



TRADING PERMIT HOLDER MODIFICATION/TERMINATION FORM

A Trading Permit Holder (“TPH”) of IEX Options LLC (the “Exchange”) requesting to modify or terminate its membership is required to complete this Trading Permit Holder Modification / Termination Form and submit to OptionsMktOps@iextrading.com. This Trading Permit Holder Modification / Termination Form is delivered in connection with, and shall constitute a part of, the undersigned’s IEX User Agreement with the Exchange. All capitalized terms used herein that are not defined in this Trading Permit Holder Modification / Termination Form shall have the respective meanings given to them in the IEX User Agreement and IEX Rule Book, as applicable.

| Name of TPH Organization | |
|---|-------------------------|
| Member Name: _____ | |
| Requesting to be made EFFECTIVE as an Exchange TPH in the following capacity(ies): | |
| <input type="checkbox"/> Clearing Member | Effective Date: _____ |
| <input type="checkbox"/> Order Entry Firm | Effective Date: _____ |
| <input type="checkbox"/> Market Maker | Effective Date: _____ |
| OR | |
| Requesting to be TERMINATED as an Exchange TPH in the following capacity(ies): | |
| <input type="checkbox"/> Clearing Member | Termination Date: _____ |
| <input type="checkbox"/> Order Entry Firm | Termination Date: _____ |
| <input type="checkbox"/> Market Maker | Termination Date: _____ |
| *Terminations will be processed at the close of business on the ‘Termination Date’ specified above. | |

Completed by Authorized Offer, Partner or Managing Member of TPH Organization

Authorized Signature: _____

Print Name: _____

Title: _____

Date: _____

Please return to Market Operations via email at OptionsMktOps@iextrading.com