

ACCESSION APPLICATION
to the Standard Agreement for the Provision of Brokerage Services and Nominee Holding
Services
of JSC “SY Investment Company”
for individuals/legal entities

Joint Stock Company «SY Investment Company», acting on the basis of License No. 3.1.1.261 dated January 08, 2026 for brokerage and dealer activities in the securities market with the right to maintain client accounts as a nominee holder, hereinafter referred to as the “Broker”, represented by, acting on the basis of, on the one part,

and

hereinafter referred to as the «**Client**», on the other part, hereinafter jointly referred to as the «**Parties**», and individually as a «**Party**», for the purpose of accession to the terms of the Standard Agreement for the Provision of Brokerage Services and Nominee Holding Services of JSC “SY Investment Company”, hereby submits this Application as follows:

Form to be completed by an individual or sole proprietor:

Surname, first name, patronymic (if available)			
Individual Identification Number (IIN) (if available)			
Type of activity (for sole proprietors)			
Date and place of birth			
Citizenship			
Tax residency			
Name of identity document, number, date and issuing authority			
Registration address			
Contact information	Mobile phone:		Email:
	☐ use the specified mobile phone number for confirmation of transactions in the trading platform of JSC “SY Investment Company		

Form to be completed by a legal entity:

Legal form and name of the legal entity	
Business Identification Number (BIN)	
Information on state registration (re-registration) of the legal entity	
Chief Executive Officer (position, full name)	
Registered address	
Actual address (if different from the registered address)	
Contact details: Telephone Mobile phone Email	

☐ *The specified mobile phone number and/or e-mail address may be used for SMS confirmation of transactions on the trading platform.*

Acting on: (information about the representative)

on its own behalf and in its own interests	
on behalf and in the interests of:	
Surname, first name, patronymic (if available)	
IIN (if available)	
Date and place of birth	
Citizenship	
Name of identity document, number, date and issuing authority	
Acting on the basis of (<i>specify the type of document, number/series, date and issuing authority</i>)	

1. The Client, by signing this Accession Application, in accordance with Article 389 of the Civil Code of the Republic of Kazakhstan, declares its full and unconditional consent and intention to accede to the Standard Agreement for the Provision of Brokerage Services and Nominee Holding Services (hereinafter referred to as the “Standard Brokerage Agreement”) published on the website of Joint Stock Company “SY Investment Company” (hereinafter referred to as the “Broker”) at: www.syinvest.kz and undertakes to comply with all of its terms and conditions.
2. Accession to the terms of the Standard Brokerage Agreement may be carried out electronically using:

☐	<i>electronic digital signature — for a Client that is a legal entity or an individual</i>
☐	<i>biometric or dynamic identification means — for a Client that is an individual</i>

3. The Client confirms that:

- the Client has reviewed the terms of the above-mentioned Agreement, the regulations governing activities in the securities market, service conditions, and tariffs published on the above-mentioned official website of the Broker, agrees with them and with the remuneration payment terms, accepts them in full, and undertakes to comply with them. After submission of this Accession Application, the Client shall not have the right to refer to the fact that it (its authorized persons) was not acquainted with the Agreement or does not recognize its binding nature in relations with JSC “SY Investment Company”;
- the Client has been informed of all risks associated with transactions involving financial instruments and releases the Broker from any liability arising from such transactions, except in cases of direct violation of the provisions of the Regulations or the Standard Brokerage Agreement;
- the Client has been informed of the conditions regarding the possibility or prohibition of the Broker’s use of money and/or securities in the interests of other clients of the Broker on the terms of urgency, payment, and repayment;
- the Client undertakes to sign a supplementary agreement, if it agrees thereto, determining the conditions for the use of money and securities in the interests of other Clients of the Broker, as well as the amount of remuneration payable for granting the use of its money and/or securities to another Client of the Broker;
- the Client confirms that it has reviewed the Broker’s tariffs published on the Broker’s website at www.syinvest.kz, and selects one of the following tariff plans:

☐	<i>“Standard” Tariff;</i>
☐	<i>“Online Platform” Tariff;</i>
☐	<i>“Advisory (Global Macro)” Tariff;</i>
☐	<i>Individual Tariffs.</i>

- The Client confirms agreement with the selected tariff, accepts its terms in full, and undertakes to pay the Broker commission remuneration and other expenses payable by the Client in the manner, within the timeframes, and on the terms provided for by the Standard Brokerage Agreement and the relevant tariff plan.

- When the Client selects individual tariffs, the terms of commission remuneration and/or the procedure for reimbursement of the Broker's expenses shall be determined and formalized in a separate written document (supplementary agreement) signed by the Broker and the Client, which shall constitute an integral part of the Standard Brokerage Agreement.
- The Client, if acting as a legal entity, consents to direct debit of commission amounts:

☐	«Agree»
☐	«Do not agree»

- The Client acknowledges awareness of the provisions of the Code of the Republic of Kazakhstan "On Marriage (Matrimony) and Family" regarding transactions made by one spouse involving disposal of jointly owned marital property and guarantees that, for the purposes of conclusion and performance by the Client of this Agreement, as well as execution of transactions pursuant to this Agreement, the Client has obtained the consent of the spouse (if applicable) (for individuals). The Client assumes responsibility for settlement of property disputes and property liability arising from such guarantees.
 - The Client confirms and guarantees the accuracy and completeness of the information provided in accordance with the Law of the Republic of Kazakhstan "On Personal Data and Their Protection" and grants the Broker consent to collect, process, and use personal data and other information provided within the framework of the requirements of the Standard Brokerage Agreement, and also grants unconditional and irrevocable consent to disclosure of the above-mentioned information about itself, including information constituting commercial and other legally protected secrecy in the securities market, to trading organizers, depositories, and custodians, as well as to disclosure of such information by the said recipients in accordance with the requirements of the legislation of the Republic of Kazakhstan, AIFC acts, and their internal documents;
 - The Client has been notified that payment of taxes and other mandatory payments to the budget shall be carried out independently by the Client in accordance with the applicable legislation of the Republic of Kazakhstan and AIFC acts, and that the Broker is not a tax agent.
 - The Client has been notified that the Broker publishes notices regarding all amendments and/or supplements to the Regulations and the Standard Brokerage Agreement on the website www.syinvest.kz at least 15 (fifteen) calendar days prior to the effective date of such amendments and/or supplements to the Regulations and the Standard Brokerage Agreement.
4. The Client shall have the right to disagree with amendments and/or supplements introduced to the Regulations and the Standard Brokerage Agreement. In such case, the Client undertakes to send by e-mail a written (signed) refusal of such amendments and/or supplements to the Regulations and the Brokerage Agreement within 10 (ten) business days from the date of receipt of notification of such amendments and/or supplements. Based on such refusal, the Broker shall initiate the procedure for closing the Personal Account in accordance with the terms and procedures of the Regulations and the Brokerage Agreement.

5. Method of receiving reports and other information (please select one option):

☐	<i>e-mail</i>
☐	<i>the online trading platform</i>
☐	<i>in person at the Broker's office</i>
☐	<i>by mail</i>

6. Method of submitting Client Orders (please select one or more options):

☐	<i>In original hard-copy form</i>
☐	<i>through the online trading platform</i>
☐	<i>By e-mail in the form of a scanned copy to the e-mail address info@syinvest.kz or to the e-mail address of the responsible employee of the structural subdivision servicing Clients</i>

7. Based on the above, the Client requests JSC “SY Investment Company” to open:

☐	Personal Account and nominee holder sub-account with JSC “Central Securities Depository”;
☐	a Personal Account with a bank/custodian/foreign settlement organization

8. Addresses, details, and signatures of the parties:

Broker

JSC “SY Investment Company”

BIN250140026156

Republic of Kazakhstan, A15E1Y3

Almaty, Bostandyk District,

52/2 Abay Avenue, 2nd floor, Office 201

Tel. +7 (727) 341-07-14

Bank: JSC “Alatau City Bank”

IIK: KZ35998CTB0001823779

BIC: TSESKZKA

Beneficiary Code: 15

Client

Address _____

IIN/BIN:

Tel.

Bank:

IIK:

BIC:

Beneficiary Code:

Client’s Signature (authorized representative): _____/...../
(M.P.) (for legal entities)

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The following information shall be completed by JSC “SY Investment Company” or its authorized representative	
Date of acceptance of the application (DD/MM/YY)	
Agreement Number:	
Personal Account Number:	
Position and full name of the employee of JSC “SY Investment Company” who accepted the document	Head of Middle Office Department
Signature	

Position and full name of the authorized person of JSC “SY Investment Company”	Chairman of the Management Board
Signature of the authorized person of JSC “SY Investment Company”	M.P.