«APPROVED»
by the Resolution of the Management Board
(minutes of the Management Board meeting No. П-17-04/1)
of JSC "SY Investment Company" dated April 17, 2026

STANDARD AGREEMENT
FOR THE PROVISION OF BROKERAGE SERVICES

and nominee holding services No. ______

Almaty «____» ________20__ .

Joint Stock Company "SY Investment Company", BIN 250140026156, acting on the basis of License No. 3.1.1.261 dated January 08, 2026, for conducting brokerage and dealer activities in the securities market with the right to maintain clients' accounts as a nominee holder, issued by the Agency of the Republic of Kazakhstan for Regulation and Development of the Financial Market, hereinafter referred to as the "**Broker**", represented by __________________________, acting on the basis of the Charter, on the one part,

and ____

hereinafter referred to as the "**Client**", represented by ____, acting on the basis of ______________________, on the other part, hereinafter collectively referred to as the "**Parties**", and individually as a "**Party**", guided by the legislation of the Republic of Kazakhstan, have entered into this Standard Agreement for the provision of brokerage services and nominee holding services in securities under the following terms.

1. BASIC TERMS USED IN THIS AGREEMENT

For the purposes of this Agreement, unless otherwise specified, the following definitions shall apply:

«Biometric identification» - means the procedure for establishing the identity of the Client for the purpose of unambiguously confirming their right to receive electronic services based on physiological and biological characteristics, considering the requirements of the Law of the Republic of Kazakhstan "On Personal Data and Their Protection";

«Broker's internal documents» ─ means documents regulating the terms and procedure of the Broker's activities, its bodies, structural subdivisions (branches, representative offices), employees, provision of services and payment procedures, including internal rules and regulations;

«Dynamic identification of the Client» - means the procedure for identifying the Client for the purpose of unambiguously confirming their right to receive electronic services by using a one-time (single-use) code or a reusable code. For the purposes of Client identification, dynamic identification uses the mobile phone number with the code of a mobile operator of the Republic of Kazakhstan;

«Legislation» – means the applicable legislation of the Republic of Kazakhstan, including the Constitution of the Republic of Kazakhstan, all laws, subordinate legislation, regulatory legal acts, including acts of the Authorized Body and the National Bank of the Republic of Kazakhstan.

«Accession Application to the Agreement» - means an application signed by the Client and constituting the Client's consent to accede to the Standard Agreement for the Provision of Brokerage Services and Nominee Holding Services in Securities (hereinafter referred to as the "Agreement") and unconditional acceptance of all its terms;

«Foreign Settlement Organization» - means an organization that is a non-resident of the Republic of Kazakhstan and complies with the requirements of paragraph 8 of the Rules for Conducting Brokerage and/or Dealer Activities in the Securities Market and the Procedure for Conducting Banking Operations by a Broker and/or Dealer, approved by Resolution No. 9 of the Board of the National Bank of the Republic of Kazakhstan dated February 3, 2014, and paragraph 2 of the Rules for Registration of Transactions with Issued Securities, Assignment of Rights of Claim under Obligations of Issuers on Issued Securities in the

Accounting System of the Central Depository, Provision of Statements from the Personal Account of a Registered Person in the Accounting System of the Central Depository and/or in the Nominee Holding Accounting System, and Provision by a Nominee Holder of Information on Clients whose Securities are Held in Its Nominee Holding to the Central Depository and the Issuer upon Request, approved by Resolution No. 210 of the Board of the National Bank of the Republic of Kazakhstan dated October 22, 2014.

«Client Order» ─ means a standard-form document submitted by the Client to the Broker containing an instruction to execute a transaction with financial instruments at the expense and in the interests of the Client under the terms specified in such order.

«Client Instruction» - means a standard form document submitted by the Client to the Broker containing an instruction to perform an operation on the Client's Personal Account within the framework of nominee holding services.

«Clearing (clearing activities related to transactions with financial instruments)» – means the process of determining, verifying, and transferring information on claims and/or obligations of clearing participants on a net and/or gross basis in relation to transactions with financial instruments.

«Conflict of Interest» ─ means a situation in which the interests of the Broker and the Client do not coincide.

«Client's Personal Account» - means an account of the Client opened in the Broker's internal accounting system and intended for recording the Client's securities and money.

«AIFC» - means the Astana International Financial Centre.

«Best Price» ─ means the best bid and ask prices available on the market

«Force Majeure Circumstances» ─ means any extraordinary circumstances beyond the reasonable control of the Parties to the Agreement, including but not limited to: military actions, natural disasters, mass disturbances, including prohibitive and restrictive legislative decisions of the state authorities of the Republic of Kazakhstan, governing bodies of self-regulatory organizations and/or Trading Organizers occurring after the execution of the Agreement and preventing full or partial performance of obligations under the Agreement, as well as any failures and disruptions in communication systems, transmission systems and computer equipment, interruptions in the operation of servicing banks, the Trading Organizer, the Central Depository, and other circumstances making it impossible to perform obligations under this Agreement, excluding bankruptcy or financial insolvency.

«Trading Organizer» ─ means a stock exchange or the central depository when carrying out organizational and technical support of trading through the operation and maintenance of a quotation system between clients of the central depository in accordance with the Law of the Republic of Kazakhstan "On the Securities Market" (hereinafter referred to as the "Securities Market Law").

«Business Day»:

- for monetary settlements – any day on which banks in the countries of issuance of the relevant foreign currencies conduct operations;

- for delivery of financial instruments – any day on which the depository registers rights to financial instruments;

- for all other cases – any day on which the Broker and the Client conduct their activities, except weekends and official public holidays in the Republic of Kazakhstan, respectively.

«Regulations» - means the Broker's internal document defining the procedures for conducting brokerage and dealer activities in the securities market of JSC "SY Investment Company", approved by the Broker's governing body;

«Broker's Trading Platform» - means a set of software and technical facilities of the Broker, including its information systems, ensuring the receipt of information on transactions with financial instruments in the trading system of a stock exchange and/or in the international securities market, on foreign exchange transactions (in terms of organizing exchange operations with non-cash foreign currency) in the trading system of a stock exchange, on the client's securities portfolio and other financial instruments, other information, as well as the provision of electronic services to the client;

«Authorized Body» — means the state authority responsible for regulation and supervision of the securities market.

«Deterioration of Financial Condition» — means non-compliance of the Broker's financial stability indicators with the criteria (prudential standards) established for brokers of the relevant category by the Legislation.

«Central Depository» - means a specialized non-profit joint-stock company carrying out the types of activities provided by paragraph 2-1 of Article 45 of the Law on the Securities Market.

«Financial Instruments» - means securities (including derivative securities) and other financial market assets defined by International Financial Reporting Standards.

«Economic Sanctions» - means any economic or financial sanctions, trade embargoes, or other prohibitions on transactional activities pursuant to anti-terrorism laws or export control laws, which may from time to time be imposed or enforced by the United States, the United Nations Security Council, the European Union, the United Kingdom, or the relevant governmental authorities of any of the foregoing, including, without limitation, the U.S. Department of the Treasury's Office of Foreign Assets Control (OFAC), the U.S. Department of State, the U.S. Department of Commerce, any other agency of the U.S. Government, His Majesty's Treasury, and any successors thereof.

«Electronic Services» - means services provided by the Broker to the Client through the Trading Platform for execution of trading operations, personal account operations and/or informational operations, as well as other services provided by the Broker in accordance with the Legislation and the Broker's internal documents through the Trading Platform.

2. SUBJECT OF THE AGREEMENT

2.1. This Agreement is an adhesion agreement (Article 389 of the Civil Code of the Republic of Kazakhstan) and shall be deemed concluded from the date the Broker accepts and signs the Client's Application for Accession to the Standard Agreement for the Provision of Brokerage Services and Nominee Holding Services (hereinafter referred to as the "Accession Application"), which constitutes an integral part of this Agreement (Appendix No. 2). The Application may be signed by the Client either in hard copy or electronically in accordance with the legislation of the Republic of Kazakhstan. By signing the Accession Application to the Agreement, the Client confirms that they have read, understood, and agreed to the terms of the Agreement and undertake to comply with them in a timely manner and in full.

2.2. The Agreement is available on the Broker's official website at www.syinvest.kz. By signing the Accession Application to the Agreement, the Client also confirms that neither the Client nor its representative is subject to any restrictions or sanctions measures and is not included in any sanctions lists in accordance with the jurisdiction of any country or international organization applicable to the above-mentioned persons and to the Broker (hereinafter referred to as the "Sanctions").

2.3. The Broker undertakes to provide financial market services consisting of execution of transactions with securities and other financial instruments on behalf of the Client and nominee holding thereof, foreign currency exchange operations (within the framework of conclusion and execution of transactions with financial instruments in the international market) or, subject to compliance with clause 14.6 of this Agreement, in accordance with the requirements of the Legislation and the Broker's internal documents, on the basis of client orders/instructions submitted by the Client (hereinafter referred to as the "Client Order/Instruction"), including within the territory of the Astana International Financial Centre (hereinafter referred to as the "AIFC"), and the Client undertakes to pay for such services.

2.4. For the purposes of this Agreement, brokerage services shall mean services for execution by the Broker of transactions involving financial instruments (purchase and sale of financial instruments in its own name (in the case of transactions on the organized securities market) or in the name of the Client (in the case of transactions on the over-the-counter securities market)), on behalf of and at the expense of the Client, as well as other operations and transactions with financial instruments and other activities in the securities market, including within the territory of the AIFC, and, where the Broker holds the relevant license of the Authorized Body, transactions for the purchase or sale of non-cash foreign currency under the terms and in the manner established by the Agreement, separate Provisions to the Agreement, and the Broker's internal documents.

2.5. Nominee holding services shall mean services provided by the Broker involving the performance, on behalf and at the expense of the Client, of certain legal actions, as well as the recording and confirmation of rights to securities and registration of transactions with securities of such Clients, including instructions of third parties in accordance with the legislation of the Republic of Kazakhstan and the AIFC Rules.

2.6. In accordance with Article 381 of the Civil Code of the Republic of Kazakhstan, this Agreement is a mixed agreement containing elements of a service agreement, commission agreement, and agency agreement. Under this Agreement, the Broker may act both in its own name at the expense of the Client and in the name and at the expense of the Client.

2.7. Under this Agreement, the Broker undertakes to provide the Client with brokerage services, as well as nominee holding services in respect of financial instruments and money belonging to the Client, including:

1) operations on the personal account: opening of a personal account, amendment of Client information, cancellation of financial instruments, debit/credit of financial instruments from/to securities holders' accounts/account, conversion of financial instruments, dematerialization of financial instruments, currency conversion, crediting of income received from the issuer on financial instruments, recording changes in the number of financial instruments on the Client's personal account, encumbrance and release of encumbrance of financial instruments, blocking and unblocking of financial instruments, entering and deleting records on a trust manager, and closing of the personal account;
2) storage of information contained in the nominee holding accounting system allowing establishment or restoration of the sequence of changes made to the Client's personal account;
3) execution of transactions in the primary and secondary securities markets in the name of the Broker, at the expense and in the interests of the Client;
4) provision of informational, analytical, and consulting services related to the sale and acquisition of financial instruments, subject to the requirements of clause 2.8 of this Agreement. Analytical and consulting services shall be provided to Clients who have selected and signed the relevant tariff schedule;
5) informational operations: issuance of statements from the personal account, reports on operations performed on the personal account, and other reports upon requests of securities holders, the central depository, issuers, and the authorized body;
6) voting at general shareholders' meetings on behalf of clients without the power of attorney in accordance with written instructions received from such clients, including through the use of information and communication technologies;
7) other types of operations in the nominee holding accounting system provided for by the applicable legislation of the Republic of Kazakhstan and the AIFC Rules.

2.8. Services for the provision of informational, analytical, and consulting services, including information required by the Client for making investment decisions and recommendations to the Client regarding transactions with financial instruments, shall be provided by the Broker for an additional fee and on the basis of a written supplementary agreement to this Agreement (or a separate agreement) regarding the provision of such services. At the same time, the Client makes investment decisions at its own risk, and the Broker shall not be liable for the consequences of such decisions made by the Client.

2.9. The Client undertakes to pay for the Broker's services rendered in accordance with Section 7 of this Agreement.

2.10. The procedure for opening the Client's personal account, execution of transactions with financial instruments, registration and execution of Client Orders/Instructions, accounting of Clients' financial instruments and money, and monitoring execution of transactions with Clients' financial instruments shall be governed by this Agreement.

2.11. The conclusion of this Agreement shall not result in the transfer to the Broker of ownership rights to the Client's financial instruments. Upon execution of this Agreement, the Client is not required to immediately deposit financial instruments or money into the accounts opened by the Broker.

2.12. The Broker shall provide services under this Agreement personally. For the purpose of protecting the Client's interests, the Broker may entrust the execution of transactions with financial instruments to another broker. Such entrustment by the Broker of the execution of transactions with financial instruments to another broker shall be carried out in accordance with the legislation of the Republic of Kazakhstan and the AIFC Rules.

2.13. Subject to compliance with clause 14.6, the relationship between the Client and the Broker in respect of provision to the Client of banking operation services and execution of foreign currency exchange transactions (in terms of exchange operations with non-cash foreign currency), including transactions related to currency swap operations in accordance with the internal documents of the stock exchange, at the expense and on behalf of the Client, shall be governed by separate Agreements to this Agreement in accordance with the requirements of the foreign exchange legislation of the Republic of Kazakhstan, the Broker's internal documents, and the Rules published on the Broker's official website at: www.syinvest.kz. Services related to the purchase or sale of non-cash foreign currency shall be provided by the Broker on the terms of full prepayment for the purchased currency or advance delivery of the non-cash currency sold in accordance with the internal documents of the stock exchange.

2.14. Accession to the terms of the Agreement may be carried out electronically using:

1) an electronic digital signature — for a Client that is a legal entity or an individual;

2) biometric or dynamic identification means — for a Client that is an individual, using the services of the Identification Data Exchange Center (hereinafter referred to as the "IDEC") for client identification purposes.

2.15. In the event of accession to the terms of the Agreement electronically, business relations with the Client shall be established remotely in accordance with the Requirements for Proper Due Diligence of Clients in Cases of Remote Establishment of Business Relations by Financial Monitoring Entities, approved by Resolution No. 140 of the Board of the National Bank of the Republic of Kazakhstan dated June 29, 2018, registered in the Register of State Registration of Regulatory Legal Acts under No. 17250. The terms and procedure for the Broker's identification of the Client upon conclusion of the Agreement electronically and remote establishment of business relations with the Client shall be determined by the Broker's internal documents and the Agreement on the Use of Electronic Services.

2.16. In the case provided for in subparagraph 2) of clause 2.14 of the Agreement, based on the Client's consent to the collection, processing, storage, and, where necessary, provision of their personal data to third parties, obtained through an identification tool, the Broker shall conduct a video conference session with the Client using the Client's devices and/or the Broker's devices. The substantive part of the video conference session (including the list of control questions), as well as the list and scope of services provided by the Broker during remote client identification, shall be determined independently by the Broker.

2.17. The procedure for the Client's use of the trading platform through internet trading using an electronic digital signature or dynamic client identification shall be governed by the Broker's internal documents and separate Agreements to the Agreement. Where necessary, the internal documents shall be made available by the Broker for review by publication on the Internet at: www.syinvest.kz. Services for access to and use of the trading platform shall be provided by the Broker for an additional fee and on the basis of separate Agreements to the Agreement regarding the provision of such services. By signing separate Agreements to the Agreement, the Client agrees to the internal rules and procedures for use of the trading platform. The Parties undertake to comply with the requirements established in the internal documents governing the use of the trading platform. The Broker shall have the right to unilaterally amend the internal documents and separate Agreements to the Agreement. The Broker undertakes to notify the Client of amendments made to the Broker's internal documents and separate Agreements to the Agreement within the time limits established by the legislation of the Republic of Kazakhstan.

2.18. Upon the Client's instruction, the Broker may execute purchase and sale transactions with financial instruments, settlement of which is carried out by the Broker using money or financial instruments provided by the Broker to the Client on the terms of repayment and payment (margin transactions). The terms of this Agreement shall not apply to transactions concluded on the terms of margin transactions and shall be governed by separate Agreements to the Agreement entered into by the Parties.

2.19. The brokerage agreement with the Client shall be concluded after the Broker has undertaken due diligence measures, provided for by the Law of the Republic of Kazakhstan "On combating legalization (laundering) of proceeds from crime, financing of terrorism and financing of the proliferation of weapons of mass destruction".

2.20. The provision of services by the Broker shall be governed by the provisions of this Agreement, the Broker's internal documents, the legislation of the Republic of Kazakhstan, the AIFC Rules, as well as the internal documents of professional participants of the securities market and banks participating in the conclusion, execution, and registration of transactions with financial instruments.

3. PROCEDURE FOR OPENING AND MAINTAINING ACCOUNTS, BROKERAGE ACCOUNT

3.1. From the date of signing the Accession Application to the Agreement, after receipt from the Client of the set of documents established by this Agreement and/or documents additionally provided by the Client at the Broker's request, the Broker undertakes within 3 (three) calendar days to:

- open for the Client a personal account or personal account(s) in the nominee holding accounting system, as well as a sub-account or sub-accounts of the Client in the accounting system of the central depository/custodian bank on the basis of the Instruction for Opening a Personal Account;

- where necessary, open personal accounts for the Client in the accounting system of AIX CSD and/or in a foreign settlement organization, provided that the Broker has the relevant access and in accordance with the rules and procedures established by such organizations, with or without disclosure of the Client's details, in accordance with the terms and procedures for opening such accounts established by those organizations.

3.2. Upon accession to this Agreement, the Client shall complete the Instruction for Opening a Personal Account in accordance with the Broker's internal documents and attach the documents required under this Agreement (Appendix No. 1). When opening the Client's personal account and sub-account, the Broker and the Client shall comply with the requirements of the legislation of the Republic of Kazakhstan and the AIFC Rules, as well as the internal documents of professional participants of the securities market and the trading organizer.

3.3. Upon opening the Client's personal account(s) and/or during performance of this Agreement throughout its validity period, the Broker shall have the right to request from the Client other documents necessary for the provision of services. The Broker shall have the right to unilaterally suspend performance of its obligations under the Agreement until the Client provides the documents requested by the Broker.

3.4. No later than the Business Day following the day of opening the personal account(s), the Broker shall send the Client a written notification of the opening of the personal account(s), indicating the number and date of opening of the personal account(s).

3.5. Financial instruments and money belonging to the Broker shall be accounted for separately from the financial instruments and money of its Clients. For these purposes, the Broker shall open separate personal accounts (sub-accounts) and bank accounts intended for separate accounting and safekeeping of financial instruments and money belonging to the Broker and its Clients in banks, branches of banks non-resident in the Republic of Kazakhstan, and/or the central securities depository, and/or with custodians (only for securities of foreign issuers), and/or in clearing organizations, and/or settlement organizations, and/or foreign settlement organizations.

3.6. The requirement specified in clause 3.5 of this Agreement shall not apply to the accounting and safekeeping of non-cash foreign currency belonging to the Broker and its Clients on the stock exchange, subject to compliance with clause 14.6 of the Agreement.

3.7. The Broker shall have the right to refuse the Client to open a personal account or to provide services thereunder by suspending the provision of services until the Client remedies the reasons for refusal or by terminating this Agreement in the following cases:

1) the Client has failed to provide or has provided incomplete documents required and requested by the Broker;
2) the Client has provided documents and information containing inaccurate information;
3) the documents and information provided by the Client do not comply with the requirements of the Broker's internal documents;
4) the Client fails to perform or improperly performs obligations under this Agreement;
5) the Client does not agree with amendments and/or supplements to this Agreement and notifies the Broker thereof in writing.

3.8. In the event that the Client, being an individual, intends to obtain the status of a qualified investor (if the Client is not deemed as such under the legislation of the Republic of Kazakhstan) and to execute transactions with financial instruments available only to qualified investors, the Client shall apply to the Broker with the necessary documents in the manner prescribed by the legislation of the Republic of Kazakhstan and the Broker's internal documents.

4. TERMS AND PROCEDURE FOR SUBMISSION AND EXECUTION OF CLIENT ORDERS/INSTRUCTIONS

4.1. All transactions/operations with the Client's financial instruments shall be executed exclusively on the basis of Client Orders/Instructions and applications signed by the Client and prepared in accordance with the standard forms established by the Broker's internal documents.

4.2. Submission by the Client of a duly completed and signed Client Order/Instruction shall constitute indisputable evidence of the Client's consent to conclude a transaction/execute an operation with the parameters specified in the relevant Client Order/Instruction.

4.3. The Client shall provide instructions to the Broker in the form of:

1) Client Orders/Instruction in the event of an intention to execute purchase/sale transactions with financial instruments, REPO transactions (or currency conversion for the purpose of settlements under transactions concluded in the international market);
2) Instructions for execution of operations with financial instruments and informational operations, prepared in accordance with the requirements of the Broker's internal documents.

4.4. The Client shall have the right to submit a Client Order/Instruction within the limits of the available balance and subject to full pre-depositing. The available balance may be calculated based on the personal account data or on the basis of information reflected in the Client's sub-account. The Broker shall have the right to consolidate in the Trading Terminal the available balance of money held in different bank accounts within the Client's personal account.

4.5. A Client Order/Instruction shall be submitted in the form established by the Broker's internal documents either in written (paper) form or electronically through the internet trading system using an electronic digital signature (EDS) or dynamic client identification. The Client shall have the right to submit a Client Order/Instruction in 1 (one) or 2 (two) original paper copies, one copy for each Party, or request the Broker to generate a copy of the Client Order/Instruction submitted by the Client.

4.6. The Broker permits additional methods for submission by the Client of Client Orders/Instructions (alternatively or cumulatively), namely through:

1) facsimile and/or electronic reproduction of signatures using mechanical or other copying of the Client's handwritten signature analogue;
2) telephone communication or video conferencing;
3) software for real-time exchange of text (voice) messages;
4) internet trading systems using EDS and/or dynamic client identification;
5) an electronic document or other electronic-digital form, including through the use of the SWIFT system, Bloomberg or Reuters information analytical systems, or other trading platforms (hereinafter referred to as "corporate means of communication") (where the Broker's Client acting as a nominee holder applies for electronic services);

The conditions for submission of orders through the above methods shall be established by this Agreement. The form and content of a Client Order/Instruction submitted through alternative or corporate means of communication shall comply with the requirements applicable to Client Orders/Instructions executed on paper.

4.7. Client Orders submitted to the Broker through alternative means of communication, except for subparagraphs 4) and 5) of clause 4.6 of the Agreement, shall be included by the Broker in the register of client orders transmitted through alternative means of communication. Such register shall be maintained separately for each Client of the Broker who has been granted the right to submit Client Orders/Instructions through alternative means of communication. The register shall be maintained for a period equal to one month and shall contain the date of receipt by the Broker of the Client Order/Instruction, the type of transaction to be executed on the basis of the order, and the means of communication through which the Client Order/Instruction was submitted by the Client. Upon completion of the reporting month in which the Client submitted Client Orders/Instructions through alternative means of communication, the Broker shall obtain the signature of the Client or its authorized representative on the said register. The signing of the register by the Client or its representative shall confirm the authenticity of the Client Orders/Instructions submitted by the Client or its representative through alternative means of communication.

4.8. The Broker shall have the right not to apply to the Client the requirements specified in clause 4.7 of the Agreement if the Client provides the original Client Order/Instruction within 5 (five) business days from the moment of its submission through alternative means of communication.

4.9. For the purposes of submission of Client Orders/Instructions through alternative and corporate means of communication, the Broker shall ensure interaction with Clients using telephone communication, e-mail, video conferencing, or software for real-time exchange of text (voice) messages, the subscriber numbers and/or e-mail addresses and/or domain addresses of which are registered in the name of the Broker, as well as using Bloomberg and Reuters information systems. Upon acceptance of a Client Order via telephone communication or video conferencing, the conversation with the Client or its representative authorized to submit Client Orders on behalf of the Client via telephone communication or video conferencing shall be recorded using audio equipment and special technical means.

4.10. Upon receipt of a Client Order/Instruction, the Broker shall verify the authority of the person who signed the Client Order/Instruction, including verification of signatures on Client Orders (in paper form) for compliance with the signatures indicated in a notarized specimen signature document (including representatives of a legal entity authorized to sign Client Orders), or in the identity document of the individual or its representative.

4.11. The Broker shall have the right to carry out additional verification of the Client. In the event discrepancies are identified in the Client's data, the Broker shall have the right to refuse acceptance and execution of the Client Order/Instruction until the Client Order/Instruction is submitted in written form or until the Broker receives written notification from the Client containing updated client profile information.

4.12. Confirmation of acceptance of the Client Order/Instruction for execution shall be evidenced by the Broker's mark on the original Client Order/Instruction (in paper form), and in cases where the Client Order/Instruction is submitted through the internet trading system using an electronic digital signature or dynamic client identification, a mark assigning the corresponding status shall be made by the Broker in the software used for submission of Client Orders/Instructions by such method.

4.13. The Broker shall have the right to suspend all operations on the Client's personal account in the following cases:

1) the Client has overdue obligations regarding signing the register of orders submitted through alternative means of communication for more than 5 (five) business days after the end of the month in which the Client Orders/Instructions were submitted;

2) the Client has overdue obligations regarding payment of commissions of the Broker and/or third parties ensuring execution and settlement of transactions, as well as custody of the Client's assets;

3) the Client failed to notify the Broker of changes to its details or replacement of its authorized representative prior to the Broker's receipt of an order via telephone communication (in which case the Client loses the right to dispute the submission and subsequent execution of the order by the Broker and to claim compensation for losses from the Broker).

4.14. Since the transmission of orders through alternative means of communication does not ensure adequate security, the Client assumes all and any risks associated with the use of such communication, including, but not limited to, transmission on behalf of the Client of erroneous or inaccurate Client Orders/Instructions, the possibility of unauthorized interference by third parties, including fraud, unauthorized or improper use of communication means resulting from accessibility of information due to hacking of computer (internet) networks, interception of telephone conversations by third parties, damage to telephone lines, or by any other means, etc.

4.15. For the purpose of transmitting Client Orders/Instructions, the Broker shall have the right to provide Clients with access to the internet trading system using an electronic digital signature and/or dynamic client identification after the Client signs the relevant Agreement with the Broker.

4.16. The form and content of a Client Order/Instruction submitted through the internet trading system using an electronic digital signature or dynamic client identification shall comply with the requirements applicable to Client Orders/Instructions executed on paper. The Broker shall have the right not to accept an electronic Order/Instruction if all required details and mandatory terms of the Client Order/Instruction are not specified during transmission thereof.

4.17. The procedure and conditions for the use of an electronic digital signature or dynamic client identification shall be governed by separate Agreements between the Broker and the Client.

4.18. A Client Order/Instruction executed on paper or submitted through any alternative or corporate means shall be accepted for execution by the Broker.

4.19. Prior to submitting a Client Order/Instruction or within the period established by the Broker, the Client shall transfer the amount specified in the Client Order or ensure the availability of a sufficient amount of money and/or quantity of financial instruments for execution of the Client Order. In addition, the Client shall ensure that the personal account contains an amount sufficient to pay the Broker's remuneration and commissions of third parties servicing the transactions/operations related to execution of the Client Order, including the central depository, trading organizer, counterparty, second-tier banks, custodian, settlement systems, etc.).

4.20. A Client Order shall be executed by the Broker in accordance with the procedure established by the Broker's internal documents within the period specified in the Client Order, considering the operating hours of the persons involved in execution of the Client Order.

4.21. The Broker shall accept Client Orders/Instructions for execution of transactions with financial instruments provided that the Client has sufficient funds denominated in any currencies.

4.22. The Broker shall, without additional consent, perform conversion of amounts in the Client's account into the relevant currency in order to ensure settlements under concluded transactions, as well as payment of remuneration, commissions, and expenses in accordance with this Agreement.

4.23. If, during execution of a transaction, it becomes necessary to amend the transaction terms (including partial execution of the Client Order/Instruction), the Broker shall coordinate its actions with the Client in order to obtain consent for partial execution and/or amendment or withdrawal of the previously submitted Client Order.

4.24. When submitting a Client Order for the purchase of financial instruments on KASE/AIX, the Client Order must be received by the Broker from the Client at least 30 minutes prior to the end of trading in the relevant type of financial instruments, and in the case of transactions on international securities markets, the Client Order must be received by the Broker from the Client no later than 18:00 Astana time on the day of execution of the transaction. Otherwise, execution of the Client Order shall be carried out on the next business day. If the validity period of the Client Order/Instruction is limited to one day, the Broker shall not be liable for non-execution of such Client Order.

4.25. Settlement periods for transactions in the international securities market do not depend on the Broker; therefore, delays in settlements are possible depending on the regulations of servicing counterparties, custodian banks, international exchanges, and other third-party organizations supporting the transaction.

4.26. In the event the Client submits a Client Order/Instruction for the transfer of financial instruments involving a change of ownership, the Broker shall have the right to request from the Client a document confirming the grounds for such transfer.

4.27. The Broker shall not accept or execute a Client Order/Instruction in the following cases:

1) where the content of the Client Order contradicts the legislation of the Republic of Kazakhstan on the securities market, the AIFC Rules, or this Agreement;
2) if the financial instruments in respect of which the Client Order has been submitted are encumbered, or if the quantity of financial instruments specified in the Client Order/Instruction exceeds the amount of such financial instruments available in the Client's account;
3) where the Client has insufficient funds to execute the submitted Client Order/Instruction;
4) where the specimen signature on the Client Order (in paper form) visually does not correspond to the signatures indicated in the notarized specimen signature document (including representatives of a legal entity authorized to sign Client Orders), or the identity document of an individual (including its representative), unless the Client Order/Instruction was signed by the Client in the presence of the Broker's responsible employee;
5) where it is impossible to identify the Client in accordance with the terms and procedures established by the Agreement and the Broker's internal documents when submitting a Client Order/Instruction through alternative means of communication;

4.28. The grounds for refusal by the Broker to accept a Client Order/Instruction established by subparagraph 4) of clause 4.27 of the Agreement shall not apply to Client Orders/Instructions submitted in the form of an electronic document or other electronic-digital form, including through the use of SWIFT, Bloomberg, or Reuters systems).

4.29. The Broker shall send the Client a notice of refusal to accept the Client Order/Instruction, indicating the reasons for non-execution of the Client Order/Instruction established by this clause, by mail and/or by courier delivery and/or by e-mail, and/or by other means of communication in the manner and under the terms established by this Agreement.

4.30. If a Client Order/Instruction (except for an Order/Instruction submitted using an electronic digital signature or dynamic client identification) has not been executed, the Broker shall, within 2 (two) business days from the date of non-execution, provide the Client with a report on the failed transaction or non-execution of the Client Order/Instruction indicating the reasons for non-execution.

4.31. A Client Order/Instruction may be cancelled by the Client prior to the actual conclusion of the transaction/execution of the operation by submitting a cancellation Client Instruction prepared in accordance with the standard form, which shall be immediately transmitted by the Client to the Broker through any available means of communication. In the event such cancellation Client Instruction is transmitted by e-mail, the Client shall provide the Broker with the original cancellation Client Instruction within 3 (three) business days. A Client Order/Instruction may not be cancelled by the Client after the transaction has been concluded/operation executed by the Broker, and the Client undertakes to assume all obligations arising from transactions concluded/operations executed by the Broker pursuant to the Client's Client Order/Instruction, including payment of all necessary commissions.

4.32. The Client's rights to financial instruments shall arise after registration of the transaction/operation in the central depository/custodian/foreign settlement organization under the Client's sub-account.

4.33. The Client shall have the right to authorize another person to execute transactions with financial instruments and perform operations on the personal account. Such authority shall be formalized by a power of attorney in accordance with the requirements of the legislation of the Republic of Kazakhstan. The Broker must be provided with the original or a notarized copy of such power of attorney, which shall remain with the Broker.

5. BROKER REPORTING

5.1. Confirmation of rights to financial instruments shall be carried out by providing an extract from the Client's personal account in the Broker's nominee holding accounting system. The extract from the personal account shall be issued upon the Client's instruction in the form established by the Broker's internal documents.

5.2. In the course of performance of this Agreement, the Broker shall maintain records of receipt and distribution of income on the Client's financial instruments held in the Broker's nominee holding.

5.3. Income on the Client's financial instruments shall be credited by the Broker to the Client's personal account immediately upon receipt thereof, unless otherwise provided by the terms of this Agreement or the Broker's internal documents, and the Broker shall provide the Client with a report in the form established by the Broker's internal documents.

5.4. Upon the Client's instruction for issuance of reporting documents, the Broker shall, within 3 (three) business days from the date of registration of such instruction, provide the Client with reporting documents (an extract from the personal account or another report on the personal account) as of the date/for the period specified in such instruction. Such informational service shall be paid for by the Client in accordance with the Broker's tariffs.

5.5. Since the transmission of reporting documents via electronic or postal communication does not ensure adequate security, the Client assumes all and any risks associated with the use of such means of communication, including, but not limited to, receipt of reporting documents by an unauthorized person, receipt of reporting documents in an improper form, the possibility of unauthorized interference by third parties, including fraud, unauthorized or improper use of an electronic or postal address, etc.

5.6. The Client shall timely notify the Broker in writing of any changes to the details of the electronic or postal address. At the same time, the Broker shall not be liable for failures in the operation of persons and organizations ensuring the sending and receipt of reporting documents via electronic or postal communication, as well as for sending reporting documents to an outdated electronic and/or postal address or to an unauthorized person. Amendments to the recipient's details, electronic or postal addresses shall be deemed effective from the moment the Broker receives the relevant written notification from the Client, and in cases established by the legislation of the Republic of Kazakhstan and the AIFC Rules — the relevant instruction.

6. RIGHTS AND OBLIGATIONS OF THE PARTIES

6.1. The Client shall have the right to:

6.1.1. submit Client Orders/Instructions to the Broker personally, by courier, or by e-mail with mandatory provision of the original Client Order/Instruction no later than 5 (five) business days thereafter, or by other alternative means no later than 30 (thirty) business days thereafter, unless otherwise established by the Broker's internal documents and agreement of the Parties;

6.1.2. provide the Broker with instructions regarding the use of money received from redemption, sale of financial instruments, payment of income on financial instruments, or held in the Broker's account, including in connection with non-execution by the Broker of the Client Order, in a form complying with the Broker's requirements;

6.1.3. receive from the Broker reports evidencing execution and/or non-execution of Client Orders;

6.1.4. receive extracts regarding the status of its personal account and sub-account in the accounting system of the Central Depository, as well as confirmations of rights to financial instruments held in the Broker's nominee holding;

6.1.5. receive additional services from the Broker on the basis of separate agreements concluded between the Parties;

6.1.6. exercise other rights provided for by the Legislation and the Broker's internal documents.

6.2. The Client shall be obliged to:

6.2.1. provide the Broker with the documents required for opening a personal account for the Client in accordance with this Agreement (Appendix No. 1);

6.2.2. timely provide all necessary documents for execution of transactions with financial instruments and operations on personal accounts;

6.2.3. submit Client Orders/Instructions to the Broker in the form established by the Broker's internal documents;

6.2.4. ensure the availability of financial instruments and funds necessary for execution of transactions and operations therewith in accordance with the submitted Client Orders/Instructions without any deductions, including taxes and transaction-related payments, including the Broker's remuneration and commissions of servicing third parties, as well as any payments established by the legislation of the Republic of Kazakhstan and the AIFC Rules;

6.2.5. ensure the transfer of funds to the Broker's current client account for the purpose of settlements under transactions with financial instruments and coverage of all expenses related to execution of Client Orders;

6.2.6. pay commission remuneration for services provided by the Broker, as well as reimburse the Broker for expenses incurred in connection with performance of this Agreement, including any taxes assessed directly or indirectly in respect of or as a result of any service rendered to the Client or any permitted action under this Agreement, except where such payments, obligations, expenses, or losses arose due to the Broker's fault as a result of negligence or intentional non-performance of obligations by its employees;

6.2.7. ensure that the financial instruments transferred to the Broker for nominee holding in accordance with this Agreement are free from any payments due, pledge, other encumbrance, or retention rights;

6.2.8. notify the Broker of any changes to its details no later than 10 (ten) business days after such changes are made;

6.2.9. timely notify the Broker of any change in the executive body, governing body, as well as persons authorized to act on behalf of the Client under a power of attorney and/or extension of their powers, and for such purpose provide the Broker with duly executed powers of attorney and other documents confirming the authority of the above-mentioned persons;

6.2.10. perform other obligations provided for by the Legislation and the Broker's internal documents.

6.3. The Broker shall have the right to:

6.3.1. request additional documents from the Client for opening a personal account;

6.3.2. require the Client to submit a Client Order/Instruction in written form in accordance with the form established by the Broker's internal documents, as well as request additional information from the Client when carrying out transactions with financial instruments;

6.3.3. refuse to accept a Client Order for execution:

1) where there are disagreements with the Client regarding the content of the Client Order/Instruction;

2) where there is an obvious contradiction between the transaction with financial instruments to be executed pursuant to such Client Order/Instruction and the legislation of the Republic of Kazakhstan;

3) where there are doubts as to the Client's ability to ensure proper execution (in accordance with the Legislation and the rules of Trading Organizers of which the Broker is a member (participant)) of the transaction with financial instruments to be executed pursuant to such Client Order. In the event the Broker accepts such Client Order for execution, the Broker shall bear responsibility established by the Legislation and the rules of Trading Organizers of which the Broker is a member (participant) for violation of the transaction executed pursuant to such Client Order.

6.3.4. suspend execution or refuse to execute the Client's Orders/Instructions in the event of receipt of a relevant notification from the Authorized Body, law enforcement authorities, or other duly authorized state authorities, as well as from the relevant registrar;

6.3.5. suspend performance of this Agreement in the event the Client fails to fulfill the duties and obligations provided for by this Agreement;

6.3.6. inform the Authorized Body of transactions with financial instruments executed pursuant to this Agreement and subject to restrictions and special conditions established by the Legislation no later than the day following the date of conclusion of such transaction;

6.3.7. debit without acceptance from the Client's account opened for accounting of the Client's funds the amount of remuneration under this Agreement, expenses, penalties, and other amounts payable by the Client under this Agreement;

6.3.8. provide consulting, analytical, and informational services in the manner and under the terms established by this Agreement and the Broker's tariffs providing for such services;

6.3.9. amend tariffs for its services under this Agreement by notifying the Client thereof at least 15 (fifteen) calendar days prior to such amendments becoming effective;

6.3.10. exercise other rights provided for by the Legislation, the Broker's internal documents, and the AIFC Rules.

6.4. The Broker shall be obliged to:

6.4.1. provide the Client with the opportunity to review the Broker's internal documents;

6.4.2. provide reports to the Client in the manner, within the timeframes, and in the form established by the Broker's internal documents;

6.4.3. reconcile, at least once a month, the data of its internal records regarding the quantity of financial instruments in personal accounts with the data of the Central Depository/custodians/foreign settlement organization;

6.4.4. execute transactions and operations with the Client's financial instruments in accordance with the terms of this Agreement, the requirements of the legislation of the Republic of Kazakhstan, and the AIFC Rules;

6.4.5. account for the Client's financial instruments and money separately from the Broker's own financial instruments and money in accordance with the procedure established by the Legislation;

6.4.6. ascertain the Client's investment objectives for investing funds in financial instruments, provided such objectives do not constitute the Client's commercial secret, and conduct its activities in accordance with such objectives;

6.4.7. notify the Client in writing of receipt of income on financial instruments and transfer such income to the Client's account;

6.4.8. inform the Client of the possibilities and facts of occurrence of a Conflict of Interest;

6.4.9. inform the Authorized Body of a transaction with financial instruments executed or intended to be executed pursuant to this Agreement and in respect of which the legislation of the Republic of Kazakhstan establishes restrictions and special conditions, no later than the day following the date of conclusion of such transaction or the Broker's refusal to conclude such transaction;

6.4.10. maintain confidentiality of financial and other information regarding the Client obtained in the course of professional activity in the securities market, to the extent determined by the Broker's internal documents and in accordance with the Legislation;

6.4.11. notify the Client within 3 (three) business days in the manner established by the Broker's internal documents of:

1) facts and reasons for deterioration of its financial condition;

2) any sanctions imposed by the Authorized Body or Trading Organizers against the Broker and its employees;

3) restrictions and special conditions established by the Legislation in relation to transactions with financial instruments intended to be executed (or executed) pursuant to this Agreement and introduced after its conclusion.

6.4.12. suspend execution or refuse to execute the Client's Orders/Instructions in the event of receipt of relevant notifications from judicial or governmental authorities or authorized persons;

6.4.13. perform other obligations provided for by the Legislation and the Broker's internal documents.

7. PROCEDURE FOR PAYMENT OF THE BROKER'S SERVICES

7.1. The amount of the Broker's commission remuneration for the provision of brokerage services and nominee holding services for financial instruments shall be calculated in accordance with the Broker's tariffs effective at the time the services are rendered and established by the Broker's internal documents.

7.2. Service tariffs shall be established and approved by the Broker's executive body and may be amended during the term of the Agreement. The Broker undertakes to notify the Client of any changes to the tariffs no later than 15 (fifteen) calendar days prior to the effective date of the new tariffs by publishing the relevant information on the Broker's website at www.syinvest.kz.

7.3. In the event of disagreement with the amendments introduced by the Broker in accordance with clause 7.2 of this Agreement, the Client shall immediately notify the Broker thereof by sending the Broker a written notice of termination of the Agreement. Failure by the Client to provide such notice within 10 (ten) business days from the date of notification of the amendments shall mean that the Client fully agrees with the amendments introduced.

7.4. Upon signing the Accession Application to the Agreement, the Client shall select a tariff by placing the corresponding mark in the Accession Application. Signing the Accession Application confirms that the Client has been duly acquainted with the Broker's current tariffs, agrees thereto, and accepts the Broker's right to amend them unilaterally.

7.5. The Client's expenses arising from transactions with the Client's financial instruments and nominee holding services, including services of the Central Depository, Trading Organizer, custodians, banks, third-party brokers, relevant international organizations performing nominee holding functions, and other professional participants of the securities market, shall constitute third-party services and shall be reimbursed by the Client to the Broker.

7.6. The Broker's remuneration for additional informational, analytical, and consulting services, where the relevant agreement for provision of such services has been concluded, shall be determined additionally by agreement of the Parties.

7.7. The Broker's remuneration, as well as reimbursement of the Client's expenses incurred by the Broker in payment for services of third parties involved in servicing transactions with the Client's financial instruments, shall be withheld by the Broker as follows:

1) ***from the account of an individual Client*** — independently, without issuing invoices to the Client for payment, by direct debit of funds from the account of the individual Client. The Client agrees and confirms the Broker's right to directly debit from the Client's accounts held with the Broker the amounts of the Broker's remuneration and reimbursement of expenses for payment of third-party services. The Broker shall have the right to require the Client to ensure the availability in the account of an amount sufficient for execution of the Client Order/Instruction and coverage of all related expenses as prepayment, or to withhold such amount at the time of execution of the Client Order/Instruction;

2) ***from the account of a corporate Client*** — the Broker shall, on a monthly basis no later than the 15th day of the month following the reporting month, issue invoices for the Broker's services rendered to the Client in the previous month. Invoices shall be sent by available means of communication, including by e-mail or to the numbers and addresses

specified by the Client upon conclusion of this Agreement. The Client shall have the right to agree to direct debit of funds provided that there are sufficient funds in the account to cover all related expenses as prepayment or withholding at the time of execution of the Client Order/Instruction by placing the relevant mark in the Accession Application to the Agreement.

7.8. The Client shall have the right to dispute the invoice amount by sending the Broker substantiated written objections within 3 (three) business days from the date of receipt of the relevant invoice. If the Broker does not receive objections within the specified period, the invoice shall be deemed accepted by the Client in full and subject to payment in the manner and within the timeframes established by this Agreement. Direct debit of the relevant amounts shall be carried out provided there are sufficient funds in the Client's account.

7.9. In the absence of an amount sufficient to cover the Broker's remuneration and reimbursement of expenses for payment of third-party services, the Client shall pay the invoices issued by the Broker within 5 (five) business days from the date of receipt of the invoice. The invoice date shall be the last day of the reporting period.

7.10. In the absence in the Client's account of funds in the currency required for payment of the Broker's remuneration (including national or foreign currency), the Client hereby provides irrevocable consent to the Broker to convert funds held in the Client's account in another currency into the currency required for payment of the Broker's remuneration, at the exchange rate of servicing second-tier banks effective on the date of conversion, in an amount sufficient to cover the Broker's remuneration, with all commissions related to such conversion charged to the Client.

7.11. The Broker's remuneration shall be determined in accordance with the Broker's effective tariffs and may be established in tenge or in foreign currency depending on the type of transaction executed, including transactions with foreign securities, as well as on the Client's residency status. Where payment is made in a currency different from the currency in which the remuneration is accrued, recalculation shall be carried out at the market exchange rate determined by the Broker on the date of the relevant transaction, unless otherwise provided by the regulatory legal acts of the Authorized Body. All expenses and commissions related to such recalculation (conversion) shall be borne by the Client.

8. LIABILITY OF THE PARTIES

8.1. In the event of non-performance and/or improper performance of obligations under this Agreement, the Parties shall bear liability in accordance with the legislation of the Republic of Kazakhstan and this Agreement.

8.2. Upon the sale of the Client's financial instruments, the Broker shall not be liable for the timing of payments by third parties purchasing such financial instruments; however, the Broker shall have the right (where available) to provide the Client with documents confirming the fact of delay in payment by such third parties.

8.3. The Broker shall not be liable to the Client for non-execution of Client Orders on the grounds provided for in subclauses 6.3.3 – 6.3.5 of this Agreement.

8.4. In the event that a violation by the Client of the deadline for transfer of funds results in the imposition of any penalties on the Broker, the Client shall reimburse the Broker in full for the amount of penalties paid by the Broker.

8.5. In the event that, when purchasing financial instruments, the Client transfers to the Broker's account an amount required for the purchase of securities which does not include the commission remuneration due to the Broker for execution of the Client Order for the purchase of such financial instruments, the Client shall, upon the Broker's demand, pay a penalty in the amount of 0.1% of the commission remuneration amount for each day of delay.

8.6. For violation of the payment deadline provided for in clause 7.8 of this Agreement, the Client shall, upon the Broker's demand, pay a penalty (late payment charge) in the amount of 0.1% of the amount due for each day of delay. The right to demand payment of the penalty shall belong to the injured Party and shall be exercised in written form.

8.7. Payment of a penalty shall not release the defaulting Party from performance of its obligations under this Agreement.

8.8. The Broker shall not be liable to the Client for losses resulting from the Client's failure to perform or improper performance of obligations under this Agreement, including losses related to the Client's failure and/or untimely failure to notify the Broker of changes to the Client's profile information (bank details, validity of powers of attorney of authorized representatives, etc.).

8.9. The Broker shall not be liable to the Client for actions or omissions of issuers of financial instruments, as well as of any third parties. The Broker shall not be liable to the Client for actions or omissions of third parties servicing or participating in transactions under submitted orders, as well as executing or participating in execution of the Client's orders.

8.10. All analytical reports, recommendations, and communications are provided for informational purposes only. The Broker shall not be liable for the services provided (consultations, analyses, reports, trading ideas, recommendations, and other results of services rendered under this Agreement or supplementary agreements thereto), nor for the results of their subsequent use by the Client or persons related to the Client. The Client shall use the results of the services rendered at its own risk and under its own property liability.

9. FORCE MAJEURE CIRCUMSTANCES

9.1. The Parties shall be released from liability for non-performance and/or improper performance of their obligations under this Agreement if performance or proper performance of obligations under this Agreement becomes impossible due to the occurrence of Force Majeure Circumstances which could not have been prevented in any manner by the Party referring to such circumstances.

9.2. The Party affected by Force Majeure Circumstances shall notify the other Party in writing of the occurrence of such circumstances within 5 (five) calendar days from the date of their occurrence and shall provide supporting documents confirming the occurrence of such circumstances. Documents issued by authorized state authorities or other competent organizations of the Republic of Kazakhstan shall constitute sufficient evidence of the occurrence of Force Majeure Circumstances.

9.3. In the event of Force Majeure Circumstances, either Party shall have the right to unilaterally refuse performance of this Agreement by providing written notice thereof to the other Party at least 30 (thirty) calendar days prior to the termination date of this Agreement.

10. CONFIDENTIALITY. TERMS AND PROCEDURE FOR DISCLOSURE OF INFORMATION ABOUT THE CLIENT

10.1. Each of the Parties agrees that this Agreement, as well as the entire scope of information and data transferred and to be transferred by the Parties to each other upon conclusion of this Agreement and in the course of performance of this Agreement, constitutes commercial and/or official information within the limits permitted by the applicable legislation of the Republic of Kazakhstan and the AIFC Rules (hereinafter referred to as the "Confidential Information").

10.2. No public statements, press releases, announcements, or other information relating to the Agreement shall be distributed or disclosed by either Party without the prior consent of the other Party. The Parties undertake to keep confidential, not disclose to third parties, and not use for the purpose of obtaining benefit any information that became known to them in the course of performance of this Agreement, unauthorized dissemination of which may cause damage to either Party. Confidential Information for the purposes of this Agreement shall include, among other things, information:

- on balances and movements of financial instruments and funds in the Client's personal account within the nominee holding accounting system;
- on persons registered in the nominee holding accounting system;

10.3. The Broker undertakes to provide access to the Client's Confidential Information only to persons who require such information for performance of this Agreement, including authorized representatives of the Client whose details were provided in advance by the Client, as well as other persons authorized by the Legislation to obtain such information, in the cases and manner established by the Legislation and this Agreement.

10.4. The Client grants the Broker unconditional consent to disclose information about the Client/persons who are major shareholders or who have (directly or indirectly) the right to a share of 25% or more in the authorized capital of the Client to all interested parties in accordance with the applicable legislation of the Republic of Kazakhstan, including in the event of changes in circumstances as a result of which one or more indicators may arise giving grounds to believe that the Client is a U.S. person/resident, and persons who are major shareholders or who have (directly or indirectly) the right to a share of 25% or more in the authorized capital of the Client are U.S. residents/citizens (green card holders), and also agrees not to withdraw such consent during the term of this Agreement in accordance with the requirements of the applicable legislation of the Republic of Kazakhstan.

10.5. The fact of conclusion of this Agreement shall constitute the Client's unconditional and irrevocable consent to the disclosure by the Broker of information regarding the status of the Client's personal account and movements of funds therein, as well as other information about the Client constituting commercial and official secrecy in the securities market, to trading organizers, depositories, custodian banks, exchanges, audit organizations in the course of their audit activities, as well as to persons recognized as insiders in the manner and in accordance with the legislation of the Republic of Kazakhstan, the AIFC Rules, and the Broker's internal documents.

10.6. The Client hereby declares that it fully acknowledges the existence of risks associated with activities in the securities market and foreign exchange market, and that the Broker shall not bear liability for any damage caused to the Client unless such damage is caused by the Broker's failure to comply with the terms of this Agreement and the requirements of the legislation of the Republic of Kazakhstan established for brokerage and dealer activities with the right to maintain client accounts as a nominee holder in the securities market.

10.7. By acceding to this Agreement, the Client grants the Broker consent to collect, use, and process the Client's personal data in accordance with the requirements of the Law of the Republic of Kazakhstan "On Personal Data and Their Protection", and also agrees not to withdraw such consent during the term of this Agreement and during the document retention periods established in accordance with the requirements of the applicable legislation of the Republic of Kazakhstan and the AIFC Rules.

11. REPRESENTATIONS AND WARRANTIES OF THE PARTIES

11.1. The Parties hereby represent and warrant to each other that:

1) the Parties have all necessary authority to enter into and perform this Agreement and to fulfill their obligations hereunder;
2) this Agreement has been duly authorized and executed by the Parties;
3) this Agreement constitutes a legally valid and enforceable obligation of the Parties, which may be enforced against the defaulting Party in accordance with the terms of this Agreement;
4) the execution by the Parties of this Agreement, as well as any other actions under this Agreement, does not and will not conflict with or contradict any provisions of the constituent documents of the Parties, the legislation of the Republic of Kazakhstan, and the AIFC Rules, as well as the Parties' obligations under any other agreement.

11.2. The Client hereby declares that it fully acknowledges the existence of systematic and non-systematic risks associated with activities in the securities market. The Broker shall not bear liability for any losses incurred by the Client in connection with an investment decision made by the Client, since the Broker acts in accordance with the terms and parameters of the submitted Client Order/Instruction.

11.3. The Client acknowledges that market prices of financial instruments may both rise and fall, and that changes in such prices are beyond the Broker's control.

11.4. The Client acknowledges that the Broker provides services similar to those specified in this Agreement to third parties, as well as accepts instructions from third parties under other agreements, executes transactions and other operations with financial instruments in the interests of third parties and in its own interests. Such transactions and operations for third parties may be executed by the

Broker on terms different from the terms applicable to services rendered to the Client under this Agreement.

11.5. By signing the Accession Application to this Agreement, the Client guarantees that, for the purposes of conclusion and performance by the Client of this Agreement, as well as execution of transactions pursuant to this Agreement, the Client has obtained the consent of the spouse (if applicable) (for individuals). The Client assumes the obligation to settle property disputes and bear property liability arising from such guarantees.

11.6. The Broker hereby undertakes to inform the Client of restrictions and special conditions established by the legislation of the Republic of Kazakhstan and the AIFC Rules with respect to a transaction involving financial instruments intended to be executed at the expense and in the interests of the Client by sending written notice by mail and/or courier delivery, and/or e-mail, and/or facsimile message, or by other available means of communication on the day grounds for such notice arise.

11.7. The Broker hereby undertakes to inform the Client of facts and reasons for deterioration of its financial condition, non-compliance with prudential standards, limited enforcement measures and sanctions, administrative fines imposed on the Broker's officers, as well as enforcement measures and fines imposed by the authorized body or stock exchange, by placing the relevant notice on the Broker's website within the established period from the date grounds for such notice arise.

11.8. The Broker hereby undertakes to inform the Client of possibilities and facts of occurrence of conflicts of interest by sending written notice by mail and/or courier delivery, and/or e-mail, or by other available means of communication on the day grounds for such notice arise.

11.9. The Broker hereby undertakes that, in the event of suspension of its license, within 2 (two) business days from the date of receipt of notification from the authorized body, it shall notify the Client by sending an individual notice and publishing relevant announcements in places easily accessible to the Client (at the head office and branch premises, as well as on the Broker's website).

12. DISPUTE RESOLUTION PROCEDURE

12.1. All disputes and/or disagreements arising between the Parties under and/or in connection with this Agreement shall be resolved by the Parties through negotiations between the Parties.

12.2. In the event that disputes and/or disagreements cannot be resolved through negotiations, such disputes shall be resolved in accordance with the Legislation in the judicial authorities of the Republic of Kazakhstan.

13. TERM, GROUNDS FOR AMENDMENT, TERMINATION, AND CANCELLATION OF THE AGREEMENT

13.1. This Agreement shall enter into force upon receipt by the Broker of the Accession Application to the Agreement signed by the Client and shall remain effective indefinitely.

13.2. Amendments and supplements to this Agreement may be introduced upon mutual written consent of the Parties. Such amendments and/or supplements shall be valid only if signed by both Parties.

13.3. In addition to the general grounds for termination of obligations, this Agreement shall terminate as a result of:

1) mutual written agreement of the Parties;
2) suspension or revocation of the Broker's license for brokerage and dealer activities in the securities market with the right to maintain client accounts as a nominee holder;
3) liquidation of a legal entity being one of the Parties to this Agreement (such circumstance shall be deemed to have occurred upon presentation to the other Party of documents confirming liquidation of such legal entity);
4) other grounds provided for by the legislation of the Republic of Kazakhstan and the AIFC Rules.

13.4. Early termination of this Agreement shall not result in early termination of transactions and/or other legal actions constituting the subject matter of this Agreement that are in the process of execution. Transactions in the process of execution on the date of termination of this Agreement shall be completed in accordance with the terms of the relevant transaction.

13.5. The Agreement may be terminated unilaterally:

1) in the event of non-performance of the terms of this Agreement in accordance with the legislation of the Republic of Kazakhstan and the AIFC Rules;
2) if the Client notifies the Broker in writing of disagreement with amendments and/or supplements to this Agreement;
3) upon written notice to the other Party no later than 30 (thirty) calendar days in advance, provided that all mutual obligations existing at the time of termination of this Agreement have been settled and no objections exist between the Parties;
4) in the absence of transactions and operations with financial instruments on the Client's personal account during the last 12 (twelve) months and in the absence on the Client's personal account of balances in the form of financial instruments and/or money (rights of claim under obligations of the issuer on issued securities);
5) upon recognition of the Client, being an individual, as deceased or declaration thereof as deceased, and in the absence of financial instruments on the Client's personal account, on the basis of a death certificate and/or the relevant court decision.

13.6. Upon termination of this Agreement at the initiative of either Party, the Broker shall have the right to:

1) reimbursement of all commission expenses, payments, and obligations related to the Broker's activities under this Agreement as of the date of termination of this Agreement, including additional expenses and/or losses related to termination of this Agreement, including expenses related to transfer of the Client's investments to the Client's account or to a new Broker or bank;

13.7. The Client's money and financial instruments held in the Client's personal account at the time of termination of the Agreement shall be returned to the Client within 3 (three) business days from the date of termination of this Agreement, less the Broker's remuneration, expenses incurred in performance of this Agreement, penalties, late payment charges, and other expenses incurred by the Broker in transferring the Client's financial instruments and money to the Client's account with a new nominee holder or central securities depository, provided that the Broker has received exhaustive written information regarding the accounts to which the Client's assets are to be transferred.

14. FINAL PROVISIONS

14.1. By agreement of the Parties, if at any time one or more provisions of this Agreement are or become invalid, illegal, or unenforceable under any circumstances or under any applicable law, the validity, legality, and enforceability of the remaining provisions of this Agreement shall remain unaffected.

14.2. This Agreement is published on the Broker's corporate website and constitutes an integral part of the Broker's internal documents. All appendices to this Agreement, as well as supplementary agreements thereto, shall form an integral part of this Agreement.

14.3. Neither Party shall have the right to transfer its rights and obligations under this Agreement to third parties without the prior written consent of the other Party.

14.4. This Agreement constitutes the entire agreement reached between the Parties and supersedes all prior agreements, promises, and intentions of the Parties, whether oral or written, with respect to the subject matter of this Agreement. In the latter case, the Broker shall continue providing services through the Client's existing personal account.

14.5. This Agreement is executed in two counterparts having equal legal force, one counterpart for each Party.

14.6. Subclauses 2.3, 2.13, and 3.6 of this Agreement (in the part relating to conclusion of foreign currency transactions) shall enter into force from the date the Broker receives the relevant license.

15. APPENDICES TO THE AGREEMENT

15.1. Appendix No. 1 – List of documents required for opening a personal account;

15.2. Appendix No. 2 – Accession Application to the Standard Agreement for the Provision of Brokerage Services and Nominee Holding Services.

15.3. Appendix No. 3 – Consent to Collection and Processing of Personal Data of an Individual (to be completed by an individual).

16. REGISTERED ADDRESSES, BANK DETAILS, AND SIGNATURES OF THE PARTIES

Broker	Client
JSC "SY Investment Company" Republic of Kazakhstan, A15E1Y3 Almaty, Bostandyk District, 52/2 Abay Avenue, 2nd floor, Office 201 **BIN** 250140026156	____ Address ___________________________________ **BIN**
Tel. +7 (727) 341-07-14 **Bank:** JSC "Alatau City Bank" **IIK:** KZ35998CTB0001823779 **BIC:** TSESKZKA **KBE:** 15	**Tel.** **Bank:** **IIK:** **BIC:** **KBE:**
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M.P.	**M.P.**