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Not an offer or solicitation

# Alpha Wealth Funds

# Alpha Wealth Volatility Advantage Fund, LP

An options-based hedge fund strategy


1887 Gold Dust Lane, Suite 203A
Park City, Utah 84060

(435) 269-4100
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ALPHA WEALTH FUNDS

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Alpha Wealth also offers certain strategies through separately managed accounts ("SMAs"). SMA services are provided pursuant to written advisory agreements and Alpha Wealth Funds Form ADV Part 2A Brochure, which describes risks, fees and conflicts of interest in more detail and is available at www.adviserinfo.sec.gov or upon request.

No assurance can be given that any Fund or SMA strategy will achieve its objectives or that an investor will receive a return of all or part of its investment. The fees and expenses charged in connection with a Fund may be higher than the fees and expenses of other investment alternatives and may offset profits significantly. All statements herein are qualified in their entirety by reference to the Memorandum, and to the extent that this document contradicts the Memorandum, the Memorandum shall govern in all respects.

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**PAST PERFORMANCE IS NOT INDICATIVE OF FUTURE RESULTS.**

# DISCLAIMER REGARDING PERFORMANCE

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The performance information contained herein reflects past performance. In considering any performance data contained herein, you should bear in mind that past performance is not indicative of future results, and there can be no assurance that any Fund or Separately Managed Account ("SMA") will achieve comparable results. You should also bear in mind that past portfolio characteristics may not be indicative of future portfolio characteristics, and there can be no assurance that any Fund or SMA will have comparable portfolio characteristics. Unless otherwise stated, the past returns presented on a "net" basis include all amounts received on investments, including interest, dividends, principal payments, prepayment penalties, transaction fees, and capital gains with a deduction for losses and write-downs and as reduced by management and advisory fees, carried interest (whether actual or estimated), taxes and allocable expenses borne by investors (including fund and organizational expenses).

Nothing contained herein should be deemed a prediction or projection of the Fund's future performance. No representation is made regarding the accuracy of any statements, estimates, or projections contained herein. Fund Performance returns reflect the time-weighted return, net of (i) an annual management fee of 2.0%, (ii) a performance allocation of 20%, taken annually, subject to a "high water mark," and (iii) transaction costs and operating expenses for a hypothetical partner investing at inception of the respective Fund. SMA performance returns, unless otherwise indicated, reflect a 1.5% annual asset-based management fee, net of trading costs and other account-level expenses and may differ based on account size, timing of investments and other factors.

Comparative Index information shown herein is included to show relative performance for the periods indicated and not as a standard of comparison, as the individual funds, SMAs or securities included in the Comparative Indexes. Comparative Indexes may differ in numerous respects from the strategies presented.. The Comparative Indexes were selected by Alpha Wealth Funds, LLC ("Alpha Wealth") based on its judgment and using criteria that Alpha Wealth believes to be appropriate; Alpha Wealth does not make any representations or warranties regarding the appropriateness of a specific index or indices. Index information was compiled from sources that Alpha Wealth believes to be reliable; however, Alpha Wealth does not make any representations or guarantees hereby with respect to, and has not or confirmed, the accuracy or completeness of such data.

**PAST PERFORMANCE IS NOT INDICATIVE OF FUTURE RESULTS.**

ALPHA WEALTH FUNDS

# ALPHA WEALTH FUNDS, LLC

## Private Investment & Wealth Advisory Firm

**WHO ARE WE**

Founded in 2010, Alpha Wealth Funds, LLC is an award-winning private investment and wealth advisory firm based in Park City, Utah. Built on the principle of disciplined innovation, we deliver institutional-grade investment management with concierge-level service to an exclusive group of clients.

**WHAT WE DO**

We specialize in transformative wealth management that integrates both traditional and alternative investments. Our in-house services include hedge funds, customized SMA portfolios, financial and retirement planning, tax strategy and filing, and estate and trust solutions, all designed to help individuals, family offices, and institutional clients preserve, grow, and transition wealth across generations.

PARK CITY'S BEST®

**2025 Best Wealth Management Firm in Park City***

*'Best Investment Firm in Park City' was awarded in 2021 & 2025 by The Park Record based on a public vote. The award is not related to investment performance and does not guarantee future results.

# ALPHA WEALTH FUNDS

## Transformative Wealth Management

Delivering full in-house wealth solutions that go beyond traditional investment management integrating strategy, planning, and execution **under one roof**

### Boutique Firm

Exclusive, relationship driven service designed for a select group of clients
We truly know our clients

### Active Strategies

Non-correlated, income focused strategies designed to protect capital while generating consistent returns across market cycles

### Earns Your Trust

Wealth management that earns our clients' trust year after year, generation after generation. We partner for life, not just performance

FULLY INTEGRATED WEALTH SERVICES

Investment Management • Financial Planning • Tax Strategy & Filing • Estate Planning

# Investment Team

ALPHA WEALTH FUNDS

## Chase Thomas, CFP

Owner, Fund Manager

Chase Thomas is a seasoned financial professional and the **Owner of Alpha Wealth Funds**. He began his career at Fidelity Investments, where he served as a Retirement Relationship Manager and helped oversee $500 million in retirement assets.

Chase manages the Alpha Low Volatility Fund, Alpha Synthetic Equity Protection Fund, and Alpha Wealth Volatility Advantage Fund, specializing in derivative hedging strategies. Chase is also a Certified Financial Planner (CFP).

A Utah native and BYU graduate, he enjoys skiing, trail running, rock climbing, dancing, and the arts with his wife and growing family.

## Harvey Sax

Fund Manager

Harvey Sax manages The Insiders' Fund. Prior to founding the fund, he served as Senior Vice President at Oppenheimer and Paine Webber, and Associate Director at Bear Stearns. In addition to his financial expertise, Harvey is recognized as a pioneer in technology, having created one of the first publicly traded Internet companies.

He holds a B.A. from Emory University and a Master's in Security Analysis and Portfolio Management (MSAPM) from Creighton University. With experience as a stockbroker, investment banker, and CEO, Harvey brings a rare 360-degree perspective to investing.

# Historical Performance

ALPHA WEALTH FUNDS

## Coming Soon!

Please refer to the fund tear sheet for historical performance data

# Investment Terms & Fund Structure

ALPHA WEALTH FUNDS

## INVESTMENT TERMS

| | |
|---|---|
| Domicile: | **Utah Limited Partnership** |
| General Partner: | **Alpha Wealth Funds, LLC** |
| Investor Suitability: | **Accredited and Qualified** |
| Minimum Investment: | **$250,000** |
| Investment Period: | **Monthly** |
| Management Fee: | **2% annually** |
| Incentive Allocation: | **20% of Profits** |
| High-Water Mark: | **Yes** |
| Lock-up Period: | **None** |
| Redemption Period: | **Monthly**, w/ 30-day notice |

## INDEPENDENT THIRD PARTY OVERSIGHT

| | |
|---|---|
| **Legal Advisor** | **Hansen Black Anderson Ashcraft PLLC**<br>3051 W. Maple Loop Drive, Suite 325<br>Lehi, UT 84043 |
| **Fund Administration** | **NAV Consulting, Inc.**<br>1 Trans Am Plaza Drive, Suite 400<br>Oakbrook Terrace, IL 60181 |
| **Prime Broker** | **Interactive Brokers LLC**<br>One Pickwick Plaza<br>Greenwich, CT 06830 |
| **Accountant and Auditor** | **Berkower LLC**<br>517 Route 1, Suite 4103<br>Iselin, NJ 08830 |

## CONTACT US

Alpha Wealth Funds, LLC
info@alphawealthfunds.com
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