

AML / KYC Overview

Roscap Limited (company number 13536901) ('Roscap', 'we', 'us' or 'our').

Roscap supports a robust approach to preventing money laundering, terrorist financing, and sanctions breaches. As part of our onboarding and transaction processes, we may request information and documentation to verify identities, ownership structures, and funding sources.

What we may request

- Individuals : photo ID (passport/driving licence), proof of address, date of birth, and contact details.
- Companies/SPVs : incorporation details, registered address, ownership and control structure, directors, beneficial owners (UBOs), and supporting constitutional documents.
- Source of funds/wealth : bank statements, sale agreements, audited accounts, or other supporting evidence as appropriate to the transaction.
- Screening : sanctions and politically exposed person (PEP) screening, and adverse media checks where appropriate.

How we use this information

- To assess and manage financial crime risks associated with a transaction or relationship.
- To satisfy legal and contractual requirements imposed by counterparties and professional advisers.
- To comply with applicable sanctions regimes and to protect Roscap and its clients from prohibited dealings.

Outcomes

If we cannot obtain satisfactory information or if concerns arise during screening, we may pause or decline to proceed with a mandate or introduction.

Data handling

We treat AML/KYC information as sensitive and restrict access. We store it securely and retain it only as long as necessary for compliance and record-keeping purposes, as described in our Privacy Policy.