



**Form ADV Part 2B
Brochure Supplement
for**

Dylan M. Pollock

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Date of Brochure: March 2026

This brochure supplement provides information about Dylan M. Pollock that supplements the RSG Investments brochure. You should have received a copy of that brochure. Please contact our compliance department at (859) 287-1657 if you did not receive RSG Investment's brochure or if you have any questions about the contents of this supplement.

Additional information about Dylan M. Pollock is also available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Name: Dylan M. Pollock

Year of Birth: 1994

Education: William Jewell College, Bachelor of Science in Business Administration, 2017
University of Missouri-Kansas City, Master of Business Administration, 2025

Business Background:

- RSG Investments, LLC
Investment Adviser Representative, 10/2025 – Present
- Retirement Solutions Group, Inc.
Insurance Agent, 10/2025 – Present
- Commerce Trust Company
Middle Office Administrator II, 07/2024-09-2025
- American Century Investments
Investment Representative/Client Relationship
Associate, 09/2019-05/2024

Item 3 – Disciplinary Information

Mr. Pollock has no civil, criminal, administrative or regulatory events to report. Additional information regarding Mr. Pollock's registration as an investment adviser may be found by accessing the SEC's public disclosure website at <https://www.adviserinfo.sec.gov>.

Item 4 – Other Business Activities

Mr. Pollock is a licensed insurance agent through our affiliate Retirement Solutions Group. As an insurance agent, he sells other products or provides services outside of their role as investment adviser representative with RSG Investments, LLC. As part of the clients' overall financial planning services, Dylan Pollock has the option to recommend the use of certain insurance products to accomplish the client's financial or investment objectives. This could be in conjunction with their existing advisory services in lieu of separately managed accounts (specifically, in lieu of cash and fixed income asset classes).

Although RSG Investments, LLC and its investment adviser representatives owe you a fiduciary duty, it should be noted that the receipt of a commission by the financial professional and our affiliate provides a variety of incentives to recommend these products. For example, Mr. Pollock and the affiliate will earn a larger commission the more assets are invested in an annuity, therefore they are economically incentivized to recommend that you purchase an annuity over placing those assets in a brokerage or advisory account, which may provide lower total compensation. Our financial professional and affiliate could also be incentivized to recommend a product that pays a commission now, versus an advisory product that pays fees over a longer period. As an example, all other variables held equal, a 5% commission paid by an insurance company upon sale of a \$100,000 annuity product, may be more attractive to a financial professional than a one percent (1%) advisory fee charged on a \$100,000 account paid over a period of five (5) years, despite the overall pre-tax compensation paid to the financial professional being equal. Note that some products pay a higher street or bonus commission than others, increasing this incentive and creating an economic incentive to favor higher fee-paying products.

In exchange for selling you insurance products, Dylan Pollock and our affiliate may also receive additional

compensation or incentives in the form of bonus commissions, gifts, meals or entertainment, reimbursement for training, marketing, education, advertising, or travel expenses associated with sponsored conferences or events. The exact compensation cannot be accurately calculated at the time of recommendation because they rely on sales goals, but you should be aware that there are a variety of forms of indirect compensation paid by carriers and insurance marketing organizations, and this compensation creates a conflict of interest.

You should be aware you have the option to implement any insurance recommendations through outside insurance brokers or agents. You are in no way required to implement any insurance product recommendations through their RSG Investments, LLC representative in their capacity as a licensed insurance agent.

Item 5 – Additional Compensation

Mr. Pollock may receive compensation or benefits through his affiliation with Advisors Excel, LLC (and/or affiliated companies). Such additional compensation generally consists of cash bonus payments and reward trips based on insurance product sales performance. This presents a conflict of interest, as it creates an incentive to meet sales goals. RSG Investments addresses this potential conflict with disclosures and supervisory procedures, which require all investment advisory recommendations to be in the best interests of our clients.

Mr. Pollock is a licensed insurance agent. When he sells an insurance product, he will receive a commission. As stated in Item 4, this creates a conflict of interest which RSG Investments addresses by disclosures and supervisory procedures, which require all investment advisory recommendations to be in the best interests of our clients.

Item 6 – Supervision

Alan Becker, the CEO of RSG Investments, is charged with the oversight of Mr. Pollock's activities related to investment advisory services including but not limited to account opening processes, advice provided, transaction suitability, model or investment selection/proposal, and the reviewing of correspondences. If you have any questions or concerns, Mr. Becker can be contacted at (913) 685-9422.