OPTIONS BINARY PROTOCOL ORDER ENTRY PORT REQUEST FORM

ORDER TYPE

☐ Addition   ☐ Removal   ☐ Change to Existing

PORT DETAILS

Service Bureau? (only check "Yes" if you are a Service Bureau): ☐ Yes ☐ No

Connectivity Site:
☐ IEX POP: Secaucus (Hot/Primary)
☐ IEX Test Facility ("ITF"): Secaucus (Non-Production)
☐ Disaster Recovery: Chicago (Cold/Secondary)

Type of Access: ☐ Cross-Connect   ☐ 3rd Party Extranet: _____

SenderCompID: _____

Drop Copy Port: ☐ New   ☐ Existing

MPID(s) to Drop: _____

Message(s) to Drop:
☐ Qty: _____
☐ Fills   ☐ Busts/Adjusts   ☐ Statuses (Acks, Cancels, Rejects, etc.)

Order Entry Port: ☐ Qty: _____

Order Entry Port Minimum Required Configurations:

Clone an Existing Port?	☐ Yes	☐ No	Username: _____
Default MPID for this Port:	___ _____		
MPID(s) Permissioned on this Port:	___ _____ ___		
Routing Enabled*?	☐ Yes	☐ No	
Default CancelInsteadOfSlide Value?	☐ Cancel	☐ Slide	☐ None
SUM Orders by Default?**	☐ Yes	☐ No	
If Yes: Expose Capacity by Default?	☐ Yes	☐ No	
If Yes: Expose MPID by Default?	☐ Yes	☐ No	
Receive Unsolicited Restatement Messages?***	☐ Yes	☐ No	
Allow Trade Bust/Adjust Messages?	☐ Yes	☐ No	
Cancel on Disconnect Enabled?	☐ Yes	☐ No	
Enable Anti-Internalization (AIQ) by Default****?	☐ Yes	☐ No	

If Yes: Default by Firm or MPID?	☐ Firm (Member)	☐ MPID		
If Yes: Default Modifier	☐ Cancel Newest	☐ Cancel Oldest	☐ Cancel Smallest	☐ Cancel Both
If Yes: Default Trading Group ID (optional)	TradingGroupID: _____			
Is this Session intended for Sponsored Access?	☐ Yes	☐ No		

Note: Each port is delivered as a primary logical port at the requested IEX data center.

REMOVAL REQUEST

Order Entry Port	☐ Username(s): _____	Reason for Removal: _____
Drop Copy Port	☐ SenderCompID(s): _____	Reason for Removal: _____

ADDITIONAL COMMENTS OR REQUEST NOTES

_____

_____

_____

ORDER AUTHORIZATION

MPID(s) / Company Name:

Authorized Contact (Please Print):

Authorized Signature: **Date:**

*Users who wish to enable routing must complete and submit a Securities Routing Agreement found in the <u>User Agreement</u>.

**If unspecified, default treatment for orders is to be SUMed with only order info (no Capacity or MPID) exposed.

***For Binary Order Entry Sessions, "Unsolicited Restatement Messages" include receipt of Unsolicited Modify Acknowledgement messages.

****AIQ is an optional functionality that enables a User to prevent two of its orders from executing against each other. A User can set default AIQ functionality by logical port. Please refer to the IEX Binary Options Protocol Specification for further details on this behavior. All services and products requested on this form are governed by the terms in the User Agreement and the Exchange Rules.

If you do not receive a written confirmation from IEX within 3 business days that your request has been received and processed, please contact Market Operations at 646.343.2310 or <u>OptionsMktOps@iextrading.com</u>. Please refer to the IEX Fee Schedule posted at <u>www.iex.io</u> or the latest price list.