

IEX OPTIONS MARKET PARTICIPANT IDENTIFIER (MPID) REQUEST FORM

ORDER TYPE

☐ Addition ☐ Removal ☐ Change to Existing ☐ Clone

(If Cloning) MPID to Clone: _____

MPID DETAILS

Market Participant Identifier Value(s) (4 Character Alphanumeric):

Note that IEX Options Market Operations can define MPID values on behalf of the Member if desired. If this is preferred, simply indicate the quantity of MPIDs to be defined.

Global Risk Mitigation Protection (GRMP)*

MPID(s) (1 or more): _____

GRMP Threshold (# Breaches): _____

GRMP Time Interval (milliseconds): _____

Default Values on Messaging*

Default "Account": _____

Default "Market Maker Identifier" ("MMID"): _____

Default "Optional Clearing Data": _____

Allowable Message Types

Allow Market Orders? ☐ Yes ☐ No

Allow ISO IOC Orders? ☐ Yes ☐ No

Allow ISO Day Orders? ☐ Yes ☐ No

Max Duplicate Order Configuration (applied per logical session) *

Max Duplicate Order Count: _____

Max Duplicate Order Time Interval (milliseconds): _____

Per-Order Max Quantity & Max Notional Configuration*

Max Order Quantity (in contracts): _____

Max Notional Value (format as \$x.xx): _____

Limit Order Price Protection Values*

Note that values within each row can only be set at or below the IEX Options-default values specified in the IEX Options System Settings Specification. If not populated, exchange default values will be applied.

Reference Price	Threshold
\$0.00 to \$1.00	\$ _____
\$1.01 to \$10.00	_____ %
\$10.01 to \$20.00	_____ %
\$20.01 to \$50.00	_____ %
\$50.01 to \$100.00	_____ %
\$100.01+	_____ %

OCC Clearing Configurations*

Note that a single default OCC ID must be specified per MPID. In addition, separate OCC IDs can be optionally specified for clearing on a per-Underlying Symbol basis.

MPID's Default OCC ID: _____

Underlying Symbol-Specific OCC IDs*:

OCC ID	Underlying(s)

Market Maker Identifier (MMID) Values (Market Makers Only)*:
Please use the space below to denote any valid MMID values that you would like associated at the Member level for purposes of populating the ClearingAccount field on orders/quotes sent in a Market Maker capacity on IEX Options. Note that MMID values are not associated with individual MPIDs, therefore it is not necessary to restate any previously registered MMIDs when adding additional MPIDs.

**Denotes field is optional to populate.*