



Market-Wide Circuit Breaker (“MWCB”) Industry Test Attestation

Member Firm: _____

Member Contact(s)
(Name/Email/Phone): _____

Date Testing Completed: _____

The Member Firm listed above, hereby attests that it has completed, or attempted to complete, the testing obligations related to market-wide circuit breakers listed in the below Test Activity table, as required by IEX Rule 11.280(i).

To the extent that the Member Firm listed above was unable to receive and process any of the messages identified in items (1)-(4) of the below Test Activity table, Member Firm has noted which messages it was unable to process and, if known, why.

Test Activity

	Activity	Completed (Yes/No)	Explanation
1	Receive and process MWCB halt messages from the securities information processors (“SIPs”)		
2	Receive and process resume messages from the SIPs following a MWCB halt		
3	Receive and process market data from the SIPs relevant to MWCB halts		
4	Send orders following a Level 1 or Level 2 MWCB halt in a manner consistent with Member’s usual trading behavior		

Name of Authorized Person

Signature of Authorized Person

Date