IEX WEB PORTAL
ADMINISTRATOR AUTHORIZATION FORM

Authorization

To be completed by a customer company (the "Customer") of Investors' Exchange LLC and/or IEX Options LLC (together, "IEX") to designate an Administrator to access the IEX Web Portal on behalf of such Customer.

The undersigned Customer acknowledges and agrees that: (1) its access to and use of IEX's secure web-based portal made available to the Customer for the submission, management and processing of information and requests relating to the IEX services (the "Portal") is governed by the IEX Web Portal General Terms and Conditions available at www.iex.io/legal/iex-web-portal-general-terms-conditions (the "Terms and Conditions"), which are incorporated into this Administrator Authorization Form by reference, and the Customer acknowledges that it has had an opportunity to review and agrees to be bound by the Terms and Conditions before accessing the Portal; (2) the individuals listed below (each, an "Administrator") may access and use the Portal on behalf of the Customer; (3) if an Administrator has left the Customer's organization or is no longer authorized to act as an Administrator of the Portal on behalf of the Customer, the Customer must immediately notify IEX by emailing optionsmktops@iextrading.com; (4) the Customer is responsible for the acts of the Administrator; and (5) to the extent that a Customer is an Exchange Member utilizing IEX's exchange services, the Customer shall ensure that all access to and use of the Portal complies with applicable IEX Exchange Rules. This Administrator Authorization Form is delivered in connection with, and shall constitute a part of, the undersigned's IEX User Agreement and/or any other agreement(s) between Customer and IEX. All capitalized terms used herein that are not defined in this Administrator Authorization Form shall have the respective meanings given to them in the IEX User Agreement, IEX Rule Book or other agreement(s) between Customer and IEX, as applicable.

General Information

Customer Name: _____

CRD#: _____

Business Contact Name: _____

Business Contact Email: _____

Business Contact Phone: _____

Business Contact Address: _____

City:_____ State: _____ Zip: _____

Administrator Information

The following employees of the above Customer have been designated as Administrators of the Portal and are authorized to request access and make changes to user profiles via the Portal. Customer agrees that it will inform IEX in writing immediately if any of the Administrators below are no longer authorized to act in the capacity indicated herein. Customer is responsible for the acts and omissions of Administrators.

Please return to Market Operations via email at optionsmktops@iextrading.com

First/Last Name: _____

Portal ID (Email Address): _____

Title: _____

Phone Number: _____

Requested Additional Portal Functionality (check all that apply):
Risk Management
[__] Read
[__] Write
Market Maker Appointment Management
[__] Read
[__] Write
[__] Order and Quote Cancellation / Blocking

By default all MPIDs associated with the CRD will be authorized. If only certain MPIDs should be authorized list below (separated by semicolon):
_____
_____
_____

First/Last Name: _____

Portal ID (Email Address): _____

Title: _____

Phone Number: _____

Requested Additional Portal Functionality (check all that apply):
Risk Management
[__] Read
[__] Write
Market Maker Appointment Management
[__] Read
[__] Write
[__] Order and Quote Cancellation / Blocking

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_____

First/Last Name: _____

Portal ID (Email Address): _____

Title: _____

Phone Number: _____

Requested Additional Portal Functionality (check all that apply):
Risk Management
[__] Read
[__] Write
Market Maker Appointment Management
[__] Read
[__] Write
[__] Order and Quote Cancellation / Blocking

By default all MPIDs associated with the CRD will be authorized. If only certain MPIDs should be authorized list below (separated by semicolon):
_____
_____
_____

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IP Address(es) to Whitelist for Portal Access (list each IP address, separated by semicolons):

Note: Only client-owned/dedicated IPv4 source addresses can be whitelisted. Shared third-party public IP addresses are not supported.

_____

_____

_____

_____

Compliance Officer Authorization and Acceptance

**** Signatory must be an Authorized Compliance Officer of Customer.**

Authorized Signature: _____

Print Name: _____

Title: _____

Date: _____

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