

Tariff Schedule for Brokerage and Nominee Holding Services of JSC "SY Investment Company"

BROKERAGE SERVICES <i>(Standard Tariff Plan)</i>		
Instrument	Residents of the Republic of Kazakhstan <i>Commission charged for each executed transaction (trade).</i>	Non-Residents of the Republic of Kazakhstan <i>Commission charged for each executed transaction (trade).</i>
Purchase and sale transactions in securities on the local market (KASE)	0.1% of the transaction amount Minimum commission: KZT 10,000	0.20% of the transaction amount Minimum commission: KZT 50,000
Purchase and sale transactions in securities on international markets	0.15% of the transaction amount Minimum commission: KZT 10,000	0.20% of the transaction amount Minimum commission: KZT 50,000
Purchase and sale transactions in securities on OTC markets	0.20% of the transaction amount Minimum commission: KZT 10,000	0.25% of the transaction amount Minimum commission: KZT 50,000
REPO transactions	3% of the income received from the REPO transaction Minimum commission: 2 MCI*	3% of the income received from the REPO transaction Minimum commission: 2 MCI*

BROKERAGE SERVICES <i>(Online Platform)</i>		
Instrument	Residents of the Republic of Kazakhstan <i>Commission charged for each executed transaction (trade).</i>	Non-Residents of the Republic of Kazakhstan <i>Commission charged for each executed transaction (trade).</i>
Purchase and sale transactions in securities on the local market (KASE)	0.07% of the transaction amount Minimum commission: KZT 5,000	0.07% of the transaction amount Minimum commission: KZT 5,000
Purchase and sale transactions in securities on international markets	0.1% of the transaction amount Minimum commission: KZT 5,000	0.10% of the transaction amount Minimum commission: KZT 5,000
Purchase and sale transactions in securities on OTC markets	0.20% of the transaction amount Minimum commission: KZT 10,000	0.25% of the transaction amount Minimum commission: KZT 50,000
REPO transactions	3% of the income received from the REPO transaction Minimum commission: 2 MCI*	3% of the income received from the REPO transaction Minimum commission: 2 MCI*

BROKERAGE SERVICES <i>(Global Macro Tariff)</i>		
Instrument	Residents of the Republic of Kazakhstan <i>Commission charged for each executed transaction (trade).</i>	Non-Residents of the Republic of Kazakhstan (Custody Clients) <i>Commission charged for each executed transaction (trade).</i>
Purchase and sale transactions in securities on the local market (KASE)	0.40% of the transaction amount Minimum commission: KZT 10,000	0.40% of the transaction amount Minimum commission: KZT 50,000

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Purchase and sale transactions in securities on international markets	0.5% of the transaction amount Minimum commission: KZT 10,000	0.5% of the transaction amount Minimum commission: KZT 50,000
Purchase and sale transactions in securities on OTC markets	0.5% of the transaction amount Minimum commission: KZT 10,000	0.5% of the transaction amount Minimum commission: KZT 50,000
REPO transactions	3% of the income received from the REPO transaction Minimum commission: 2 MCI*	3% of the income received from the REPO transaction Minimum commission: 2 MCI*

NOMINEE HOLDING SERVICES		
Instrument	Residents of the Republic of Kazakhstan	Non-Residents of the Republic of Kazakhstan
Opening / Closing / Maintenance of a Securities Account	Free of charge	Free of charge
Safekeeping and Recordkeeping of Financial Instruments (Nominee Holding Services)	0.003% of the average monthly nominal value of the client's financial instruments, but not less than 5 MCI* per month. Tariffs of the CSD, custodian, second-tier banks and other third-party organizations shall apply additionally.	0.003% of the average monthly nominal value of the client's financial instruments, but not less than 10 MCI* per month. Tariffs of the CSD, custodian, second-tier banks and other third-party organizations shall apply additionally.
Deposit of Financial Instruments into Nominee Holding / Crediting of Cash Funds	Service is provided free of charge. Tariffs of the CSD, custodian, second-tier banks and other third-party organizations shall apply additionally (if any).	Service is provided free of charge. Tariffs of the CSD, custodian, second-tier banks and other third-party organizations shall apply additionally (if any).
Withdrawal of Financial Instruments (including withdrawal from nominee holding)	2 MCI* – if the transfer of securities is made between accounts opened with the CSD.	10 MCI* – if the transfer of securities is made between accounts opened with the CSD.
	0.3% of the nominal value of the transferred financial instruments – if the securities are withdrawn from an account with the CSD to external safekeeping accounts* (but not less than 5 MCI*)	0.6% of the nominal value of the transferred financial instruments – if the securities are withdrawn from an account with the CSD to external safekeeping accounts* (but not less than 10 MCI*).
Transfer / Crediting of Financial Instruments due to Inheritance, Gift or Other Grounds for Transfer / Crediting	3 MCI* per each type of financial instrument in accordance with the ISIN. The commission is charged only from the recipient's securities account.*	3 MCI* per each type of financial instrument in accordance with the ISIN. The commission is charged only from the recipient's securities account.*
Registration / Release of Encumbrances over Financial Instruments, Registration of Pledge, and Account Blocking	50 MCI	50 MCI
Provision of Reports (account statement as of month-end and securities account transaction history statement for the reporting month) – one copy	Service is provided free of charge	Service is provided free of charge

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Provision of Reports upon Request (account statements, securities account transaction history reports, responses to auditors' requests, reports in foreign languages, and other reports)	5 MCI* (per request)	5 MCI* (per request)
Withdrawal of Cash Funds	KZT 2,000 – in national currency	KZT 5,000 – in national currency
	KZT 5,000 – in foreign currency to an account with a second-tier bank in the Republic of Kazakhstan + correspondent bank fees (if any)	KZT 10,000 – in foreign currency to an account with a second-tier bank in the Republic of Kazakhstan + correspondent bank fees (if any)
	KZT 5,000 – in foreign currency to an account with a second-tier bank outside the Republic of Kazakhstan + correspondent bank fees (if any)	KZT 20,000 – in foreign currency to an account with a second-tier bank outside the Republic of Kazakhstan + correspondent bank fees (if any)
Conversion of Financial Instruments (depository receipts into shares, shares into depository receipts)	KZT 1,000 per GDR, but not less than 40 MCI**	KZT 1,000 per GDR, but not less than 40 MCI**
Provision of Hard Copies of Internal Broker Documents and Copies of Documents Related to Clients' Securities Accounts upon Client Request	KZT 1,000 per page	KZT 1,000 per page
OTHER SERVICES		
Arrangement of Specialized Trading Sessions on KASE	0.10% of the transaction amount Minimum commission: 80 MCI*	0.10% of the transaction amount Minimum commission: 80 MCI*
Extension of the Operating Day for Settlement of Transactions / Operations (subject to availability)	According to the tariffs of JSC "Central Securities Depository"	According to the tariffs of JSC "Central Securities Depository"
Penalty for Refusal to Perform Obligations under Concluded Securities Transactions	1% of the transaction amount	1% of the transaction amount
Assistance with Participation in Corporate Actions Conducted by Foreign Registrars and Central Securities Depositories	By mutual agreement of the parties	By mutual agreement of the parties

NOTES:

- For the purpose of calculating commissions, the MCI (Monthly Calculation Index) established by the Law of the Republic of Kazakhstan on the Republican Budget for the relevant calendar year in which the transaction or operation is executed shall apply.
- The commissions specified above do not include fees, charges, tariffs and expenses of third-party organizations, service providers, counterparties and other market participants.
- In respect of transactions executed on KASE, OTC markets and international markets, all commissions of third-party organizations, taxes, duties, levies and other transaction-related expenses shall be borne by the Client.

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- Commissions for transactions executed in a currency other than the currency in which the commission is payable by the Client shall be converted at the market exchange rate determined in accordance with the regulatory legal acts of the authorized body as of the transaction execution date.
- Taxes, duties and other charges (including, without limitation, stamp duty and similar taxes) payable in the Republic of Kazakhstan or any other jurisdiction shall be borne by the Client.
- Broker, stock exchange, Central Securities Depository and other transaction-related fees and charges shall be reimbursed by the Client in accordance with the Brokerage Agreement.
- The Broker's commissions do not include tariffs and fees charged by professional securities market participants and other counterparties involved in transactions and operations with financial instruments. Where the price of an equity financial instrument is not specified in the instruction for withdrawal, the commission shall be calculated based on the market value of such financial instrument as of the date of acceptance of the instruction. In the case of debt financial instruments, the commission shall be calculated based on the nominal value of the relevant securities.

CSD – Joint Stock Company “Central Securities Depository of Securities”

KASE – Joint Stock Company “Kazakhstan Stock Exchange”

MCI (Monthly Calculation Index) – a statutory indicator established annually by the legislation of the Republic of Kazakhstan and used for the calculation of taxes, fees, penalties and other mandatory payments.