

RAJOMON

Rajomon Wholesale Growth Fund



Wholesale Application Form

Rajomon Wholesale
Master Trust

31 March 2024

TRUSTEE: RAJOMON CAPITAL LIMITED

ACN 600 237 625 | AFSL 465 579

INVESTMENT MANAGER AND ADMINISTRATOR:
RAJOMON ASSET MANAGEMENT PTY LTD

ACN 654 721 643 AUTHORISED REP NO. 001303714

of AFSL 465 579

Application Form

Rajomon Wholesale Growth Fund

Use this application form if you wish to invest in the Rajomon Wholesale Growth Fund ("the Fund").

The Information Memorandum (IM) for the Fund is dated 30 September 2024 includes information about the purchasing of Units in the Fund. Any person who gives another person access to the Application Form must also give the person access to and IM and any incorporated information. You should read the IM and any incorporated information before completing this Application Form.

The Trustee of the Rajomon Wholesale Master Trust of which the Fund are constituted is Rajomon Capital Limited ("RCL") ACN 600 237 625, AFSL 465579.

Customer identification

If you are a new Investor, you are also required to complete the relevant Customer Identification requirements depending on what type of Investor you are e.g. individual or superannuation fund. The Customer Identification requirements are available at rajomon.com.au

Australia's Anti-Money Laundering and Counter Terrorism Financing (AML/CTF) legislation obliges us to collect identification information and documentation from prospective Investors.

Investors are required to complete this Application Form together with the relevant Customer Identification requirements and submit these to us with the required identification documentation. We will not be able to process your application without a correctly completed Customer Identification documentation.

Contact details and submission

Mail your completed Application Form and identity verification documents to:

Rajomon Capital Limited
PO BOX 61, South Melbourne, 3205

If you have any questions regarding this form or the required Customer Identification requirements, please contact the Administration Manager on (+61) 0427 886 178

Checklist

Before sending us your application please ensure you have:

- ☐ completed this form in full;
- ☐ for new investments, completed the relevant 'Customer Identification Form' requirements;
- ☐ completed section 9 ensuring ALL bank account signatories have signed; and
- ☐ read the declaration and provide all relevant signatures and identification documents required for all signatories.

Application Form

PLEASE USE BLOCK LETTERS AND BLACK INK TO COMPLETE THIS APPLICATION FORM

1. INVESTMENT DETAILS

Is this a new investment or an additional investment?

- ☐ New Investment → please proceed to section 2
- ☐ Existing investment
- Existing account name
- Existing account number

Please proceed to section 5 – any information provided here will override any previously provided information.

2. INVESTOR TYPE

- ☐ Individual investor or joint investors - also complete 'Customer Identification requirements - Individuals and Sole Traders'
- ☐ Sole Trader - also complete 'Customer Identification - Individuals and Sole Traders'
- ☐ Superannuation Fund - also complete 'Customer Identification - Superannuation Funds and Trusts'
- ☐ Trust - also complete 'Customer Identification - Unregulated Trusts and Trustees'
- ☐ Company - also complete 'Customer Identification - Companies'
- ☐ Other - contact the Administration Manager on (+61) 0427 886 178 for other Customer Identification requirements.

Each of the Customer Identification requirements are available at www.rajomon.com.au

3. INVESTOR NAME

3A Individual investor/joint investor/sole trader

Investor 1

Surname

Given Name(s)

Title (Mr/Mrs etc.)

Date of Birth

Investor 2

Surname

Given Name(s)

Title (Mr/Mrs etc.)

Date of Birth

3B SUPERANNUATION FUND/TRUST/AUSTRALIAN COMPANY/OTHER

Name of Entity

Applicable regulatory number (SFN/ARSN/ABN/ACN)

3C ACCOUNT DESIGNATION

Provide the name of the person or account name for whom the investment is being made (if applicable).

Please note we do not accept investments from people under 18 years of age; however, investments may be designated on their behalf. The trustee is only obliged to take instructions from the entities named in either 3A or 3B and will not act on any instructions from those nominated in 3B.

Application Form (cont.)

4. CONTACT DETAILS – FOR ALL CORRESPONDENCE

Contact person

Mailing address

Unit Number

Street Number

Street name

Suburb

State

Post Code

Phone

Email

5. TAX INFORMATION

It is not against the law if you choose not to give your TFN or exemption reason, but if you decide not to, tax may be taken out of your distributions at the highest marginal tax rate (plus Medicare levy).

Investor 1/Entity TFN

Investor 2 TFN.

Exemption

Exemption

ABN

6. INVESTMENT ALLOCATION

Insert amount and investment option for investment

\$

Deposit Funds to:

Rajomon Capital Limited ATF Rajomon Wholesale Master Trust

National Australia Bank

BSB: 083 004

Account number: 429 944 389

7. PREFERENCES

Distributions - please tick your preferred distribution method - if distribution instructions are not provided distributions (net of any tax withheld) will be reinvested.

Reinvest ☐Bank into nominated account ☐

Transaction Correspondence - please indicate your correspondence preference -

To the email address in section 4 ☐To the mailing address in section 4 ☐

Annual report - please advise if you wish to receive and annual report

YES digital by email ☐YES paper copy by traditional mail ☐NO Annual Report required ☐

8. NOMINATED BANK DETAILS

This will be the bank account we credit any withdrawal proceeds and/or distributions if you requested these to be paid to you and not reinvested. By providing your nominated account details in this section you authorise RCL to use these details for all future transaction requests that you make until notice is provided otherwise.

Financial Institution/Bank Name

Account Name

BSB

Account number

9. Declaration and Applicant's Signature(s)

Please read the declarations below before signing this form. The signatures required are detailed at the bottom of this Application Form.

I/we declare that:

- all details in this Application Form and all documents provided are true and correct and I/we indemnify RCL against any liabilities whatsoever arising from acting on any of the details or any future details provided by me/us in connection with this application;
- I/we have received a copy of the current IM and all information incorporated into the IM to which this application applies and have read them and agree to the terms contained in them and to be bound by the provisions of the IM (including the incorporated information) and current Trust Deed of the Fund (each as amended from time to time);
- I/we have carefully considered the features of the Fund as described in the current IM (including its investment objectives, minimum suggested investment timeframe, risk level, withdrawal arrangements and investor suitability) and, after obtaining any financial and/or tax advice that I/we deemed appropriate, am/are satisfied that my/our proposed investment in the Fund is consistent with my/our investment objectives, financial circumstances and needs;
- I/we have legal power to invest in accordance with this application and have complied with all applicable laws in making this application;
- I/we have received and accepted this invitation to subscribe for units in the Fund in Australia and represent and warrant to RCL that I/we are permitted to invest in the Fund without RCL obtaining any further authorisation, registration or certification in any country other than Australia and agree to indemnify RCL for any loss suffered if this warranty is untrue;
- the details of my/our investment can be provided to the adviser group or adviser nominated by me/us by the means and in the format that they direct;
- if this application is signed under Power of Attorney, the Attorney declares that he/she has not received notice of revocation of that power (a certified copy of the Power of Attorney should be submitted with this application unless we have already sighted it);
- sole signatories signing on behalf of a company confirm that they are signing as sole director and sole secretary of the company;
- I/we acknowledge that if RCL reasonably believes an email or facsimile communication it receives is from me/us RCL is entitled to rely on that email or facsimile communication and will not be liable for any loss it may suffer if it is later found the email or facsimile communication was fraudulent.
- unless alternative authority for signature is notified to and accepted by RCL, the person/persons that signs/sign this form is/are able to operate the account on behalf of the company and bind the company for future transactions, including in respect of additional deposits and withdrawals, including withdrawals by telephone and fax;
- I/we acknowledge that I/we have read and understood the information under the headings 'Privacy' in the relevant IM. I am/we are aware that until I/we inform RCL otherwise, I/we will be taken to have consented to all the uses of my/our personal information (including marketing) contained under that heading and I/we have consented to my/our financial adviser providing such further personal information to RCL as is required or reasonably deemed necessary by RCL under applicable law;
- I/we understand that if I/we fail to provide any information requested in this application form or do not agree to any of the possible use or disclosure of my/our information as detailed on the IM, my/our application may not be accepted by RCL and we agree to release and indemnify RCL in respect of any loss or liability arising from its inability to accept an application due to inadequate or incorrect details having been provided;
- I/we acknowledge that none of RCL, or any other member of RCL or any custodian or investment manager, guarantees the performance of the Fund or the repayment of capital or any particular rate of return or any distribution;
- I/we are bound by the Trust Deed of the Fund and that an application for Units is binding and irrevocable;
- I/we have not relied on statements or representations made by anybody, other than those made in the IM;
- I/we agree and acknowledge no cooling off period applies and I/we have had the opportunity to seek independent professional advice on subscribing for Units;
- I/we agree and acknowledge RCL is required to comply with the anti-money laundering laws in force in a number of jurisdictions (including the Anti-Money Laundering and Counter-Terrorism Financing Act 2006, the Foreign Account Tax Compliance Act (FATCA) and the Common Reporting Standard (CRS)) and I/we must provide RCL with such additional information or documentation as RCL may request of me/us, otherwise my/our Application for Units may be refused, Units I/we hold may be compulsorily redeemed, and any disposal request by me/us may be delayed or refused and RCL will not be liable for any loss arising as a result thereof;
- I/we have provided a tax file number, and if not, I/we consent to RCL withholding tax at the highest marginal tax rate wherever applicable;
- I/we acknowledge and agree to having read and understood the risks of investing in the fund as described in the IM and understand that the risks associated with the Fund's investments may result in lower than expected returns or the loss of my/our investment;

I/we also warrant and acknowledge that:

- All information contained in my/our Application is true and correct;
- I/we are not a Politically Exposed Person (PEP) as defined by the AMUCTF legislation;
- I/we am/are not a United States citizen or resident of the United States for tax purposes, nor am/are I/we subject to the reporting requirements of FATCA;
- if the Applicant is a SMSF, it is compliant and investing in this Fund complies with the Superannuation Industry Supervision Act 1993 (Cth); and I/we hold the appropriate authorisations to become an Investor in the Fund and that offer cannot be revoked.

9.Declaration and Applicant's Signature(s) (cont.)

Signatory 1 _____ (signature) Date _____
 Surname _____
 Given name(s) _____

Capacity Director ☐ Company Secretary ☐ Trustee ☐

Signatory 2 _____ (signature) Date _____
 Surname _____
 Given name(s) _____

Capacity Director ☐ Company Secretary ☐ Trustee ☐

Future Signing Authority - please indicate signing instructions for future transactions –

Only one required to sign ☐
 All signatories must sign ☐

PLEASE NOTE: All signatories must provide verification of signature (e.g. copy of driver's license or passport) in addition to any identification documents required.

SIGNATORIES

The table below provides guidance on completing the Declaration and applicant(s) signature's section of the application form. Before signing the application form please ensure you have read the declaration.

Please note all signatories are also required to provide all Customer Identification requirements considered suitable by RCL.

Type of investor	Names required	Signature required	TFN/ABN
Individual/Joint investors	Full name of each investor (please do not use initials).	Each individual or joint investor	Each individual or joint investor
Sole Trader	Full name of sole trader and business name (if any).	Sole trader	Sole trader
Company	Full company name as registered with the relevant regulator; and name of each director of the company.	Sole director; or Two directors; or One director and company secretary	Company's
Superannuation Fund/Trust	Full superannuation fund/trust name (e.g. John Smith Pty Ltd ATF John Smith Pty Ltd Super Fund); and full name of the trustee(s) in respect of the superannuation fund/trust. Where the trustee is an individual, all information in the 'Individual and Sole Traders' section must be completed. If any of the trustees are an Australian company, all information in the 'Australian company' section must also be completed; and Names of beneficiaries.	All trustees (if Individual trustees) or as per Company for a corporate trustee.	Superannuation fund's or trust's

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Rajomon Asset Management Pty Ltd
PO BOX 61, South Melbourne, 3205

Email info@rajomon.com.au
Web www.rajomon.com.au