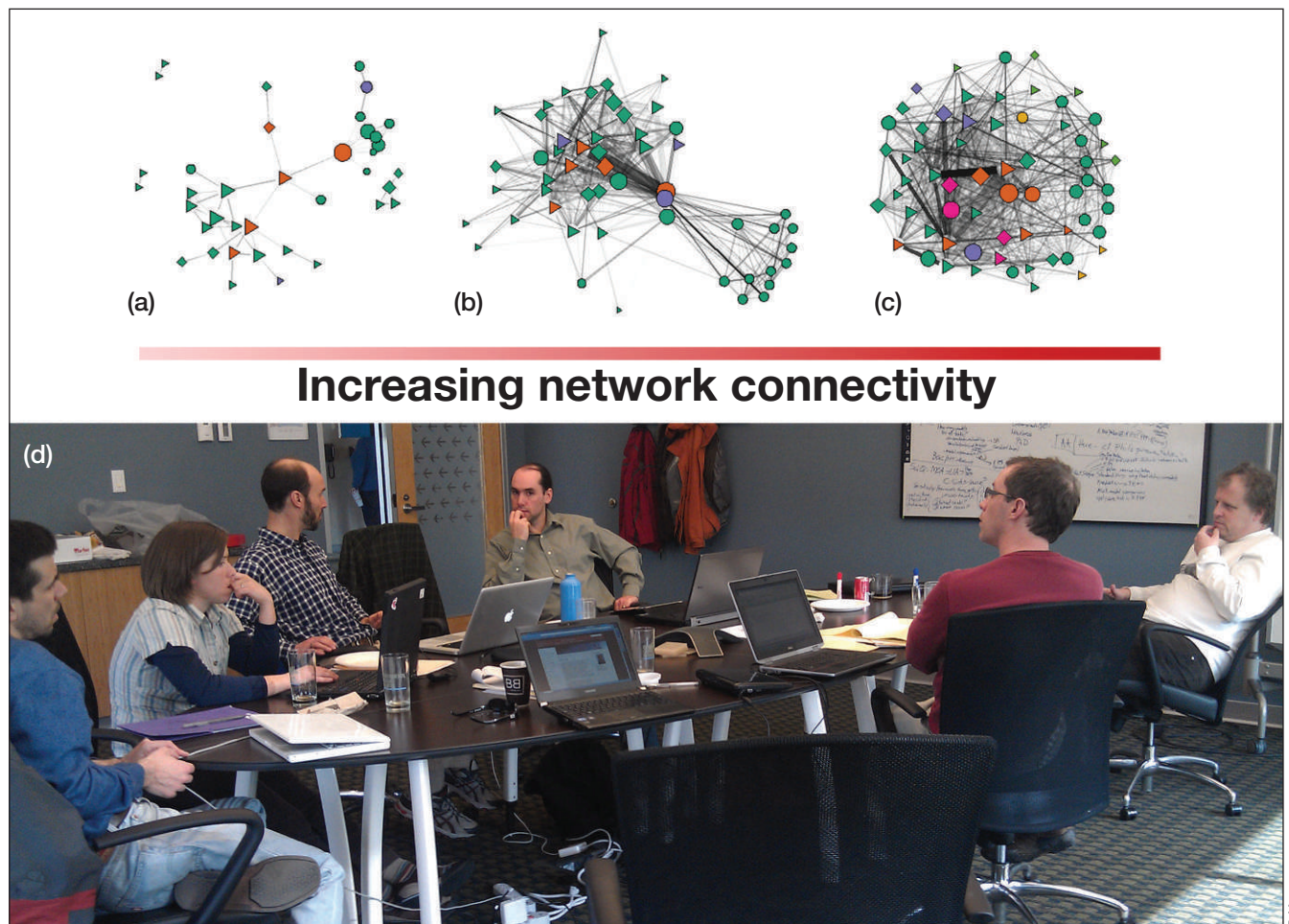
Metric objective	Individual metrics		Team metrics	
	Outcome	Evaluation	Outcome	Evaluation
Research scholarship				
Knowledge generation	Lead or co-lead as defined by authorship statement	Impact factors, altmetrics (cf Piwowar 2013), citations	Number of team publications (regardless of authorship)	Impact factors, altmetrics, citations, except that weighting for interdisciplinary publications should be weighted more highly due to (generally) lower citation rates
	Co-authorship		Publications with interdisciplinary co-authorship	
	Graduate student publication with PI as co-author		Publications in interdisciplinary journals	
Funding success	Grants as lead or co-PI	Impact measured by content and competitiveness of program	Number and breadth of team-related grants	Impact measured by the individual role, even if not co-PI
Policy and management outcomes	Change in agency or governmental management or practice	Quantitative indication of the number or extent of changes based on research; qualitative description of the nature and extent of change	Participation in decision making process	As in individual metrics
	Participation in decision-making processes		Knowledge sharing	
	Direct application of science in management			
Data and product creation	Dataset publication	Impact based on re-use, citations, altmetrics, or in data utility for policy (see above)	All datasets and secondary products	As in individual metrics
	Software or code development and dissemination			
Team functioning, leadership, and training				
Interdisciplinary broker*	Facilitation of interactions across disciplines	Qualitative assessment		
Stakeholder or partner broker	Facilitate interactions with stakeholders and partners outside of the team	Qualitative assessment		
Public outreach				
Dissemination of research knowledge	Broader outreach	Radio, print, blog, video outputs for the public	All team contributions	As in individual metrics
Notes: *denotes an individual who is able to bridge knowledge or approaches across disciplines.				

Sciences award for Distinguished Scientists bestowed on the LTER in 2010). Broader recognition and valuation of collaborative outcomes could ultimately result in improved institutional success in attracting faculty members, increased extramural funding, greater institutional stature, and, most important, encouraging the best scientific research.

Members of interdisciplinary collaborations should be evaluated both on individual performance, using key measures, and on overall team performance, including publications in journals outside of their disciplinary silo. In this

way, contributions to leadership, data management, and other essential but “intangible” outcomes can be evaluated as part of the overall team success (Table 2). Because interdisciplinary work relies on output from all team members, the success of individuals in obtaining project-related funding, publishing project-related papers, and training students (for example) is dependent on overall team performance. As such, evaluation of an individual's direct contribution may overlook the role the individual played in supporting the collaboration through activities such as organizing and/or leading workshops, training





**Figure 3.** The network diagrams displayed here indicate increasing connectivity among members of the NSF-funded PaleON project over the course of 3 years, (a) prior to project initiation, based on publication records; (b) following the second PaleON workshop, based on publication and informal interactions; and (c) projected following completion of the PaleON project grant. In panels (a) and (b) the team structure relies strongly on one or few individuals and thus may be less resilient to conflict. Symbols indicate investigator discipline (triangles: paleoecologists; diamonds: statisticians; circles: ecosystem modelers); colors are used to highlight a diversity of career stages and project roles. Increased connectivity in interdisciplinary research projects can improve project resilience but relies on frequent interactions, such as face to face meetings, that require planning and coordination (d).

individuals from other disciplines, and developing interdisciplinary dialogue.

Many underappreciated and underutilized measures of success could be used to value collaborative output, and many existing measures can be broadened to help highlight the role of individuals in large team efforts (Table 2). The major categories of success for a research project are defined here as (1) Research scholarship, (2) Team functioning, leadership, and training, and (3) Public outreach. For each of these outcomes it is possible to assign value to both individual and team outcomes, which may be weighted differently but should be valued nonetheless.

### Research scholarship

Broadening what is considered research scholarship beyond publications and grant dollars will benefit both science and society. As the pathways between society and

scientists become more diffuse, the forum for discussion moves from academic corridors and into the public sphere, resulting in greater public participation both in the applications and implications of modern ecological research (Gibbons 1999); thus, broader impacts beyond traditional publication metrics become critical. Measures for research scholarship (Table 2) can include data creation and policy outcome indicators, as well as both team and individual outputs. Creating useful databases, statistical analyses or code, merging and synthesizing diverse data streams, and working with natural resource managers and policy makers are other activities that are not traditionally viewed as research productivity yet are important components of modern interdisciplinary research. Some of these research outcomes fall into the “broader impact” criteria described by the NSF, but even with the support of funding agencies, academic culture is slow to respond to these opportunities (Frodeman *et al.* 2013; Nadkarni and Stasch 2013).

and award of individual scientists should consider the following:

- (1) Acknowledge and reward activities critical to the success of collaborative science, such as database creation and management, public outreach, and mentoring.
- (2) Recognize that there can be large transaction costs associated with initiating interdisciplinary research that may limit productivity of the individual, at least in the short term.
- (3) Recognize that all authors on multi-authored publications have made substantial contributions to the research, and that being one of 10 authors is not necessarily one-tenth the effort of being a sole author. All authors need to be credited and recognized for their contributions. As such, honorary co-authorship should be discouraged (Greenland and Fontanarosa 2012).
- (4) Recognize that many successful research careers are no longer defined by single-discipline research, grants, and publications, and that such measures should not have primacy if interdisciplinary, collaborative research is to mature successfully and sustainably.

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