

Disclosure Statement

Last updated: 01 May 2025
FSP Number: FSP1009885
Issued by Jillian Wealth Limited

Licensing information:

Jillian Wealth Limited is a licensed Financial Advice Provider under the Financial Markets Conduct Act 2013. We hold a Class 2 licence issued by the Financial Markets Authority (FMA). You can view our registration on the Financial Service Providers Register: <https://fsp-register.companiesoffice.govt.nz>
Registration number: FSP1009885

Nature and Scope of Advice

Jillian Wealth provides personalised advice focused solely on KiwiSaver. Our financial advisers help clients make confident, informed decisions about their retirement savings, guided by their individual goals, values, and circumstances.

We offer advice on KiwiSaver schemes from a range of providers, including (but not limited to):

- NZ Funds KiwiSaver Scheme
- Milford KiwiSaver Plan
- Generate KiwiSaver Scheme
- Pathfinder KiwiSaver Plan
- Booster KiwiSaver Scheme

If you're interested in a provider outside this list, we're happy to explore that with you.

While we may touch on how tax or estate planning could impact your KiwiSaver, we'll refer you to a qualified specialist if advice is required.

Fees and Expenses

Generally speaking, there is no charge for the KiwiSaver advice we provide. However, in certain circumstances there may be an application fee from your KiwiSaver balance. The exact fees will be confirmed before advice is provided to you. We are remunerated by providers who pay us an upfront fee along with an ongoing commission.

Conflicts of Interest and Incentives

Jillian Wealth does not have any preferential terms (beyond those available to the wider market) or performance agreements with any KiwiSaver provider that would influence our advice.

We are not required to meet any sales targets or place business with specific providers. Our advice is based solely on what is best for you.

We may receive trail commissions from KiwiSaver providers for clients who take our advice. From time to time, we may also receive benefits such as small gifts or event invitations. These are not linked to individual client outcomes.

To ensure your interests always come first:

- We follow a structured advice process based on your needs
- We maintain a register of any conflicts of interest, incentives, gifts, and hospitality
- Our advisers undertake annual training on how to identify and manage conflicts

Our Advice Process

Our goal is to build long-term, trusted relationships through quality advice. Our process typically includes:

- Understanding your goals, values, and financial position
- Gathering relevant information and discussing your expectations
- Analysing your current KiwiSaver setup
- Developing and presenting written, tailored advice
- Supporting implementation, should you choose to proceed
- Monitoring and reviewing your KiwiSaver to keep it aligned with your goals

Complaints and Disputes Resolution

If you are not satisfied with our service, please get in touch:

Email: ella@jillianwealth.co.nz

Phone: 021 2446 880

We will acknowledge your complaint and aim to resolve it within 10 working days. If more time is required, we will keep you updated.

If we cannot resolve your complaint, you can contact the Financial Dispute Resolution Scheme (FDRS) — a free, independent service.

Financial Dispute Resolution Scheme (FDRS)

Level 4, 142 Lambton Quay, Wellington 6011 Phone: 0508 337 337 Email: enquiries@fdrs.org.nz Website: www.fdrs.org.nz

Reliability History

Jillian Wealth and its advisers have no record of disciplinary action, regulatory concerns, or any matters that would affect our ability to provide financial advice.

Duties Information

Jillian Wealth and its advisers are bound by the duties outlined in the Financial Markets Conduct Act 2013 and the Code of Professional Conduct for Financial Advice Services. We are committed to:

- Prioritising your interests when giving advice
- Exercising care, diligence, and skill
- Meeting standards of competence, knowledge, and skill
- Meeting ethical and client care standards

You can find out more at www.fma.govt.nz

Handling of Client Funds

Jillian Wealth does not handle client funds or operate a trust account. All payments or contributions are made directly to your chosen KiwiSaver provider.

Professional Indemnity Insurance

We hold Professional Indemnity Insurance to cover errors, omissions, or breaches of duty, including privacy-related matters. This policy is reviewed annually to ensure appropriate cover.

Contact Information

Jillian Wealth Limited
100 Midgley Road, RD1 Mangonui, Northland
Phone: +64 21 2446 880
Email: ella@jillianwealth.co.nz
Website: jillianwealth.co.nz