

Consent

to the Custody of Financial Instruments and Cash with Foreign Settlement Organizations

I, _____, being a client (hereinafter referred to as the “Client”) of JSC “SY Investment Company”, BIN 250140026156, in accordance with paragraph 64 of the Rules No. 9 on Brokerage and Dealer Activities¹, hereby provide and express my consent to JSC “SY Investment Company” (hereinafter referred to as the “Broker”) for the custody of financial instruments and/or cash with foreign settlement organizations under the terms specified below.

I (we) acknowledge and agree that the custody of financial instruments and/or cash with foreign settlement organizations may be subject to foreign laws and the regulatory requirements of the relevant jurisdictions, and that the Broker has informed me thereof in advance.

This Consent is provided for the purpose of entering into and executing transactions (concluding and performing agreements) related to obtaining Broker’s services, making decisions, or carrying out other actions giving rise to legal consequences, including for the purpose of holding financial instruments and/or cash with foreign settlement organizations.

The Client has the right to express disagreement and submit written objections within 5 (five) business days from the date of notification. If no objections are received within the specified period, the Client shall be deemed to have agreed to the terms of the notification.

Client

(Name of Legal Entity / Full Name of Individual)

I have read and agree with the foregoing text and fully understand the legal consequences of providing this Consent.

By placing a mark ☒ “Acknowledged and Agreed”, the Client expresses consent to the custody of financial instruments and/or cash with foreign settlement organizations.

☐ **Acknowledged and Agreed**

Signature, Date

Seal

¹ Rules for Conducting Brokerage and/or Dealer Activities in the Securities Market, approved by Resolution No. 9 of the Board of the National Bank of the Republic of Kazakhstan dated 3 February 2014

NOTICE

on the Custody of Financial Instruments and Cash with Foreign Settlement Organizations

JSC “SY Investment Company” (hereinafter referred to as the “Broker”) hereby notifies you that the custody of Clients’ financial instruments and/or cash may be carried out through foreign settlement organizations.

The custody of the Client’s financial instruments and cash by the Broker with foreign settlement organizations shall be carried out in accordance with paragraph 64 of the Rules for Conducting Brokerage and/or Dealer Activities and is permitted only subject to one of the following conditions:

- the prior consent of the Client expressed in written (paper and/or electronic) form; or
- proper notification of the Client regarding such custody.

A notice shall be deemed duly delivered if it is communicated to the Client by one or more of the following means:

- publication of information on the Broker’s website and/or trading platform (software);
- transmission by e-mail;
- other available means of communication.

Publication of information on the Broker’s website and/or trading platform shall be considered a sufficient method of notifying the Client about the custody of the Client’s financial instruments and cash with foreign settlement organizations.

A mandatory condition shall be the Broker’s recording of the fact that the Client has received and reviewed this notice, including through the use of technical means allowing confirmation of the Client’s access to the information, its reading, or other confirmation of acknowledgement.

Additionally, we hereby inform you that, in accordance with paragraph 64 of Rules No. 9, financial instruments and cash belonging to the Broker shall be segregated from the financial instruments and cash of its clients. For this purpose, the Broker shall open separate personal accounts (sub-accounts) and bank accounts designated for the segregation and custody of financial instruments and cash belonging to its clients with banks, branches of non-resident banks operating in the Republic of Kazakhstan and/or a central securities depository and/or custodians (only for securities of foreign issuers), and/or clearing organizations, and/or foreign settlement organizations.

Should the Client disagree with the terms of custody of financial instruments and/or cash with foreign settlement organizations, the Client shall be entitled to submit a written notice to the Broker in any form containing the relevant objections.

If the Client does not submit the above objections within **10 (ten) calendar days** from the date of dispatch of this Notice and/or its publication on the Broker’s website and/or trading platform, the Client shall be deemed to have read, understood, and agreed to the terms set forth herein.

The Client’s continued use of the Broker’s services following receipt of this Notice and/or the publication of the relevant information on the Broker’s website and/or trading platform shall constitute confirmation that the Client has reviewed and accepted the aforementioned terms and conditions.

Sincerely,

JSC «SY Investment Company»