

FACTS

WHAT DOES DUCERE WEALTH MANAGEMENT, LLC (“DWM”) DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and date of birth
- Assets and account balances
- Income and net worth information

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons DWM chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does DWM share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We do not share share
For our affiliates’ everyday business purposes— information about your transactions and experiences	No	We do not share share
For our affiliates’ everyday business purposes— information about your credit worthiness	No	We do not share share
For nonaffiliates to market to you	No	We do not share share

Questions?

Call (949)418-7118 or go to <https://www.ducerewealth.com>

Who we are

Who is providing this notice?

Ducere Wealth Management, LLC

What we do

How does DWM protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. We also maintain other physical, electronic and procedural safeguards to protect this information.

How does DWM collect my personal information?

We collect your personal information, for example, when you

- Open an account or enter into an investment advisory contract
- Give us your income information or provide employment information
- Tell us about your investment or retirement portfolio or give us your contact information

We also collect your personal information from other companies

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under certain state law

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *DWM does not have affiliates and therefore do not share your information with any affiliates so they can market to you.*

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *DWM does not share with non-affiliates so they can market to you.*

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *DWM does not jointly market.*

Other important information

Information for California, North Dakota and Vermont Customers

In response to applicable state law, if the mailing address provided for your account is in California, North Dakota, or Vermont, we will automatically treat your account as if you do not want us to disclose your personal information to non-affiliated third parties for purposes of them marketing to you, except as permitted by the applicable state law. Nevada residents may request to be placed on DWM's "do not call" list at any time by calling us at (949)418-7118. Nevada law requires that we provide you with the following contact information for the Bureau of Consumer Protection: Office of the Nevada Attorney General, 555 E. Washington St., Suite 3900, Las Vegas, NV 89101; Telephone: (702) 486-3132; Email: BCPINFO@ag.state.nv.us. To learn about additional privacy right protections by Ducere Wealth Management, please contact us at (949) 418-7118.