

ACCESSION APPLICATION
to the Standard Agreement for Brokerage Services
(without nominee holding)
of JSC “SY Investment Company”
for individuals/legal entities

Joint Stock Company “SY Investment Company”, acting on the basis of License No. 3.1.1.261 dated January 08, 2026, for carrying out brokerage and dealer activities in the securities market with the right to maintain client accounts as a nominee holder, hereinafter referred to as the “Broker”, represented by, acting on the basis of, on the one part,
and
hereinafter referred to as the «**Client**», on the other part, jointly hereinafter referred to as the «**Parties**», and individually as a «**Party**», for the purpose of acceding to the terms of the Standard Agreement for Brokerage Services (without nominee holding) of JSC “SY Investment Company”, hereby submit this Application as follows:

Form to be completed by an individual or individual entrepreneur:

Name, surname, patronymic (if available)			
Individual Identification Number (IIN) (if available)			
Type of activity (for individual entrepreneurs)			
Date and place of birth			
Citizenship			
Tax residency			
Name of identity document, number, date, and issuing authority			
Registration address			
Contact Information	Telephone (mobile):		Email:
	☐ Use the specified mobile phone number for SMS confirmation of transactions in the trading platform		

Form to be completed by a legal entity:

Legal form and name of the legal entity	
Business Identification Number (BIN)	
Information on state registration (re-registration) of the legal entity	
Chief Executive Officer (position, full name)	
Legal address	
Actual address (if different from the legal address)	
Contact details: Telephone Mobile phone number Email	

☐ *The specified mobile phone number and/or e-mail address may be used for SMS confirmation of transactions in the trading platform*

Acting on: (information about the representative)

on its own behalf and in its own interests	
on behalf of and in the interests of:	
Name, surname, patronic (if available)	
IIN (if available)	
Date and place of birth	
Citizenship	
Name of identity document, number, date, and issuing authority	
On the basis of (<i>specify type of document, number/series, date and issuing authority</i>)	

1. By signing this Application for Accession, the Client, in accordance with Article 389 of the Civil Code of the Republic of Kazakhstan, declares its full and unconditional consent and intention to accede to the Standard Agreement for the Provision of Brokerage Services without Accession Application to the Standard Agreement for Brokerage Services (without nominee holding) of JSC "SY Investment Company"

Nominee Holding (hereinafter – the “Standard Brokerage Agreement (without NH)”) and undertakes to comply with all its terms and conditions.

2. Accession to the terms and conditions of the Standard Brokerage Agreement (without NH) is permitted in electronic form using:

☐	<i>an electronic digital signature – for a client that is a legal entity or an individual</i>
☐	<i>biometric or dynamic identification means – for a client that is an individual</i>

3. The Client confirms that:

- the Client has reviewed the terms of the above-mentioned Agreement, the regulations governing activities in the securities market, service conditions, and tariffs published on the above-mentioned official website of the Broker, agrees with them and with the remuneration payment terms, accepts them in full, and undertakes to comply with them. After submission of this Accession Application, the Client shall not have the right to refer to the fact that it (its authorized persons) was not acquainted with the Agreement or does not recognize its binding nature in relations with JSC “SY Investment Company”;
- the Client has been informed of all risks associated with transactions involving financial instruments and releases the Broker from any liability arising from such transactions, except in cases of direct violation of the provisions of the Regulations or the Standard Brokerage Agreement;
- the Client confirms that it has reviewed the Broker’s tariffs published on the Broker’s website at www.syinvest.kz, and selects one of the following tariff plans:

☐	<i>“Standard” Tariff;</i>
☐	<i>“Online Platform” Tariff;</i>
☐	<i>“Advisory (Global Macro)” Tariff;</i>
☐	<i>Individual Tariffs.</i>

- The Client confirms agreement with the selected tariff, accepts its terms in full, and undertakes to pay the Broker commission remuneration and other expenses payable by the Client in the manner, within the timeframes, and on the terms provided for by the Standard Brokerage Agreement and the relevant tariff plan.
- When the Client selects individual tariffs, the terms of commission remuneration and/or the procedure for reimbursement of the Broker’s expenses shall be determined and formalized in a separate written document (supplementary agreement) signed by the Broker and the Client, which shall constitute an integral part of the Standard Brokerage Agreement.
- The Client acknowledges awareness of the provisions of the Code of the Republic of Kazakhstan “On Marriage (Matrimony) and Family” regarding transactions made by one spouse involving disposal of jointly owned marital property and guarantees that, for the purposes of conclusion and performance by the Client of this Agreement, as well as execution of transactions pursuant to this Agreement, the Client has obtained the consent of the spouse (if applicable) (for individuals). The Client assumes responsibility for settlement of property disputes and property liability arising from such guarantees.

- The Client confirms and guarantees the accuracy and completeness of the information provided in accordance with the Law of the Republic of Kazakhstan “On Personal Data and Their Protection” and grants the Broker consent to collect, process, and use personal data and other information provided within the framework of the requirements of the Standard Brokerage Agreement, and also grants unconditional and irrevocable consent to disclosure of the above-mentioned information about itself, including information constituting commercial and other legally protected secrecy in the securities market, to trading organizers, depositories, and custodians, as well as to disclosure of such information by the said recipients in accordance with the requirements of the legislation of the Republic of Kazakhstan, AIFC acts, and their internal documents;
 - The Client acknowledges that the payment of taxes and other mandatory payments to the budget shall be made independently by the Client in accordance with the applicable legislation of the Republic of Kazakhstan and the acts of the AIFC. The Broker shall not act as a tax agent.
 - The Client acknowledges that the Broker publishes notifications regarding any amendments and/or supplements to the Regulations and the Standard Brokerage Agreement (without NH) on the website www.syinvest.kz at least 15 (fifteen) calendar days prior to the effective date of such amendments and/or supplements to the Regulations and the Standard Brokerage Agreement (without NH).
4. The Client shall have the right to disagree with the amendments and/or supplements introduced to the Regulations and the Standard Brokerage Agreement (without NH). In such case, the Client undertakes to send by e-mail a written (signed) refusal of such amendments and/or supplements to the Regulations and the Brokerage Agreement within 10 (ten) business days from the date of receipt of the notification regarding such amendments and/or supplements. Based on such refusal, the Broker shall initiate the procedure for closing the Personal Account in accordance with the terms and procedures set forth in the Regulations and the Brokerage Agreement.
5. Method of receiving reports and other information (please select one option):

☐	<i>e-mail</i>
☐	<i>the online trading platform</i>
☐	<i>in person at the Broker's office</i>
☐	<i>by post</i>

6. Method of submission of Client Orders (please select one or more options):

☐	<i>In original hard copy form</i>
☐	<i>through the online trading platform</i>
☐	<i>By e-mail in the form of a scanned copy to the following e-mail address: info@syinvest.kz or to the e-mail address of the responsible employee of the Client Relations Department</i>

7. Based on the foregoing, the Client requests JSC “SY Investment Company” to open:

Signature of the authorized person of JSC “SY Investment Company”	M.P.
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