Forward Group
Personal Information Form

Welcome to Forward Group and thank you for enquiring about our services.

This Form needs to be completed by beneficial owners, shareholders, directors, controllers, beneficiaries, settlors and protectors/ enforcers of any entities that are in receipt of any administration services from Forward Group.

A: Your Details			
A1: **Title**		A2: **Forenames**	
A3: **Surname**			
A4: **Previous names (if any)** e.g. maiden names or from deed poll changes.			
A5: **Full Principal Residential Address** Please include house name/number/plot; street name/number; town/ city; postal code and country and will be considered your principal residence for tax purposes.			
A6: **Email**			
A7: **Home Telephone**		A8: **Mobile Telephone**	
A9: **Date of Birth**		A10: **Town of Birth**	
A11: **Country of Birth**		A12: **Nationality**	
A13: **Principal Occupation** Please be specific rather than using generic terms such as 'Company Director'.			
A14: **Employer Name**			
A15: **Employer Address**			
A16: **Any other additional information**			

B: Additional Details

B1: **Politically Exposed Person ("PEP") connections**

Do you, an immediate family member or close associate, hold or have previously held, any public function including, but not limited to, the positions listed opposite?

If you have ticked any of the above, please provide full details of the position or appointment.

Guidance Note on PEPs is available here:
https://forwardjersey.com/download/guidance-note-peps/

- ☐ Head of State or of Government
- ☐ Political, Governmental, Judicial or Military Position
- ☐ Executive of a State-Owned Corporation
- ☐ Executive of an International Institution (IMF/EU/UN etc.)
- ☐ Other Government or Civil Service Appointment

Please provide details:

B2: **Please list any countries where you have business interests or other significant assets, not previously mentioned above.**

This is to ensure that Forward Group can meet its' procedural and regulatory obligations to identify any direct or indirect exposure to high risk or sanctioned jurisdictions that may otherwise not be apparent.

B3: **Have you ever been, or are you currently, under investigation, pending charges, or involved in pending or current litigation in any of the following legal matters, in any jurisdiction:**

- any regulatory or governmental sanction;
- insolvency or bankruptcy proceedings;
- disqualification from acting as a director; or
- arrested, charged or convicted in relation to any criminal offence*.

*other than for minor traffic offences that did not result in a license suspension or a custodial or suspended custodial sentence.

- ☐ Yes
- ☐ No

If yes, please provide details including resultant sentence and dates:

C: Additional Details Required for Shareholders, Beneficial Owners and Controllers

This section needs to be completed by any individual who is providing funding to the structure in terms of equity investment, as a beneficial owner or controller or lender.

Individuals completing this Form in their capacity as a director of a client entity, but who are not introducing monies into a structure administered by Forward Group, may omit this section.

C1: Source of Wealth

Source of Wealth describes how you, or your family, have generated your total net worth. You should include as much detail as possible, while avoiding the use of generic terms, such as 'inheritance' or 'family business'.

Guidance Note on Source of Wealth and Source of Funds is available here: https://forwardjersey.com/download/guidance-note-sow-sof/

C2: Source of Funds

Please provide details of where the specific funds being invested into the entities with which you are associated have been derived.

C3: Estimated net worth

Please provide a rough indication of your total net worth.	

D: Individual Self-Certification for FATCA / CRS

Tax regulations require us to collect information about your tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we will be obliged to share certain information about your account/s with the States of Jersey Income Tax Authority.

In general, you are tax resident where you are liable to taxes, based on where you live and work permanently although different jurisdictions have different rules in relation to tax residency. If you have any questions about your tax residency, please contact your tax advisor.

Please note that any US citizens are considered tax resident in the US irrespective of their actual country of residence. If you have indicated a US place of birth or have otherwise been a citizen of the US but have formally renounced your citizenship, please provide us with the official documentation confirming this.

D1: **Are you a US Green card holder?**	☐ Yes ☐ No
Country of Tax Residency	**Tax Identification Number ("TIN")** TIN, UTR, National Insurance number or equivalent.
D2:	D2a:
D3:	D3a:
D4:	D4a:
D5: **Country of Domicile for Taxation** If different from tax residence D2 above.	
D6: **Tax residence** Date you became tax resident in the above country(ies).	

Declaration and Sign Off

I hereby confirm that:

- The above particulars are true and correct to the best of my knowledge, information, and belief;
- I have read and understood the Forward Group Terms of Business and I agree to be bound by the Terms which, for my ease of reference are located here: **Forward Terms and Conditions of Business**;
- I undertake to notify you within 30 days of any changes to the information provided in this Form;
- I have never been declared bankrupt in any part of the world or been a director or otherwise concerned in the management of a company which has been subject to an insolvent liquidation or judicial enquiry in any part of the world; and
- I consent to you disclosing the information contained herein to third parties, as appropriate, including relevant tax authorities.

E1: **Name**	
E2: **Signature**	
E3: **Date**	