

## Investors Exchange Regulatory Information Circular 2026 - 0008

**Date:** September 24, 2026

**To:** Members of Investors' Exchange LLC

**Re:** IEX Options: Rules Incorporated by Reference

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Investors' Exchange LLC, (the "Exchange") has incorporated by reference certain rules of Cboe, the New York Stock Exchange ("NYSE"), and FINRA as IEX Options rules.

Specifically, IEX Rule 27.250 incorporates by reference the applicable rules of FINRA with respect to Communications with Public Customers. Proposed IEX Rule 29.120 incorporates by reference initial and maintenance margin requirements of either Cboe or NYSE.

IEX Options Members will comply with an IEX Options rule by complying with the Cboe, NYSE, or FINRA rule referenced.

The Exchange will provide written notice to IEX Options Members whenever Cboe, NYSE or FINRA proposes to change a rule that IEX Options has incorporated by reference.

### **Contact Information**

Please contact IEX Regulation at [regulation@iextrading.com](mailto:regulation@iextrading.com) with any questions regarding this Regulatory Information Circular.