

BlueSphere Cybersecurity for Registered Investment Advisers

Protecting Client Trust, Simplifying Compliance

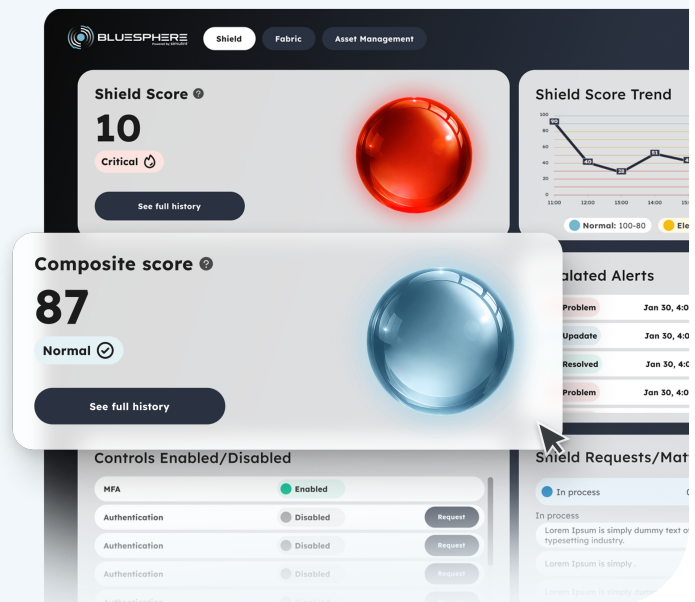
Every breach erodes client confidence and invites regulatory scrutiny. Simulint equips independent and mid-market investment advisers with enterprise-grade defense and audit-ready governance, so you can protect client data, preserve fiduciary trust, and meet SEC/FINRA expectations with confidence.

How Simulint's BlueSphere™ Platform Helps

Simulint delivers a co-managed or fully managed unified platform that integrates 24x7 cyber defense, IT operations, and audit-ready governance into a single, adviser-focused ecosystem.

BlueSphere Shield Managed Cyber Defense

- 24x7 SOC monitoring across endpoint, identity, email, cloud, and network.
- Threat hunting and intelligence aligned to RIA-specific risks and FINRA/SEC guidance.
- Analyst-led incident handling, data breach response, and regulator notification support.
- Identity and email protection, phishing simulation, and staff security training.





BlueSphere Fabric Managed IT Operations

- Patch and configuration management aligned to CIS and NIST baselines.
- Vulnerability and asset management with defined remediation SLAs.
- Backup, BC/DR operations with recovery testing and documented runbooks.
- Endpoint, mobile, and collaboration platform hardening for secure hybrid work.
- Controls mapped to NIST CSF 2.0, SEC/FINRA cybersecurity guidelines, and GLBA.
- Vendor risk management, risk registers, and policy sets tailored for advisers.
- “Audit Binder” reporting for internal compliance officers, boards, and regulators.

By partnering with Simulint, RIAs gain

- ✓ Always-on defense scaled to co-manage with IT/security teams or fully manage.
- 🔍 Audit confidence with pre-mapped controls and one-click evidence packages.
- 📁 Reduced vendor sprawl — one portal, one SLA, one team.
- 💬 Predictable pricing aligned to adviser and client-device counts.
- 🔄 Outcome-based operations with measurable KPIs (e.g., time-to-contain, patch compliance, phishing failure rates).
- 👥 Education & client outreach: co-branded cybersecurity awareness materials and board/partner briefings to elevate cybersecurity maturity.

Advisory Services



vCISO/vCIO/vCTO Interim and Fractional Support



DevSecOps Interim and Fractional Support



M&A Technology Due Diligence & Post Merger Integration

Why Simulint?



Mission-driven:
leveling the playing field for independent advisers and fiduciaries.



Proven model:
named vCISO/vCTO, 30/60/90-day maturity roadmap, monthly ops reviews.



Transparent pricing: aligned to adviser and client-device counts.



Recognized partner:
advancing cybersecurity resilience in the RIA and wealth management ecosystem.

Protect your clients, your fiduciary duty, and your firm's future.

Contact Simulint to learn how BlueSphere can help your advisory practice stay secure, audit-ready, and future-proof.

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