



# OPTIONS FIX PORT REQUEST FORM

## ORDER TYPE

Addition     Removal     Change to Existing

## PORT DETAILS

Service Bureau (only check "Yes" if you are a Service Bureau):

Yes    No

Connectivity Site:     IEX POP: Secaucus (Hot/Primary)     Disaster Recovery: Chicago (Cold/Secondary)  
 IEX Test Facility ("ITF"): Secaucus (Non-Production)

Type of Access:     Cross-Connect     3<sup>rd</sup> Party Extranet: \_\_\_\_\_

SenderCompID: \_\_\_\_\_

Drop Copy Port:     New     Existing

MPID(s) to Drop: \_\_\_\_\_

Message(s) to Drop:     Qty: \_\_\_\_\_  
 Fills     Busts/Adjusts     Statuses (Acks, Cancels, Rejects, etc.)

Order Entry Port:     Qty: \_\_\_\_\_

Order Entry Port Minimum Required Configurations:

Clone an Existing Port?     Yes     No    SenderCompID: \_\_\_\_\_

Default MPID for this Port: \_\_\_\_\_

MPID(s) Permissioned on this Port: \_\_\_\_\_

Routing Enabled\*?     Yes     No

Default CancelInsteadOfSlide Value?     Cancel     Slide     None

SUM Orders by Default? \*\*     Yes     No

    If Yes: Expose Capacity by Default?     Yes     No

    If Yes: Expose MPID by Default?     Yes     No

Receive Unsolicited Restatement Messages? \*\*\*     Yes     No

    Allow Trade Bust/Adjust Messages?     Yes     No

    Cancel on Disconnect Enabled?     Yes     No

Enable Anti-Internalization (AIQ) by Default \*\*\*\*?     Yes     No

Firm

    If Yes: Default by Firm or MPID?    (Member)     MPID



	<input type="checkbox"/> Cancel	<input type="checkbox"/> Cancel	<input type="checkbox"/> Cancel	<input type="checkbox"/> Cancel Both
If Yes: Default Modifier	Newest	Oldest	Smallest	
If Yes: Default Trading Group ID (optional)	TradingGroupID: _____			
Is this Session intended for Sponsored Access?	<input type="checkbox"/> Yes	<input type="checkbox"/> No		

Note: Each port is delivered as a primary logical port at the requested IEX data center.

### REMOVAL REQUEST

Order Entry Port	<input type="checkbox"/> SenderCompID(s): _____	Reason for Removal: _____		
Drop Copy Port	<input type="checkbox"/> SenderCompID(s): _____	Reason for Removal: _____		

### ADDITIONAL COMMENTS OR REQUEST NOTES

_____ _____ _____ _____ _____ _____	
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### ORDER AUTHORIZATION

MPID(s) / Company Name:	
Authorized Contact (Please Print):	
<b>Authorized Signature:</b>	<b>Date:</b>

\*Users who wish to enable routing must complete and submit a Securities Routing Agreement found in the [User Agreement](#).

\*\*If unspecified, default treatment for orders is to be SUMed with only order info (no Capacity or MPID) exposed.

\*\*\*For FIX Order Entry Sessions, "Unsolicited Restatement Messages" reference receipt of unsolicited Replaced/Repriced messages.

\*\*\*\*AIQ is an optional functionality that enables a User to prevent two of its orders from executing against each other. A User can set default AIQ functionality by logical port. Please refer to the IEX Options FIX Specification for further details on this behavior.

All services and products requested on this form are governed by the terms in the User Agreement and the Exchange Rules.

If you do not receive a written confirmation from IEX within 3 business days that your request has been received and processed, please contact Market Operations at 646.343.2310 or [OptionsMktOps@iextrading.com](mailto:OptionsMktOps@iextrading.com). Please refer to the IEX Fee Schedule posted at [www.iex.io](http://www.iex.io) or the latest price list.