

Consent to the Collection and Processing of Personal Data and Other Information
(valid from the date of execution until the date of its withdrawal by written notice)

« ____ » _____ 20__

I _____, being a client (hereinafter referred to as the “Client”) of JSC “SY Investment Company”, BIN 250140026156, in accordance with the Law of the Republic of Kazakhstan No. 94-V dated 21 May 2013 “On Personal Data and Their Protection”, hereby provide my irrevocable and unconditional consent to JSC “SY Investment Company” (hereinafter referred to as the “Broker”), as well as to the third parties specified in this Consent, in respect of my personal data recorded (stored) on electronic and/or paper and/or any other media, including biometric data, as well as other information protected by law, arising from or obtained in relation to the Client, as well as in relation to any minor for whom the Client acts as the legal representative, including where the Broker provides services and/or executes transactions in respect of such minor (hereinafter collectively referred to as the “Personal Data”):

1. I hereby consent to the collection and processing of the Personal Data, which shall include the performance by the Broker and/or the third parties specified in this Consent of any actions/measures not prohibited by the legislation of the Republic of Kazakhstan, including, without limitation, actions performed using automation tools, information systems, internet resources, various third-party services and software specified in this Consent or used by the Broker, including but not limited to: recording, copying, backup creation, protection, screening, systematization, accumulation, storage within and outside the Broker, clarification (modification, updating), retrieval, use, transfer/receipt (dissemination, provision of access, including cross-border transfer) to/from lawful and official sources, depersonalization, blocking, deletion, destruction, confirmation and verification of any kind of Personal Data, including in cases where any discrepancies, amendments, or additions to the existing Personal Data are identified.

2. I hereby consent to the transfer and receipt of the Client’s Personal Data to and from third parties where such parties are entitled or required to provide or obtain such data pursuant to applicable laws and regulations, contractual arrangements, or other legal grounds. Such third parties may include, without limitation: competent governmental and regulatory authorities; legal entities, including state-owned entities, national holding companies, second-tier banks, correspondent banks and foreign correspondent banks, national companies, operators and owners of state databases, courier service providers, mobile network operators, entities providing information technology support services to the Broker, as well as entities engaged in other contractual relationships with the Broker in the field of digital and information technologies; and the United States Internal Revenue Service (IRS), where required under FATCA.

3. I hereby consent to the cross-border transfer of my Personal Data in the course of its processing, as well as to its dissemination through publicly available sources, including mass media, social media platforms, and websites.

4. I further acknowledge and agree that the provision of this Consent may involve, among other things, the collection, processing, transfer and receipt of the Client's Personal Data in the following circumstances:

1) Disclosure by the Broker, in accordance with the legislation of the Republic of Kazakhstan, of information constituting commercial, banking or other legally protected confidential information:

- in cases provided for by the legislation of the Republic of Kazakhstan;
- to the Broker's employees;
- to persons and organizations conducting inspections, audits or reviews of the Broker's activities in accordance with the legislation of the Republic of Kazakhstan;
- to Joint Stock Company "Central Securities Depository" (CSD), Joint Stock Company "Kazakhstan Stock Exchange" (KASE), Joint Stock Company "KASE Clearing Centre", AIX and AIX CSD, including information protected by law relating to rights in issued securities and other financial instruments, information contained in the information systems of CSD, KASE, AIX and AIX CSD, submitted orders, executed transactions, balances and movements on the Broker's trading and clearing accounts, to the extent necessary for clearing, settlement and central counterparty functions, including information on orders, financial instrument holdings, balances, movements and ownership recorded in the "KASE" and "repo" sections;
- to counterparties, provided that the Broker has entered into confidentiality agreements with such counterparties requiring them not to disclose any information received from the Broker to third parties;
- to other users of the Broker's remote access system when transactions are conducted through such system.

The Client further acknowledges and agrees that the provision of information regarding the Client's transactions to the telephone number provided to the Broker shall not constitute disclosure of confidential information to third parties in cases where the Client has failed to notify, or has not timely notified, the Broker of the loss, theft, unauthorized use, or transfer to third parties of the mobile phone, SIM card, or access thereto for any reason.

The Client also acknowledges and agrees that the provision of information regarding the Client's transactions to the email address provided by the Client to the Broker should not be considered disclosure of confidential information to third parties.

5. I hereby consent to Broker conducting a preliminary assessment using the Client's Personal Data obtained from all lawful sources for the purpose of preparing proposals relating to Broker's products and services and facilitating the provision of Broker's services.

6. I hereby consent to telecommunications operators collecting, processing, storing, and disseminating the Client's Personal Data, including biometric data, recorded (stored) on electronic, paper, or any other media, as well as any future amendments and additions thereto, and to obtaining updated and/or accurate/current data in the event of any discrepancy, including the transfer of the Client's Personal Data to employees/representatives of legal entities involved in the delivery of packages, including courier companies, telecommunications operators, authorized state

authorities, and third parties, where such parties have the relevant obligations or rights in accordance with applicable legislation or agreements concluded with such parties.

By providing this Consent, the Client agrees and acknowledges that, should the transfer of the Client's Personal Data by the Broker (including cross-border transfer) through open communication channels be agreed upon between the Client and the Broker, the Client shall take all reasonable measures to maintain the confidentiality and protection of such data, acknowledges the risk of unauthorized access to such information by third parties, and assumes such risk.

The Broker shall not be liable for any losses that may be incurred by the Client as a result of a breach of confidentiality of such information or its unauthorized access by third parties in the circumstances described above..

7. I acknowledge and agree that the Broker shall be entitled to amend and/or supplement this Consent by providing prior notice at least 10 (ten) calendar days before such amendments and/or supplements become effective through publication of such amendments and/or supplements (including a new version thereof) on the Broker's official website at www.syinvest.kz, based on the Client's expression of will through silence.

Failure to submit a written notice of rejection of the amendments and/or supplements to this Consent within 10 (ten) business days from the date of publication by the Broker of the notice regarding such amendments and/or supplements shall constitute an expression of my will and shall be deemed the Client's acceptance of such amendments and/or supplements.

This Consent is provided for the purpose of entering into and performing transactions (concluding and executing agreements) related to the receipt of the Broker's services, making decisions or performing other actions giving rise to legal consequences in accordance with the Law of the Republic of Kazakhstan "On Personal Data and Their Protection", including for the purposes of proper identification, authentication, authorization, verification, as well as for any other purposes provided for (or which may be provided for) in agreements with the Client.

I hereby acknowledge and agree that the Broker collects and processes personal data, and that the scope of such personal data is limited to that necessary for the provision of the relevant service and/or execution of the relevant transaction.

This Consent shall remain in force and may not be withdrawn by the Client during the term of any relationship with the Broker, including contractual relationships, and/or while any obligations remain outstanding, as well as during any period in which the Broker is required to process the Information (including its storage and disclosure) in accordance with the legislation of the Republic of Kazakhstan, the Broker's internal documents, and/or the Broker's contractual obligations.

For the avoidance of doubt and dispute, the Brokerage and Nominee Holding Services Agreement and this Consent shall constitute a single document.

I have read and agree with the foregoing text and fully understand the legal consequences of providing this Consent:

_____ (Full Name, Signature of the Client)

Date « » 20 .

The following information shall be completed by JSC “SY Investment Company” or a person duly authorized to represent it	
Date of receipt of the application (DD/MM/YYYY)	
Agreement No.:	
Personal Account No.:	
Position and Full Name of the employee of JSC “SY Investment Company” who accepted the document	Head of the Middle Office Department
Signature	
Position and Full Name of the authorized representative of JSC “SY Investment Company”	Chairman of the Management Board
Signature of the authorized representative of JSC “SY Investment Company”	Seal