HPT Group

UBO & Compliance Filing Services

Transparent Governance. Jurisdictional Compliance. Strategic Risk Management.

WWW.HPTGROUP.COM
12 RUE LE CORBUSIER, 1208 GENEVA, SWITZERLAND

Overview

In today's global regulatory landscape, UBO (Ultimate Beneficial Owner) disclosures and compliance filings are not just procedural formalities—they are legal imperatives.
Regulators worldwide have tightened rules surrounding beneficial ownership, anti-money laundering (AML), and cross-border tax transparency. Jurisdictions now require timely and accurate disclosure of the individuals who ultimately control or benefit from offshore structures.
At HPT Financial Group, we simplify the process—ensuring full compliance while protecting your privacy through strategic structuring and correct filing in every relevant jurisdiction.

1. UBO Declaration Services

Who Must File:

UBO filing requirements apply to all legal entities, including IBCs, foundations, trusts, and partnerships, in jurisdictions such as BVI, Seychelles, Cayman Islands, Marshall Islands, UAE (RAK ICC), and EU-compliant offshore hubs.

What HPT Does:

- Identify and verify UBOs per FATF & OECD standards
- Prepare and submit the UBO register to competent authorities
- Implement privacy-preserving nominee and fiduciary structures, where permitted
- Ensure confidentiality through legally accepted layered holding setups

Legal Grounds:

UBO filings are guided by:

- FATF Recommendations
- EU 5th & 6th AML Directives
- OECD CRS & BEPS frameworks
- Local UBO Registers under laws like BOSS (BVI), Beneficial Ownership Act (Seychelles), or ESR filing (UAE)

HPT ensures your structure remains legally compliant while strategically optimized.

2. Economic Substance & Compliance Filings

As part of international efforts against base erosion and profit shifting (BEPS), many jurisdictions have implemented Economic Substance Regulations (ESR).

What We Handle:

- Initial ESR notification filings
- Annual substance declarations
- Preparation of supporting reports and local substance audits (if required)
- Classification and exemption evaluations (e.g., Holding Company, IP Company, Distribution Business, etc.)

HPT leverages its local agents and legal counsel in BVI, Cayman, RAK ICC, and Seychelles to file your ESR, UBO, and annual returns accurately and on time.

3. Ongoing Compliance Services

HPT offers a compliance-as-a-service model. This includes:

- Annual renewal of registered agents/offices
- Timely UBO and ESR filings
- Maintaining up-to-date statutory registers (directors, members, charges)
- Compliance calendar alerts and regulatory updates
- KYC/AML file maintenance in accordance with FATF standards

We maintain a compliance file for each client entity—including all resolutions, due diligence, filing receipts, and certificates—auditable and retrievable anytime.

4. Confidential Structuring with Compliant Filing

While regulators require beneficial ownership transparency, HPT designs legally sound structures to offer layers of confidentiality:

- Use of nominee directors/shareholders (where allowed)
- Segregated trust or foundation ownership
- Lawful use of corporate shareholders in tax-neutral jurisdictions
- Multijurisdictional holding company frameworks

Our goal is not just to file correctly, but to design smartly—preserving privacy, enabling tax efficiency, and avoiding red flags that trigger audits or de-banking.

5. Jurisdictions Covered

HPT provides UBO and compliance services in:

- British Virgin Islands (BVI)
- Seychelles
- Cayman Islands
- Marshall Islands
- RAK ICC (UAE)
- Bahamas
- Nevis
- Ireland (LP filings)
- Hong Kong and Singapore (RO & SFC compliance)

Each jurisdiction has unique laws. Our partners on the ground ensure local compliance is met in full.

Why Choose HPT for UBO & Compliance Filings

✓ Trusted by family offices, HNWIs, and international advisors
✓ Legal oversight from jurisdiction-specialist attorneys
✓ Fully digital compliance monitoring dashboard (on request)
✓ Coordination with auditors, tax advisors, and banking partners
✓ Avoid late penalties, license revocations, or blacklisting

Conclusion

UBO and compliance filings are no longer optional. In a world governed by information sharing, your ability to maintain a legally compliant offshore structure hinges on precise disclosures and strategic setup.
HPT Financial Group empowers clients to remain compliant—without compromising on confidentiality, efficiency, or control.

We don’t just file. We protect.

Legal Filing Highlights:

Jurisdiction	UBO Register Requirement	Public Access?	Economic Substance Required	Annual Filing?
BVI	Yes (BOSS)	No	Yes (depending on activity)	Yes
Seychelles	Yes	No	Yes	Yes
Cayman Islands	Yes	No	Yes	Yes
RAK ICC (UAE)	Yes	No	Yes	Yes
Marshall Islands	Yes (confidential)	No	Yes	Minimal
Hong Kong	Yes (SCR)	No	No	Yes
Singapore	Yes (RBO)	No	No	Yes
United Kingdom	Yes (PSC Register)	Yes	No	Yes