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# Challenges Arising From Digitalising Health Records

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# Introduction

Healthcare around the globe has seen a gradual movement towards community-based care, especially with the rise of an ageing population and the need to provide for those with chronic diseases. As such, technological innovations have been developed and implemented to allow the health of an individual to be longitudinally tracked over the course of their lifetime to ensure continuity of care and improvement of preventive healthcare, among other benefits.

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Malaysia has been no exception to this trend in its efforts to put in place a system that digitalises health records. Historically, the nomenclature for Malaysia's envisioned system has varied. In this article, we use the term "electronic health record" (EHR) to refer to "a digital, longitudinal record of patient health that can be shared between multiple healthcare providers and facilities". We will briefly summarise the potential benefits of EHRs, and focus on outlining some of the possible pitfalls and risks of EHRs to inform Malaysia's journey towards digitalising the nation's health records.

#### The Benefits of EHRs

We have addressed the benefits of EHRs extensively in previous writing.<sup>2</sup> Most of these benefits stem from the advantages of having a centralised database of patient data in a digital format, so that data can be processed and read in useful ways by different stakeholders.

At a macro level, healthcare administrators have more information to manage patient flow between large hospitals and community/primary healthcare providers, and to recommend digital consultations if appropriate. With an EHR system housing updated and comprehensive patient data, it is also possible to conduct health screening campaigns targeted at patient populations vulnerable to specific health conditions to catch developing diseases at early stages.

At the patient level, having access to their medical history and health data empowers them to monitor their health and make more informed decisions. An integrated data sharing system across healthcare facilities also eliminates expensive duplicative testing when patients move between different facilities. Another way that an EHR supports patient empowerment is through automated reminders for follow-up appointments and taking medication at scheduled times, which can help significantly in improving and maintaining patient health.

All these lead to desirable outcomes of saving cost, promoting continuity of care, and improving preventative services, at the levels of population and patient care. A well-maintained EHR also forms the foundation for data analytics and machine learning for further applications such as epidemic detection and improving medical diagnostics, which rely on robust and large datasets.

#### **Potential Risks of EHRs**

While the benefits of EHRs are many, it is important to recognise challenges that will arise with the introduction of a centralised database of sensitive health data and a digital layer onto the already complex healthcare system.

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 $<sup>^{1}</sup>$  Ilyana Mukhriz (2023); (2021). These earlier works provide some background understanding on digital health records in the Malaysian context.

<sup>&</sup>lt;sup>2</sup> Ibid.

# Security and privacy risks

The digital infrastructure of critical systems is a lucrative target for cybercriminals. As healthcare facilities share information and data across devices and third party vendors, there are multiple points of vulnerability that can be exploited by attackers that are often (but not always) motivated by profit. In recent years, there have been multiple ransomware attacks on the digital infrastructure of hospitals, whereby criminals conduct a "double extortion" on hospitals.<sup>3</sup>

The first point of blackmail happens by capturing and restricting access to data and hospital systems, which can lead to life and death situations<sup>4</sup>. The second point exploits the fact that healthcare data is sensitive and valuable, and criminals threaten to release the data into the public domain unless their demands are met. A particularly chilling example of ransomware happened to a psychotherapist centre in Finland, involving a third dimension of extortion beyond the usual. Cybercriminals did not only target the facility, but also individual patients, threatening to publish personal identity codes and therapy session transcripts if they did not pay the ransom.<sup>5</sup>

The Ministry of Health in Malaysia has acknowledged that ransomware attacks might be a concern once the country builds an integrated health database system.<sup>6</sup> Data breaches are not uncommon in Malaysia, which ranks 11<sup>th</sup> in the most breached countries in the world<sup>7</sup>. Ransomware attacks have also affected Malaysian companies, as seen in the November 2022 attack on AirAsia, in which the data of five million passengers and AirAsia staff were compromised<sup>8</sup>. Incidentally, Daixin Team, the group claiming responsibility for the AirAsia attack, also has a history of attacking healthcare businesses in the US.<sup>9</sup>

Besides guarding against data breaches and malicious cyberattacks, it is important that healthcare data is insulated from commercial exploitation. The Code of Practice for Private Hospitals in the Healthcare Industry in Malaysia<sup>10</sup> requires private hospitals to obtain additional consent if patient data is used for non-medical purposes, such as for direct marketing activities. However, it is also stated within the Code of Practice that anonymised personal data can be kept "for research, education, or for uses that does not require identifiable information" without restrictions on data retention so long as data subjects are not identifiable.<sup>11</sup>

<sup>&</sup>lt;sup>3</sup> Winder (2020)

<sup>&</sup>lt;sup>4</sup> Collier (2021)

<sup>&</sup>lt;sup>5</sup> Tuttle (2021)

<sup>&</sup>lt;sup>6</sup> Bernama (2017)

<sup>&</sup>lt;sup>7</sup> In an analysis of global data breaches by cybersecurity firm SurfShark, see Murugiah (2022)

<sup>&</sup>lt;sup>8</sup> Yuen (2022)

<sup>9</sup> Fam (2022)

<sup>&</sup>lt;sup>10</sup> The Code of Practice for Private Hospitals in the Healthcare Industry was developed by the Association of Private Hospitals in Malaysia (APHM) with the assistance of the Personal Data Protection Commissioner, and applies to all private healthcare facilities who are licensed as Private Hospitals under the Private Healthcare Facilities & Services Act 1998, ("PHFSA" (Act 586)). According to Section 29 of the Personal Data Protection Act (2010), data users who violate the Code of Practice shall, on conviction, be liable to a fine not exceeding RM100,000 or to imprisonment for a term not exceeding one year or to both.

<sup>&</sup>lt;sup>11</sup> Association of Private Hospitals of Malaysia (nd)

Elsewhere, researchers have raised ethical concerns on private companies acquiring databases of healthcare systems, even when anonymised, as there is a danger of that data being traceable back to the individual. Vulnerable populations can be targeted by those with commercial interests, such as exposing recovering addicts to triggering advertisements, or charging higher insurance premiums to those who have medical conditions. Stringent data governance will have to accompany an EHR to safeguard the population against such violations.

## System design and implementation issues

A study interviewing 31 medical doctors in three Malaysian government hospitals<sup>13</sup> uncovered some themes on unsafe use of hospital information systems (HIS)<sup>14,15</sup> relevant to our discussion on EHRs. Factors that contributed to higher error rates that affect patient safety include the following:

- *Lack of capacity in using the HIS*: This applied especially for new doctors who did not understand how the system worked, and how to integrate the use of HIS in the healthcare delivery process.
- System quality in terms of usability and reliability: An example of a usability problem is that patient data was spread out in different pages of the HIS interface, causing delays and increasing the likelihood of confusing patient identities. Doctors also related that system breakdowns forced them to do the work manually, causing problems in patient data entry and critical tasks such as ordering medication.
- High workload, multitasking and interruptions: These were cited as common stressors in the doctors' work that increased the likelihood of erroneous data entry and information processing.
- Insufficient computer resources: In some clinics and wards, doctors had to share computers and laptops, causing delays and double work in data entry, as notes had to be taken by hand first and then entered into the system later.
- *Teamwork and coordination:* The HIS did not ensure seamless coordination and clear communication within the medical team, leading to problems such as multiple ordering of medication, or wrong treatments based on outdated information within the system.

The factors above inform us about the Malaysian context in which EHRs will be applied, providing a preview of potential problems that might happen in local healthcare facilities when adopting digital technologies. Studies connecting EHRs and patient safety have been conducted outside of Malaysia, and appear to confirm some of the anticipated pitfalls.

 $<sup>^{\</sup>rm 12}$  Chiruvella and Guddati (2021)

<sup>&</sup>lt;sup>13</sup> Lizawati Salahuddin et al. (2019)

<sup>&</sup>lt;sup>14</sup> According to Aniza Ismail et al. (2010), a Hospital Information System (HIS) "is a comprehensive, integrated information system designed to manage the administrative, financial and clinical aspects of a hospital". In contrast, EHR focuses on healthcare records only and is patient-centric. EHR can constitute as one of the modules of an HIS, for further details see Ilyana Mukhriz (2021).

<sup>&</sup>lt;sup>15</sup> For further context, the systems in the three hospitals within the study by Lizawati Salahuddin et al. were total hospital information systems (THIS) that integrates various hospital subsystems such as a laboratory information system (LIS), a pharmacy information system (PIS), a radiology information system (RIS), and a picture archiving communication system (PACS)

For example, in an analysis <sup>16</sup> of EHRs and possible patient harms, some identified issues include seemingly innocuous matters that could lead to grave outcomes, such as a decimal point being removed from a dosage entry, or incorrect vaccination or medication codes being recorded. In another US-based study, researchers found that clinicians spent more time performing EHR-related tasks than with patient care, due to poor system design <sup>17</sup>. Different studies have also connected EHRs with physician burnout, which indirectly affects patient care. <sup>18</sup>

On the other hand, in a study that analysed 1.74 million reported patient safety events in two major US databases<sup>19</sup>, only 0.03% (557 cases) had language explicitly suggesting that the EHR usability contributed to patient harm. This appears to indicate that in the bigger picture, patient safety issues connected to EHRs are minimal. At the same time, a study comparing primary care settings using paper records with those who were using EHR systems found that primary care practices using EHRs showed significantly higher rates of having workflows, policies and practices that promote patient safety.<sup>20</sup>

In general, the existence of EHRs does not automatically lead to better and safer outcomes for patients. EHRs are able to enhance healthcare delivery only when they are designed and implemented properly. As Malaysia embarks on its EHR journey, more empirical research needs to be done to monitor and evaluate that the process of digitalising healthcare does not compromise patient care.

## Inequalities in access and literacy

The usage of EHRs will require healthcare providers and patients to undergo a digital transition, exposing inequalities in access and literacy in the fields of healthcare and communications. Even though the Covid-19 pandemic had expedited this process, some indicators show that the transition does not happen at an equal pace across the population.

To begin with, the quality of digital infrastructure within different states in Malaysia differs, as indicated by the Network Performance Reports published by the Malaysian Communication and Multimedia Commission<sup>21</sup>,<sup>22</sup>. Different providers of wireless and wired broadband services in different parts of the country yield uneven quality of service, and therefore a nation-wide digitalised health records system needs to take into account disparities in access.

<sup>&</sup>lt;sup>16</sup> Conducted on EHRs registered with the US Department of Health and Human Services' Office of the National Coordinator (ONC), by Pacheco, Hettinger, and Ratwani (2019)

<sup>&</sup>lt;sup>17</sup> Alami et al. (2022)

<sup>&</sup>lt;sup>18</sup> In a survey of 585 primary care residents and faculty across various states in the US, 85% of the surveyed indicated that use of the EHR affected their work-life balance, especially those who spent time using the DHR after work hours. See more in Robertson, Robinson, and Reid (2017); Kroth et al. (2019)

<sup>&</sup>lt;sup>19</sup> Reports were retrieved from the Pennsylvania Patient Safety Authority database, which collects reports from 571 health care facilities in Pennsylvania, and from a large multihospital academic health care system in the mid-Atlantic, outside of Pennsylvania. See more in Howe et al. (2018)

<sup>&</sup>lt;sup>20</sup> Tanner et al. (2015)

<sup>&</sup>lt;sup>21</sup> Accessible at <a href="https://www.mcmc.gov.my/en/resources/reports/qos-network-performance-reports">https://www.mcmc.gov.my/en/resources/reports/qos-network-performance-reports</a>

<sup>&</sup>lt;sup>22</sup> Muhammad Nazhan Kamaruzuki (2021)

In terms of actual usage of the internet for health-related purposes, the ICT Use and Access by Individuals and Households Survey (ICTHS) 2021<sup>23</sup> published by the Department of Statistics Malaysia (DOSM) provides some idea of usage patterns across different states (See Table 1). For example, there is a significant difference in percentage points in individuals using the internet to seek health information between the most active state (Terengganu, at 91.5%) and the least active state (Sarawak, at 57.9%). For making medical appointments online, the disparity is even worse (Terengganu at 75.5% compared with Perlis, at 11.9%).

Table 1 Percentage of individuals using the internet by state and type of e-health activity in 2021

| State             | Seeking health information | Making a medical appointment |
|-------------------|----------------------------|------------------------------|
| Terengganu        | 91.5                       | 75.5                         |
| Kedah             | 87.6                       | 22.8                         |
| Negeri Sembilan   | 81.8                       | 24.8                         |
| Sabah             | 79.9                       | 47.8                         |
| W.P. Labuan       | 79.3                       | 49.7                         |
| Kelantan          | 77.7                       | 63.3                         |
| Johor             | 75.7                       | 41.7                         |
| Selangor          | 74.7                       | 56.5                         |
| Melaka            | 74.6                       | 29.3                         |
| W.P. Putrajaya    | 71.4                       | 31.3                         |
| Perak             | 71.1                       | 37.2                         |
| Perlis            | 70.9                       | 11.9                         |
| Pulau Pinang      | 66.2                       | 22                           |
| W.P. Kuala Lumpur | 65.6                       | 43.7                         |
| Pahang            | 63.5                       | 38.6                         |
| Sarawak           | 57.9                       | 39.2                         |
| Malaysia          | 73.8                       | 43.5                         |

Source: DOSM, 2022

Another observation that arises from the ICTHS 2021 data is that populations may be comfortable using the internet for certain purposes and not others. For instance, Kedah with its high percentage of users who seek health information online (87.6%) does not have a comparably high percentage of users who use the internet to make medical appointments (only 22.8%). This indicates that the provision of digital health infrastructure is just the first step – the next challenge lies in getting populations on board in the various services provided so that an EHR can fulfil its range of potential benefits.

<sup>&</sup>lt;sup>23</sup> DOSM (2022)

#### Conclusion

As seen during the Covid-19 pandemic, embracing technology within the healthcare landscape is integral to ensuring that Malaysia's people continue to be protected against current and future health challenges. In the process of digitalising healthcare records, minimising risks and unintended consequences on patient lives, the healthcare system, and society in general needs to be of the utmost priority.

As Malaysia is still in early stages of implementing a full-fledged EHR, the country is well-placed to proactively address issues that may arise from this digital transition. Stringent data security and data governance measures have to be set in place to counter security and privacy risks. We need to design the system to be inclusive, thoroughly test it for usability issues and incorporate low-tech backup protocols. This is to reap the full range of benefits of EHRs, as the country closes its digital divide in the years to come.

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