

Qualified Settlement Fund Administrator Due Diligence Checklist



Regulatory Oversight

- Is the administrator a licensed trust company or regulated institution?
- Is the administrator subject to fiduciary standards and examination?

Independence

- Is the administrator independent from planners, brokers, and insurers?
- Does the administrator avoid commissions or product sales?

Tax Awareness

- Does the administrator have experience with taxable settlements?
- Are attorney fee tax implications understood and supported operationally?

Distribution Efficiency

- Are distributions timely and predictable?
- Are partial or staged distributions supported when appropriate?

Transparency

- Are statements, balances, and fees clearly reported?
- Is interest allocation transparent?

Audit and Records

- Are records retained long term?
- Can the administrator support audits or inquiries?

Ethical Alignment

- Does the provider support informed client decision making?
- Does provider selection enhance net plaintiff recovery?