



SJ SECURITIES

ACCOUNT OPENING FORM

SHARE TRADING ACCOUNT OPENING

A) GUIDE FOR COMPLETION OF APPLICATION FORM

Please read the instructions carefully before completing the form. ☒ Tick where applicable

Important Note:

- 1 Please complete all applicable fields on this Application Form. Please write in BLOCK LETTERS.
- 2 All relevant information must be completed. Any amendment must be legible and should be countersigned by the applicant and / or the Dealer's Representative ("DR"), as the case may be.
- 3 A form that is incomplete, illegible or defaced may result in the application being rejected.

B) ACCOUNT APPLICATION DETAILS

Type of Application	☐ Individual ☐ Corporate		
Type of Account	(only one option can be selected) (multiple options can be selected)	☐ Retail ☐ SMT ☐ Others (Please Specify): _____	☐ SJPP ☐ External Margin ☐ Cash Plus

C) INDIVIDUAL/CORPORATE DATA

Title	☐ Mr. ☐ Ms. ☐ Mdm. ☐ Others (Please Specify): _____		
Name (as per NRIC/Passport/Authority Card/ Business Registration)			
Nationality/Place of Incorporation	☐ Malaysian ☐ Others - Country of Origin: _____		
Race	☐ Malay ☐ Chinese ☐ Indian ☐ Others (Please Specify): _____		
New NRIC/Registration No.		Old NRIC/Passport No.	
SST No. (if applicable)		Passport Expiry Date (DD/MM/YYYY)	
Tax Identification No.			
Date of Birth/Incorporation (DD/MM/YYYY)		Place of Birth/Incorporation	
Tax Residential Status	☐ Resident ☐ Non Resident	Gender	☐ Male ☐ Female
Marital Status	☐ Single ☐ Married ☐ Others		
Registered Address (address as per NRIC/Business Registration/Country of Origin)			
			Postcode
Correspondence Address (if different from Registered Address)			
			Postcode
Contact No.	Home No. +6 0	H/P No. +6 0	
Email Address			
Notes: i. Payment Vouchers/Contract Notes/Statements/Correspondence Notification shall be transmitted via electronic as per your email address provided. SJ SECURITIES SDN BHD (SJS) shall not be held liable for any losses or damages due to an invalid email address provided by you in the course of utilizing the services offered by us and our agents. ii. Applicant undertakes to inform SJS of any change or termination of the registered email address.			

SJ SECURITIES SDN BHD (198501009222)

A Participating Organisation of Bursa Malaysia Securities Berhad

26, Jalan Pendaftar U1/54, Temasya Glenmarie, 40150 Shah Alam, Selangor Darul Ehsan, Malaysia
☎ +603 5567 3000 (general) | 5567 3088 (dealing) | 5567 3030 (CSR Helpline) ☎ +603 5567 3051 🌐 www.sjsec.com

I / We hereby request and authorise SJS to pay all types of payments due to me / us, including but not limited to sale proceeds and contra gains, in the following manner. (Please tick one only)

☐ Option 1 : To credit directly into my / our bank account maintained with:

Bank	Account No.	Joint Account
		☐ Yes ☐ No

☐ Option 2 : To credit directly into my / our trust account maintained with SJS

I / We agree that SJS may at its sole and absolute discretion pay interest to me / us after deduction of administrative fee.

For withdrawal of money from the trust account, please credit directly into my / our bank account maintained with:

Bank	Account No.	Joint Account
		☐ Yes ☐ No

D) FOR INDIVIDUAL ONLY

Employment/Business	☐ Employed ☐ Own Business ☐ Others (Please Specify): _____		
Designation		Years in Employment/Business	
Name of Employer/Company			
Address of Employer/Company			
			Postcode
Office No.	+6 0		
Nature of Business/ Employment	☐ Capital Market/Financial ☐ Government ☐ Money Changer/Lender ☐ Oil & Gas ☐ Property/Construction ☐ Plantation ☐ Gaming/Lottery/Entertainment ☐ Education ☐ Insurance/Assurance ☐ Automobile ☐ Trading/Services/F&B ☐ Medical ☐ Housewife/Student/Retiree ☐ Manufacturing ☐ Engineering/Technology/Telco ☐ Legal Services ☐ Professional Services ☐ Others (Please Specify): _____		
Source of Funds (May tick more than one)	☐ Employment ☐ Business Income ☐ From Family Member(s) ☐ Savings / FD ☐ Inheritance ☐ Pension ☐ Investment Return ☐ Rental ☐ Others (Please Specify): _____		
Current Annual Income	☐ RM0 - RM49,999 ☐ RM50,000 - RM99,999 ☐ RM100,000 - RM299,999 ☐ RM300,000 - RM499,999 ☐ ≥ RM500,000		
Estimated Net Worth	☐ RM0 - RM99,999 ☐ RM100,000 - RM499,999 ☐ RM500,000 - RM999,999 ☐ RM1.0 Mil - RM2.99 Mil ☐ ≥ RM3.0 Mil		

E) DETAILS OF SPOUSE (MANDATORY IF MARRIED) / NEXT OF KIN

Name (As per registered documents)			
Relationship with applicant	☐ Spouse ☐ Child ☐ Parent ☐ Sibling ☐ Others (Please Specify): _____		
Designation		Years in Employment/Business	
Name of Employer/Company			
Annual Income (RM)		Contact No.	+6 0

F) FOR CORPORATE ONLY

Source of Funds	☐ Banking Facilities ☐ Internal Funds ☐ Others (Please Specify): _____		
Contact Person			
Website Address (if applicable)			
Issued Paid Up Capital (RM)		No. of Shares (Unit)	
Total Net Assets (RM) (based on last audited account)			
Is the Corporation involved in any litigation / winding up process? ☐ Yes ☐ No			
Type of Organisation	☐ Government ☐ Partnership ☐ Society/Association/Cooperative ☐ Government Controlled ☐ Public Company ☐ Foreign Corporation ☐ Sole Proprietor ☐ Private Company ☐ Others (Please Specify): _____		
Nature of Business	☐ Capital Market/Financial ☐ Government ☐ Money Changer/Lender ☐ Oil & Gas ☐ Property/Construction ☐ Plantation ☐ Gaming/Lottery/Entertainment ☐ Education ☐ Insurance/Assurance ☐ Automobile ☐ Trading/Services/F&B ☐ Medical ☐ Legal Services ☐ Manufacturing ☐ Engineering/Technology/Telco ☐ Professional Services ☐ Others (Please Specify): _____		

G) RELATED PARTIES

1. Are you related to any staff / director / DR of SJS ☐ Yes (Kindly provide information below) ☐ No			
	<u>Name of Related Staff / Director / DR</u>	<u>Relationship</u>	
i.	_____	_____	
ii.	_____	_____	
iii.	_____	_____	
2. Is any person related to you (family member(s), companies under your / immediate family member(s)'s control) maintaining a trading account(s) with SJS? ☐ Yes (Kindly provide information below) ☐ No			
	<u>Name</u>	<u>Account Number</u>	<u>Relationship</u>
i.	_____	_____	_____
ii.	_____	_____	_____
iii.	_____	_____	_____
3. a. Do you have any existing trading account(s) with SJS? ☐ Yes (Kindly provide information below) ☐ No b. Do you maintain any trading account(s) with other brokers? ☐ Yes (Kindly provide information below) ☐ No			
	<u>Name of Broker</u>	<u>Type of Account</u>	<u>Credit Limit</u>
i.	_____	_____	_____
ii.	_____	_____	_____
iii.	_____	_____	_____
4. Are you an insider of any company(ies) listed in any recognized stock exchange(s)? ☐ Yes (Kindly provide information below) ☐ No (An insider is defined as a person holding a position of director, supervisor, officer or with shareholding of 10% and above of any company(ies) listed in a recognized stock exchange.) I undertake to notify SJS in the event of any changes in my status.			
	<u>Country</u>	<u>Company Name</u>	<u>Stock Code</u> <u>Position in the Company</u>
i.	_____	_____	_____
ii.	_____	_____	_____
iii.	_____	_____	_____
# Please attach details in separate sheet if the space provided is insufficient.			
5. a. Are you / any of the Directors of the Corporation a Politically Exposed Person (PEP) or a close associate of any PEP(s)? ☐ Yes ☐ No If yes, please provide details _____		b. Are any of your / the Directors of the Corporation family member(s) a PEP or a close associate of any PEP(s)? ☐ Yes ☐ No If yes, please provide details _____	

H) INVESTMENT OBJECTIVE, EXPERIENCE & ESTIMATED NET WORTH (May tick more than one)

1. Investment Objective	☐ Long term (>3 years)	☐ Medium term (1-3 years)	☐ Short term (<1 year)
2. What do you invest in and what is your investment experience(s)?			
☐ Shares (Local)	_____ Year(s)	☐ Unit Trust	_____ Year(s)
☐ Shares (Foreign)	_____ Year(s)	☐ Property	_____ Year(s)
☐ Savings/Fixed Deposits	_____ Year(s)	☐ Others	_____ Year(s)
☐ Structured Investment	_____ Year(s)		
☐ Bancassurance	_____ Year(s)		
3. Expected source and origin of funds to be used (trading)			
☐ Salary	☐ Business	☐ Savings	☐ Family
☐ Inheritance	☐ Others (Please Specify): _____		

I) ONLINE TRADING OPTIONS

1. Do you wish to activate online trading?	☐ Yes	☐ No
2. If Yes:		
a.	If you have an existing registered user ID, please specify. User ID: _____	
b.	If you do not have an existing User ID, please visit www.sjenie.com to sign up an online account and specify the registered User ID. Registered User ID: _____	

J) STRUCTURED WARRANTS RISK DISCLOSURE STATEMENT

Do you wish to trade in structured warrants?		☐ Yes	☐ No
1.	This statement is provided to you in accordance with the directive of the Committee of the Kuala Lumpur Stock Exchange dated 6 December 1994 pursuant to Article 50(c) of the Articles of the Exchange.		
2.	The purpose of this statement is to inform you that the risk of loss in purchasing structured warrants can be substantial. You should therefore assess if the purchase of structured warrants is suitable for you in light of your financial circumstances. In deciding whether to purchase structured warrants you should be aware of the following:		
(i)	The purchaser of a structured warrant is subject to the risk of losing the full purchase price of the structured warrant and all transaction costs;		
(ii)	In order to realise any value from a structured warrant, it is necessary to sell the structured warrants or exercise the structured warrants on or before their expiry date;		
(iii)	Under certain conditions, it may become difficult to sell the structured warrants;		
(iv)	Upon exercise of the structured warrants, the issuer may settle its obligations via actual delivery of the underlying assets, in cash or a combination of both depending on the terms of the issue of the structured warrants;		
(v)	Placing of contingent orders, such as "stop-loss" or "stop limit" orders, will not necessarily limit your losses to the intended amount. Market conditions may not make it possible to execute such orders; and		
(vi)	The high degree of leverage that is obtainable from structured warrants because of the small initial outlay can work against you. The use of leverage can lead to large losses as well as gain.		
3.	The brief statement cannot disclose all the risks and other aspects of purchasing structured warrants. You should therefore carefully study the terms and conditions of any structured warrant before you decide to purchase. If you are in doubt in relation to any aspect of this statement or the terms of a structured warrant, you should consult your broker.		

K) FORM OF DISCLOSURE BY CLIENTS OF PARTICIPATING ORGANISATION

Rule 5.15 (8) of RULES OF BURSA MALAYSIA SECURITIES BERHAD

I / We do solemnly declare that the dealings in securities in respect of my / our trading account are / shall be carried out :	
☐	For me / us as principal(s)
☐	For and on behalf of another person (applicable only to Executors / Administrators / Trust Foundation)
Note: Bursa Securities takes a serious view of any misrepresentation arising from the making of an erroneous disclosure or not making a disclosure at all.	
☐	I / We declare that the above information given by me / us is true and complete. I / We hereby authorise SJS to verify the information provided from whatever sources SJS considers appropriate.
☐	I / We understand that SJS reserves the right to decline or reject my / our application without assigning any reason whatsoever.
☐	I am / We are fully aware that the softcopy of the General Terms and Conditions (GTC) can be viewed and downloaded at any time from www.sjsec.com in addition to a copy that will be delivered to my / our registered email address above. I / We understand that a hardcopy of the GTC is available upon request. I / We shall always refer to SJS's website for the updated version of the GTC.
☐	I / We acknowledge that I/we have received a copy of the STRUCTURED WARRANTS RISK DISCLOSURE STATEMENT and understand its contents which have been explained to me.
☐	I / We declare that in applying for, using or continuing to use SJS services, including any other contractual relationship that I / we may have with SJS, I / we shall be deemed to have read, accepted and consented to the full provisions of the GTC governing my / our relationship with SJS.

Signature of Client
(Individual) / Authorised Signatory
(Corporate) : _____

Name : _____

NRIC / Passport No. / Reg No. : _____

Designation (for Corporate Only) : _____

Please affix company stamp /
Seal (for Corporate Only) : _____

Date (DD/MM/YYYY) : _____

Signature of Client
(Individual) / Authorised Signatory
(Corporate) : _____

Name : _____

NRIC / Passport No. / Reg No. : _____

Designation (for Corporate Only) : _____

Date (DD/MM/YYYY) : _____

TO BE COMPLETED BY DR

Signature of Witness (DR) : _____

Name : _____

NRIC No. : _____

License No. : _____

DR Code : _____

Date (DD/MM/YYYY) : _____

TYPE OF ACCOUNT	CREDIT LIMIT APPLIED FOR (RM)
Retail	
SJPP	
Cash Plus	
SMT	
External Margin	
Others	

Type of Application : ☐ Face-To-Face ☐ Non Face-To-Face

FOR OFFICE USE ONLY

TYPE OF ACCOUNT	APPROVED CREDIT LIMIT (RM)	CLIENT CODE	CDS ACCOUNT NO.
Retail			
SJPP			
Cash Plus			
SMT			
External Margin			
Others			

Approved by
(Authorised Signatories) : _____

Date (DD/MM/YYYY) : _____