

Allianz Authorization to Transfer Funds Tip Sheet

Please make sure to complete all applicable sections to expedite your transfer request to Allianz Life Insurance Company of North America (Allianz). Incomplete responses may delay processing of the request. For more information please see www.allianzlife.com.

Instructions

- If possible, attach a copy of the contract, policy or account statement for the funds being transferred.
- To avoid delays, complete this form carefully and obtain all necessary signatures.
- Return the original forms to the home office when completed (see mailing addresses below).
- Call the financial institution currently holding the assets to see what they require to transfer the funds. Many financial institutions have different requirements on what is needed to process an outgoing transfer request (e.g., a call to liquidate the account at the existing financial institution or their own transfer form may be required).
- Transfers can involve tax consequences. Customers may want to consult a tax professional before requesting a transfer.

Mailing Transfer Form/Check to Allianz

Allianz Fixed Annuity and Life Addresses:

If shipping **overnight**,
please send checks to:
Allianz
ATTN: 360348
500 Ross Street 154-0455
Pittsburgh, PA 15250

If sending regular mail
please send **checks** to:
Allianz
PO Box 360348
Pittsburgh, PA 15250-6348

If shipping **transfer form**
overnight (not including
checks), please send to:
Allianz
5701 Golden Hills Drive
Minneapolis, MN 55416-1297

Please send **transfer form**
(not including checks) to:
Allianz
PO Box 59060
Minneapolis, MN 55459-0060

Allianz Variable Annuity Addresses:

If shipping **overnight**,
please send checks to:
Allianz
NW 5989
1801 Parkview Drive
Shoreview, MN 55126

If sending regular mail
please send **checks** to:
Allianz
NW 5989
PO Box 1450
Minneapolis, MN 55485-5989

If shipping **transfer form**
overnight (not including
checks), please send to:
Allianz
5701 Golden Hills Drive
Minneapolis, MN 55416-1297

Please send **transfer form**
(not including checks) to:
Allianz
PO Box 561
Minneapolis, MN 55440-0561

Fax numbers

Fixed Annuities New Business fax number: 763-582-6603

Variable Annuities New Business fax number: 800-721-2672 or 763-765-7917

Life fax number: 763-582-6002

Questions

For assistance with completion of the Authorization to Transfer Funds form please call:

Fixed Annuity phone line: 800-950-7372

Variable Annuity phone line: 800-624-0197

Life phone line: 800-950-1962

Authorization to Transfer Funds

Funds to be applied to Allianz Life Insurance Company of North America (Allianz)

Fixed Annuity/Fixed Indexed Annuity Submitted through FMO Broker Dealer Variable Annuity Life Policy (new policy only)

New Allianz contract/policy number (if known): _____ Existing Allianz contract/policy number: _____
Original paperwork will need to be mailed to Allianz as many financial institutions will require originals.

Complete for existing contracts/policies only: Solicited Not Solicited

Select "Solicited" if your financial professional brought a specific product to your attention through any means including, but not limited to: phone, promotional material, mail or email. This also includes recommending you take a particular course of action in addition to purchasing a product such as transferring, surrendering, adding premium or partially surrendering.

Select "Not Solicited" if you made this decision independently, without input from your financial professional and your financial professional is not providing a recommendation in support of a buy, hold, sell, transfer or add premium transaction.

1. Financial institution holding assets

Company Name Contract/Policy/Account Number

Company Address (No PO Boxes)

City State ZIP Code Telephone Number

2. Existing owner information at financial institution shown in section 1

Owner First Name MI Last Name

Trust/Corporation Name Social Security Number/TIN

Address

City State ZIP Code

Joint Owner First Name (if applicable) MI Last Name Social Security Number

Insured/Annuitant First name (if other than owner) MI Last Name Social Security Number

The undersigned **requests** and directs the following action be taken to transfer the contract, policy, or account funds identified below.

3. Existing plan type for assets described in section 1 and 2

- Nonqualified or after tax Traditional IRA Roth IRA SEP IRA SIMPLE IRA¹
 Governmental 457(b) Qualified retirement plan (specify type: 401, Pension, PSP, 403(b))^{1,2} _____
 Beneficial _____ IRA (specify type: Traditional, Roth, SIMPLE)¹
 Qualified Plan Beneficiary Other _____

¹ SIMPLE IRAs are not available for variable annuities at Allianz. 403(b) contracts are not available at Allianz for fixed or variable business. However, SIMPLE IRA and 403(b) assets can be rolled over to an IRA at Allianz if the assets are eligible for rollover.

² Qualified plans (401(k)/pension plans) generally require their own withdrawal paperwork. Clients should contact their former employer to initiate the transfer. If a tax plan is not specified above, and an IRA is being established at Allianz, the transaction will be reported in the Rollover contributions box of IRS Form 5498.

4. Transaction Type (see page 3 of 3 for disclosures on the transaction being requested)

Nonqualified Exchange (as indicated in section 3):

- 1035 Exchange (registration of owner must be "like to like" with the same ownership)
Cost basis requested: In accordance with the Tax Equity and Fiscal Responsibility Act of 1982, furnish a statement to the Assignee and to the former contract, policy or account holder of the cost basis in the contract, policy or account if available.
 Non-1035 Exchange/other nonqualified assets

Qualified Exchange (as indicated in section 3):

- Direct Rollover (e.g., 401(k) to IRA) Direct Transfer (e.g., IRA to IRA)
 Roth IRA Conversion (IRA to Roth IRA) (see disclosure on acceptance letter provided by Allianz)

5. Type of investment held at financial institution described in section 1 and 2 (this section must be fully completed)

If the assets being transferred are currently or were held in an annuity contract or life insurance policy within the last 12 months, state replacement forms may be required in order to be compliant with your state's replacement regulations.

- Annuity**
 Variable Annuity Fixed Annuity/Fixed Indexed Annuity
 Life Policy
 Certificate of deposit (see section 6 for maturity date instructions)
 Brokerage account¹ **Mutual fund(s)**¹
 Money market(s)

¹ Contact financial institution to liquidate the account prior to submitting transfer paperwork for securities.

6. Transfer instructions for assets described in section 1 and 2 (this section must be fully completed)

This is to request liquidation and/or transfer from the contract/policy/account listed in section 1:

- Full liquidation (estimated \$ amount) _____
 Partial liquidation² (\$ amount) _____

² Partial 1035 exchange(s) is (are) not permitted on life policies. In order to be considered a 1035 exchange by the IRS, the amount being requested must be transferred and retained in the receiving contract/policy/account.

Transfer and/or liquidation effective:

- Immediately- I am aware of penalties that may occur from an early withdrawal.
 On maturity/liquidation date³ _____ / _____ / _____

³ Submit all transfer paperwork at least 10 business days prior to maturity date. Do not submit transfer paperwork requesting to hold for a maturity date any later than 15 business days. If outside of the time frame, requested processing can not be guaranteed. (Does not apply for life policies being established at Allianz.)

If neither box is checked, transfer/liquidation will occur immediately.

- Please waive any conservation period that may apply and process transfer request.**

Optional at the request of writing producer/registered representative: Overnight funds to Allianz (address on acceptance letter provided by Allianz)

Overnight Carrier (e.g., UPS, FedEx): _____

Overnight Account Number: _____

Return to Home Office

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7. Lost contract statement

- Contract is attached
- Certificate of lost contract – I/We certify that the above referenced contract has been lost or destroyed, and to the best of my/our knowledge and belief is not in anyone's possession.

8. Disclosures

I am aware of any surrender/withdrawal penalties which may apply, and I authorize the transaction described above. This transfer request also authorizes Allianz to receive information on the status of this transfer or exchange by phone or in writing.

The undersigned represents and agrees that Allianz is participating in this transfer at the undersigned's specific request. It is further agreed that Allianz has made no representations and that it has no responsibility nor liability concerning the tax treatment of this transaction under the Internal Revenue Code.

Transaction Disclosure Information

Tax Qualified Transactions:

Transfers: This Certificate of Deposit, brokerage account, mutual fund, money market, and/or annuity contract is held in the IRA type marked above and is to be transferred to the same type of IRA.

Direct Rollover: This amount represents all or part of my eligible rollover distribution. I understand there will be no mandatory 20% withholding from this distribution because it is a direct rollover to an eligible retirement plan as defined under applicable tax law.

Required Minimum Distributions:

Important note to existing financial institution: If I must receive a required minimum distribution (RMD) for any reason (I am age 70 1/2 or older, this is a beneficial IRA, etc.), do not transfer or roll over my current year's RMD calculated for this account.

Important note to owner: The existing financial institution has the most accurate information to ensure that you receive the correct RMD from this account. If you do not receive the full amount of your RMD, you may be subject to an IRS penalty of up to 50% of the underpayment. If necessary, instruct your existing financial institution before effecting this transfer to either: (1) pay your RMD to you now, or (2) retain that amount for distribution to you later.

Nonqualified Transactions

Annuity/Life 1035: Surrender a nonqualified annuity contract(s) or life insurance policy for the purchase of another nonqualified annuity contract under Sec 1035 of the Internal Revenue Code. Annuities only: For partial 1035 exchanges, any surrender or withdrawal from the existing or new annuity contract within 180 days of the exchange may subject you to adverse tax consequences unless you receive amounts as an annuity for the period of 10 or more years (or over your life expectancy). Please see your tax professional for further details.

Surrender (Annuity/Life): The undersigned as owner of this contract or policy specified in this transaction, elects to surrender the assets for its net cash value and directs the transferring company to make payment(s) to the name Assignee. This does not qualify as a 1035 exchange.

Absolute Assignment for 1035 Exchanges of Life or Annuity Contracts

The owner of the above contract(s) hereby assigns ownership and beneficial rights under the contract(s) to the following assignee, Allianz Life Insurance Company of North America, Assignee ID Number: 41-1366075.

All previous designations of beneficiary and payee, and all previous elections of payment options under the contract(s) as to the partial or total amounts shown above, are revoked. The sole beneficiary and payee of the partial or total amounts shown above, shall be the named assignee.

IRA Rollover

Please note that, effective January 1, 2015, if you make a tax-free IRA to IRA rollover, you cannot, within a one-year period, make another tax-free rollover of a distribution from any of your IRAs to another IRA. Please consult your tax advisor for any questions.

9. Transaction authorization

Owner/Plan Administrator

Date

Joint Owner (if applicable)

Date

Annuitant/Insured (life policy/different than owner)

Date

Spouse¹ (Only in AK, AZ, CA, ID, LA, NM, NV, TX, WA, WI)

Date

Trust: _____
Trustee's Signature

as trustee of the: _____ Date _____
Trust Name (Printed)

Medallion Stamp Guarantee

For requesting securities at the transferring company, if required.

¹ If you reside in one of the above listed community property states, the spouse must also sign.

If you have additional questions, please call Allianz at 800.950.5872.

Return to Home Office

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