SURAAG CAPITAL MANAGEMENT PRIVATE LIMITED

CIN: U66190DL2025PTC453437

Investment Manager to Suraag Investment Trust

SEBI Registration No.: IN/AIF3/25-26/2113

INVESTOR CHARTER FOR ALTERNATIVE INVESTMENT FUNDS

Effective Date: April 2026

Document Owner: Compliance Group

www.suraagcapital.com

A. Vision and Mission Statement

Vision

To develop the Alternative Investment Fund ("AIF") industry on professional and ethical lines and maintain high standards of governance and transparency.

Mission

- Maintain high professional and ethical standards within the AIF industry.
- Comply with all applicable regulations and co-operate with the regulators in all aspects of the AIF related activity.
- Act in a fiduciary capacity towards the investors.

B. Details of Business Transacted with Respect to Investors

- To raise capital from domestic and global investors.
- To invest in portfolio companies in accordance with investment strategy stated in Fund documents of the respective schemes managed by the Investment Manager, with an objective to generate positive returns for the stakeholders including investors.
- To distribute returns to the investors as per the fund documents.

C. Details of Services Provided to Investors

1. On-boarding of Investors

1.1. Sharing of the Private Placement Memorandum ("PPM").

1.2. Account opening with the AIF:

- Completing KYC of investors and registration of KYC with KRAs.
- Sharing of copies of fund documents with investors.
- Entering into contribution agreement with investor.

2. Obtaining Investor Consent for Material Changes to Fund Structure

2.1. Change in the sponsor or the manager of the AIF.

2.2. Change in control of the sponsor or the manager of the AIF.

2.3. Material changes to terms of PPM:

- Term of Fund.
- Investment Strategy.
- Increase in fees and charges.

2.4. Winding up of Fund/Scheme prior to expiry of tenure.

3. Dissemination of Financial Information of Fund

3.1. Net Asset Value of Fund/Scheme.

3.2. Financial information of investee companies.

3.3. Information on performance of scheme/fund.

4. Disclosures with Respect to Material Risks

4.1. Any inquiries/legal actions by legal or regulatory bodies in any jurisdiction.

4.2. Any material liability arising during the tenure of the fund.

4.3. Any breach of a provision of the PPM or any other agreement made with the investor or any other fund documents.

4.4. Intimation regarding any conflict of interest.

4.5. Risks associated with the portfolio, such as concentration risk, foreign exchange risk, leverage risk, realization risk, strategy risk, reputation risk, extra-financial risks such as social and corporate governance risks etc. at fund and investee company level.

5. Intimation of Non-material Changes

5.1. Non-material changes such as:

- Bank account details
- Address of AIF or its Manager or Sponsor
- Contact details such as email-id, contact number, etc. of AIF or its Manager or Sponsor

6. Grievance Redressal

Redressal of investor complaints received directly from investors and/or from SEBI / SCORES.

D. Timelines of Activity/Services Provided to Investors

Sr.	Description	Timeline
1.	Valuation related disclosures:	
a.	Disclosure of NAV of scheme(s) of the Category III AIF	Open-ended: Monthly basis
2.	Transparency related disclosures:	
a.	Disclosure of financial information of investee companies	Within 60 days from the end of the quarter
b.	Disclosure of Material risks: concentration risk, foreign exchange risk at fund level and leverage risk, realization risk, strategy risk, reputation risk at investee company level, extra-financial risks such as social and corporate governance risks etc.	Within 60 days from the end of the quarter
c.	Financial, risk management, operational, portfolio, and transactional information regarding fund investments	To be disclosed periodically to the investors
d.	Any fees ascribed to the Manager or Sponsor; and any fees charged to the AIF or any investee company	To be disclosed periodically to the investors
e.	Any inquiries/legal actions by legal or regulatory bodies in any jurisdiction	As and when occurred
f.	Any material liability arising during the AIF's tenure	As and when occurred
g.	Any breach of a provision of the PPM or agreement made with investor or any other fund documents	As and when occurred
h.	Intimation regarding conflict of interest in any transaction	As and when they arise or seem likely to arise
i.	Any change in terms of PPM / fund documents	On consolidated basis within one month of end of each financial year
3.	Complaint handling related services:	
a.	Response to complaint received from investors	Within 21 days from the date of receipt of complaint
b.	Redressal of investor complaint received from SEBI/SCORES	Within 21 days from the date of receipt of complaint

E. Details of Grievance Redressal Mechanism

1. Alternative Investment Funds are required to redress all investor complaints in a timely manner.

2. An AIF, by itself or through the Manager or Sponsor, is required to lay down procedure for resolution of disputes between the investors and AIF or Manager or Sponsor through arbitration or any such mechanism as mutually decided between the investors and the AIF.

3. In case of any grievance/complaint, an investor should approach the Investment Manager through email at contact@suraagcapital.com and shall ensure that the grievance is resolved within 21 (twenty-one) calendar days of receipt of the same.

4. Investors can send letters to the Company or contact through telephone at:

Suraag Capital Management Private Limited

404, Pragati Deep Laxmi, Nagar District Centre, East Delhi, Delhi - 110092

Email: contact@suraagcapital.com

Phone: +91 9818924000

5. If the investor's complaint is not redressed satisfactorily, one may lodge a complaint with SEBI on SEBI's "SCORES" portal which is a centralized web-based complaints redressal system. SEBI takes up the complaints registered via SCORES with the concerned intermediary for timely redressal. SCORES facilitates tracking the status of the complaint.

6. With regard to physical complaints, investors may send their complaints to: Office of Investor Assistance and Education, Securities and Exchange Board of India, SEBI Bhavan, Plot No. C4-A, 'G' Block, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051.

7. After exhausting all afore-mentioned options for resolution, if the investor is not satisfied, they could initiate dispute resolution through the Online Dispute Resolution Portal (ODR) at https://smartodr.in/login. Alternatively, the client can directly initiate dispute resolution through the ODR Portal if the grievance lodged with the Investment Manager is not satisfactorily resolved or at any stage of the subsequent escalations mentioned above.

8. The dispute resolution through the ODR Portal can be initiated when the complaint/dispute is not under consideration in SCORES guidelines or not pending before any arbitral process, court, tribunal or consumer forum or are non-arbitrable in terms of Indian law.

F. Responsibilities of Investors

1. Responsibility to Inform and Educate Yourself

1.1. Read thoroughly all fund documents including PPM, Contribution Agreement, sales literature, newsletters and understand the product.

1.2. Carefully consider all investment risks, fees, and/or other factors detailed in these documents.

1.3. Ensure and make certain that the proposed investment in the Fund meets your investment objective and is in alignment with your risk appetite.

1.4. Review your portfolio holdings, account statements and transaction confirmation on regular basis to ensure that you are aware of all transactions and securities where you are invested.

2. Responsibility to Timely Update KYC

2.1. Provide complete and accurate information in your KYC documents, including financial/income status.

2.2. Timely updation of KYC information.

3. Responsibility to Abide by the Contribution Agreement

3.1. The investor needs to read carefully and understand the agreement that he/she is entering into with the Alternative Investment Fund and abide by the terms thereof.

3.2. The investor should be aware that investment terms are not guarantee of future performance or returns of the Fund/Scheme.

4. Responsibility to Use Right Financial Intermediaries

4.1. Carefully consider validity and reliability of investment information obtained from all sources, especially unsolicited information obtained over the Internet.

5. Responsibility to Maintain Confidentiality of Information

5.1. Investors shall not disclose any material non-public information that is received by virtue of being investors of the fund, except as may be guided by the terms of the fund documents.