MODEL EXCLUSION - ALLOCATIONS ADDENDUM

Client Name	Account Number	NEW ☐ UPDATE ☐

	Ticker	Name	Amount
☐	VT	Vanguard Total World Stock ETF	
☐	ITOT	iShares Core S&P Total US Stock Mkt ETF	
☐	SPLG	SPDR® Portfolio S&P 500® ETF	
☐	QQQ	Invesco QQQ Trust	
☐	SPYG	SPDR® Portfolio S&P 500 Growth ETF	
☐	SPYV	SPDR® Portfolio S&P 500 Value ETF	
☐	SPMD	SPDR® Portfolio S&P 400™ Mid Cap ETF	
☐	SPSM	SPDR® Portfolio S&P 600™ Sm Cap ETF	
☐	SPDW	SPDR® Portfolio Developed Wld ex-US ETF	
☐	SPEM	SPDR® Portfolio Emerging Markets ETF	
☐	AGG	iShares Core US Aggregate Bond ETF	
		TOTAL	

	Amount	Percentage Invested in Model Exclusion - Allocations Sleeve
Total Investable Assets		
Total Assets with Capita		

RATIONALE FOR RECOMMENDATION (Check all that apply)

- ☐ Enhances diversification through additional targeted exposure
- ☐ Fills an allocation exposure gap in portfolio
- ☐ Aligns with client values or beliefs
- ☐ Volatility & Risk Profile align with client goals
- ☐ Other: ____

Advisor Name (print) ____________________ Advisor Signature ____________________ Date ____________________